

# PREA Facility Audit Report: Final

**Name of Facility:** Parkview Center  
**Facility Type:** Community Confinement  
**Date Interim Report Submitted:** NA  
**Date Final Report Submitted:** 08/23/2024

| Auditor Certification                                                                                                                                                                                                                           |                                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|
| The contents of this report are accurate to the best of my knowledge.                                                                                                                                                                           | <input type="checkbox"/>             |
| No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.                                                                                                                                       | <input type="checkbox"/>             |
| I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template. | <input type="checkbox"/>             |
| <b>Auditor Full Name as Signed:</b> Patrick Firman                                                                                                                                                                                              | <b>Date of Signature:</b> 08/23/2024 |

| AUDITOR INFORMATION                 |                         |
|-------------------------------------|-------------------------|
| <b>Auditor name:</b>                | Firman, Patrick         |
| <b>Email:</b>                       | patrickfirman@gmail.com |
| <b>Start Date of On-Site Audit:</b> | 07/08/2024              |
| <b>End Date of On-Site Audit:</b>   | 07/09/2024              |

| FACILITY INFORMATION              |                                         |
|-----------------------------------|-----------------------------------------|
| <b>Facility name:</b>             | Parkview Center                         |
| <b>Facility physical address:</b> | 831 B Street, Anchorage, Alaska - 99501 |
| <b>Facility mailing address:</b>  |                                         |

| Primary Contact |
|-----------------|
|-----------------|

|                          |                    |
|--------------------------|--------------------|
| <b>Name:</b>             | Silifu Tito        |
| <b>Email Address:</b>    | stito@geogroup.com |
| <b>Telephone Number:</b> | 907-538-2226       |

| Facility Director        |                    |
|--------------------------|--------------------|
| <b>Name:</b>             | Silifu Tito        |
| <b>Email Address:</b>    | stito@geogroup.com |
| <b>Telephone Number:</b> | 907-538-2226       |

| Facility PREA Compliance Manager |                    |
|----------------------------------|--------------------|
| <b>Name:</b>                     | Silifu Tito        |
| <b>Email Address:</b>            | stito@geogroup.com |
| <b>Telephone Number:</b>         | O: (907) 290-5200  |

| Facility Characteristics                                                            |         |
|-------------------------------------------------------------------------------------|---------|
| <b>Designed facility capacity:</b>                                                  | 112     |
| <b>Current population of facility:</b>                                              | 107     |
| <b>Average daily population for the past 12 months:</b>                             | 99      |
| <b>Has the facility been over capacity at any point in the past 12 months?</b>      | No      |
| <b>Which population(s) does the facility hold?</b>                                  | Males   |
| <b>Age range of population:</b>                                                     | 23-62   |
| <b>Facility security levels/resident custody levels:</b>                            | Minimum |
| <b>Number of staff currently employed at the facility who may have contact with</b> | 32      |

|                                                                                                                      |   |
|----------------------------------------------------------------------------------------------------------------------|---|
| <b>residents:</b>                                                                                                    |   |
| <b>Number of individual contractors who have contact with residents, currently authorized to enter the facility:</b> | 2 |
| <b>Number of volunteers who have contact with residents, currently authorized to enter the facility:</b>             | 2 |

| AGENCY INFORMATION                                           |                                                  |
|--------------------------------------------------------------|--------------------------------------------------|
| <b>Name of agency:</b>                                       | The GEO Group, Inc.                              |
| <b>Governing authority or parent agency (if applicable):</b> |                                                  |
| <b>Physical Address:</b>                                     | 4955 Technology Way, Boca Raton, Florida - 33431 |
| <b>Mailing Address:</b>                                      |                                                  |
| <b>Telephone number:</b>                                     |                                                  |

| Agency Chief Executive Officer Information: |                     |
|---------------------------------------------|---------------------|
| <b>Name:</b>                                | Brian Evans         |
| <b>Email Address:</b>                       | bevans@geogroup.com |
| <b>Telephone Number:</b>                    | 5618930101          |

| Agency-Wide PREA Coordinator Information |                |                       |                             |
|------------------------------------------|----------------|-----------------------|-----------------------------|
| <b>Name:</b>                             | Manuel Alvarez | <b>Email Address:</b> | Manuel.Alvarez@geogroup.com |

| Facility AUDIT FINDINGS                                                                                                                               |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Summary of Audit Findings</b>                                                                                                                      |
| The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met. |

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

**Number of standards exceeded:**

3

- 115.211 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
- 115.213 - Supervision and monitoring
- 115.217 - Hiring and promotion decisions

**Number of standards met:**

38

**Number of standards not met:**

0

## POST-AUDIT REPORTING INFORMATION

### GENERAL AUDIT INFORMATION

#### On-site Audit Dates

|                                                   |            |
|---------------------------------------------------|------------|
| 1. Start date of the onsite portion of the audit: | 2024-07-08 |
| 2. End date of the onsite portion of the audit:   | 2024-07-09 |

#### Outreach

|                                                                                                                                                                                                         |                                                                                  |
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| 10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility? | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                 |
| a. Identify the community-based organization(s) or victim advocates with whom you communicated:                                                                                                         | RAINN - National Sexual Assault Hotline<br>STAR (Standing Together Against Rape) |

### AUDITED FACILITY INFORMATION

|                                                                                  |                                                                                                                                                                                                    |
|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 14. Designated facility capacity:                                                | 112                                                                                                                                                                                                |
| 15. Average daily population for the past 12 months:                             | 99                                                                                                                                                                                                 |
| 16. Number of inmate/resident/detainee housing units:                            | 34                                                                                                                                                                                                 |
| 17. Does the facility ever hold youthful inmates or youthful/juvenile detainees? | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility) |

## **Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit**

### **Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit**

|                                                                                                                                                                                                                                                                      |     |
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| <b>36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:</b>                                                                                                                                 | 104 |
| <b>38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:</b>                                                                                                  | 0   |
| <b>39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:</b> | 0   |
| <b>40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:</b>                                                                        | 0   |
| <b>41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:</b>                                                                                             | 0   |
| <b>42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:</b>                                                                                    | 0   |
| <b>43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:</b>                                                                                   | 0   |

|                                                                                                                                                                                                                                                                    |                   |
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| <b>44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</b>                                                                                   | 0                 |
| <b>45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</b>                                                                                                 | 0                 |
| <b>46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</b>                                                            | 0                 |
| <b>47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</b>                                     | 0                 |
| <b>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</b> | No text provided. |
| <b>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</b>                                                                                                                                                 |                   |
| <b>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</b>                                                                                             | 32                |
| <b>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b>                                                                                 | 2                 |

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| <b>51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b>                        | 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</b> | No text provided.                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>INTERVIEWS</b>                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>Inmate/Resident/Detainee Interviews</b>                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>Random Inmate/Resident/Detainee Interviews</b>                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</b>                                                                                                              | 30                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</b>                                                             | <div> <input checked="" type="checkbox"/> Age </div> <div> <input checked="" type="checkbox"/> Race </div> <div> <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) </div> <div> <input checked="" type="checkbox"/> Length of time in the facility </div> <div> <input checked="" type="checkbox"/> Housing assignment </div> <div> <input type="checkbox"/> Gender </div> <div> <input type="checkbox"/> Other </div> <div> <input type="checkbox"/> None </div> |
| <b>55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</b>                                                                                      | <p>Residents were randomly selected from a roster provided by center staff. Residents were selected based on housing areas, race, ethnicity and length of stay at the facility.</p> <p>Residents were oversampled in an attempt to capture residents from a variety of housing units.</p>                                                                                                                                                                                                    |



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| <b>56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <input checked="checked" type="radio"/> Yes<br><br><input type="radio"/> No                                                                                                                                                                                                                                                         |
| <b>57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | No text provided.                                                                                                                                                                                                                                                                                                                   |
| <b>Targeted Inmate/Resident/Detainee Interviews</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                     |
| <b>58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 0                                                                                                                                                                                                                                                                                                                                   |
| <p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p> |                                                                                                                                                                                                                                                                                                                                     |
| <b>60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 0                                                                                                                                                                                                                                                                                                                                   |
| <b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <input checked="checked" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.<br><br><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. |

|                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                     |
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| <b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b>                          | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility with a physical disability.</p>                                                                                                                                                                                          |
| <b>61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</b> | <p>0</p>                                                                                                                                                                                                                                                                                                                            |
| <b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                                               | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b>                          | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility with a cognitive or functional disability.</p>                                                                                                                                                                           |
| <b>62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</b>                                                                  | <p>0</p>                                                                                                                                                                                                                                                                                                                            |

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| <p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>                                                                                                                      | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p> | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility who were blind or had low vision.</p>                                                                                                                                                                                    |
| <p><b>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>                                                                    | <p>0</p>                                                                                                                                                                                                                                                                                                                            |
| <p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>                                                                                                                      | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p> | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility who were deaf or hard of hearing.</p>                                                                                                                                                                                    |
| <p><b>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>                                                           | <p>0</p>                                                                                                                                                                                                                                                                                                                            |

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| <p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>                                                                                                                      | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p> | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility who were Limited English Proficient.</p>                                                                                                                                                                                 |
| <p><b>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b></p>                                     | <p>0</p>                                                                                                                                                                                                                                                                                                                            |
| <p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>                                                                                                                      | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p> | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility who identify as lesbian, gay, or bisexual.</p>                                                                                                                                                                           |
| <p><b>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b></p>                                       | <p>0</p>                                                                                                                                                                                                                                                                                                                            |

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| <p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>                                                                                                                      | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p> | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility who identify as transgender or intersex.</p>                                                                                                                                                                             |
| <p><b>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</b></p>                                                                     | <p>0</p>                                                                                                                                                                                                                                                                                                                            |
| <p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>                                                                                                                      | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p> | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility who had reported sexual abuse in the facility.</p>                                                                                                                                                                       |
| <p><b>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</b></p>                    | <p>0</p>                                                                                                                                                                                                                                                                                                                            |

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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>                                                                                                                                                                                 | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>                                                            | <p>The Facility Director/PREA Compliance Manager reported there were no residents assigned to the facility who disclosed prior sexual victimization during risk screening.</p>                                                                                                                                                      |
| <p><b>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</b></p> | <p>0</p>                                                                                                                                                                                                                                                                                                                            |
| <p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>                                                                                                                                                                                 | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>                                                            | <p>The facility does not have a segregated housing unit.</p>                                                                                                                                                                                                                                                                        |

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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</b>                                                                                       | No text provided.                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>Staff, Volunteer, and Contractor Interviews</b>                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Random Staff Interviews</b>                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>71. Enter the total number of RANDOM STAFF who were interviewed:</b>                                                                                                                                                                                                               | 15                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</b>                                                                                                                                                           | <div> <input checked="" type="checkbox"/> Length of tenure in the facility<br/> <input checked="" type="checkbox"/> Shift assignment<br/> <input checked="" type="checkbox"/> Work assignment<br/> <input checked="" type="checkbox"/> Rank (or equivalent)<br/> <input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)<br/> <input type="checkbox"/> None         </div> |
| <b>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</b>                                                                                                                                                                                                    | <div> <input checked="" type="radio"/> Yes<br/> <input type="radio"/> No         </div>                                                                                                                                                                                                                                                                                                             |
| <b>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b>                                                                          | No text provided.                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>Specialized Staff, Volunteers, and Contractor Interviews</b>                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                     |
| Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements. |                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</b>                                                                                                                                                   | 12                                                                                                                                                                                                                                                                                                                                                                                                  |

|                                                                                                      |                                                                                                                                                                                                                                  |
|------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>76. Were you able to interview the Agency Head?</b>                                               | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                 |
| <b>77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</b> | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                 |
| <b>78. Were you able to interview the PREA Coordinator?</b>                                          | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                 |
| <b>79. Were you able to interview the PREA Compliance Manager?</b>                                   | <input checked="" type="radio"/> Yes<br><input type="radio"/> No<br><br><input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards) |



**80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)**

- ☒ Agency contract administrator
- ☐ Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- ☐ Line staff who supervise youthful inmates (if applicable)
- ☐ Education and program staff who work with youthful inmates (if applicable)
- ☐ Medical staff
- ☐ Mental health staff
- ☐ Non-medical staff involved in cross-gender strip or visual searches
- ☒ Administrative (human resources) staff
- ☐ Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- ☒ Investigative staff responsible for conducting administrative investigations
- ☐ Investigative staff responsible for conducting criminal investigations
- ☒ Staff who perform screening for risk of victimization and abusiveness
- ☐ Staff who supervise inmates in segregated housing/residents in isolation
- ☒ Staff on the sexual abuse incident review team
- ☒ Designated staff member charged with monitoring retaliation
- ☒ First responders, both security and non-security staff
- ☒ Intake staff

|                                                                                                                                          |                                                                                                                                                                                                                                   |
|------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                          | <input type="checkbox"/> Other                                                                                                                                                                                                    |
| <b>81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?</b>                          | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                  |
| <b>a. Enter the total number of VOLUNTEERS who were interviewed:</b>                                                                     | 1                                                                                                                                                                                                                                 |
| <b>b. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)</b> | <input checked="" type="checkbox"/> Education/programming<br><input type="checkbox"/> Medical/dental<br><input type="checkbox"/> Mental health/counseling<br><input type="checkbox"/> Religious<br><input type="checkbox"/> Other |
| <b>82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?</b>                         | <input type="radio"/> Yes<br><input checked="" type="radio"/> No                                                                                                                                                                  |
| <b>83. Provide any additional comments regarding selecting or interviewing specialized staff.</b>                                        | No text provided.                                                                                                                                                                                                                 |

## SITE REVIEW AND DOCUMENTATION SAMPLING

### Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

|                                                                                                                                                                                                                                        |                                                                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| <b>84. Did you have access to all areas of the facility?</b>                                                                                                                                                                           | <input checked="checked" type="radio"/> Yes<br><br><input type="radio"/> No |
| <b>Was the site review an active, inquiring process that included the following:</b>                                                                                                                                                   |                                                                             |
| <b>85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?</b>                                      | <input checked="checked" type="radio"/> Yes<br><br><input type="radio"/> No |
| <b>86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?</b> | <input checked="checked" type="radio"/> Yes<br><br><input type="radio"/> No |
| <b>87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?</b>                                                                                                                  | <input checked="checked" type="radio"/> Yes<br><br><input type="radio"/> No |
| <b>88. Informal conversations with staff during the site review (encouraged, not required)?</b>                                                                                                                                        | <input checked="checked" type="radio"/> Yes<br><br><input type="radio"/> No |

**89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).**

Parkview Center is comprised of one five-story building in downtown Anchorage, Alaska. During the site review, I observed audit notices prominently displayed in English and Spanish throughout the facility. Signs were dated and signed off by the Facility Director as indicated in the date-stamped photos previously submitted.

Signage was observed for outside emotional support services and posted along with PREA reporting signage. At the start of the onsite, it was observed that reporting options and services for support were not labeled as such. Before submitting the final report, signage was updated to identify which services were for support and reporting only. Signage indicated that calls to these services were not monitored or recorded. Reporting options for anonymous reporting were clearly labeled. PREA posters regarding the facility's zero-tolerance policy were posted in the resident and public lobby areas.

No female residents are being housed in the facility at this time. Female staff members were observed announcing their presence when entering male living areas. There were private bathroom/shower areas for residents in each housing area that provided privacy.

Cameras (51 interior and eight exterior) were observed throughout the facility, along with mirrors to supplement. Areas where residents were not allowed to be in were covered by cameras to show anyone entering or leaving the area. Camera monitoring was observed in the control area at the front desk to ensure that coverage did not reveal areas where residents may be unclothed. There were no cameras in the living areas.

Record storage areas were observed to be secured. PREA-related records are stored inside locked cabinets in the Facility Director's Office. Computer access to records is based on a staff member's work assignment and the

need to access documents.

Residents are provided envelopes and stamps at no cost to send mail. A dropbox is available for outgoing mail. Residents may send anonymous grievances to the address supplied by just putting the facility name on the envelope. This procedure is outlined for residents in the PREA Education Manual provided to residents upon intake.

An actual intake screening was observed on the first day of the onsite. PREA educational information was provided during screening and signed for by the resident. The resident was shown a PREA video in English with closed captions during the intake. PREA reporting options and options for getting help through counseling were explained to the resident. The resident was given a PREA handbook and provided time to go through the manual and ask any questions.

A risk screening was observed as part of the initial intake process. The risk screening form was observed to include all required information. Risk screening staff asked about prior victimization, sex offenses, and sexually violent convictions. Incoming residents were asked how they identified, and staff indicated personal observations on the risk screening form. The staff explained to the resident that a reassessment would occur in about 30 days.

Access to language line services was not apparent during the onsite. Although the facility had a service contract, most staff were unaware that it existed or how to use it. Informal conversations and formal interviews with staff revealed that most were not aware of the service or how to use it. Before issuing the final report, the facility educated all staff on accessing the language line and prominently posted instructions throughout the building in areas where the services may be needed. Access to the language line service was tested during the onsite, and it

worked.

While onsite, hotline numbers were called and found to be in working order. Staff answering were able to articulate how their services worked. Facility telephones are set up not to require any PIN when calling a hotline. According to staff, phones are not monitored in any way. Instructions and information regarding confidentiality were posted near each phone.

### Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

**90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?**

☒ Yes

☐ No

**91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).**

Twenty-two resident records were randomly selected and reviewed to determine compliance with screening requirements. All residents are screened within 24 hours of arrival at the facility and followed up, when necessary, within 30 days. Two residents received a reassessment after 30 days due to a change in personnel. These assessments were brought up to date upon the discovery. Residents determined to be at risk of victimization or being abusers are referred for evaluations. Residents must sign to accept or decline the service. All resident records reviewed contained appropriate acknowledgment for receiving PREA educational materials.

Twelve staff records were randomly selected and reviewed. All records reviewed contained an acknowledgment of annual PREA training, including training on searches. All files examined contained initial background clearances completed by an outside agency as well as the Alaska Department of Corrections (client). Self-disclosure statements are renewed and signed annually as part of a performance evaluation. Records of staff members with the agency for five years were examined and found to contain the appropriate background clearance renewals. Six volunteer and contractor records were reviewed, and proper background clearances were found. They also completed training on the facility's zero-tolerance policy and reporting mandates.

## **SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY**

### **Sexual Abuse and Sexual Harassment Allegations and Investigations Overview**

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

**92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:**

|                                      | # of sexual abuse allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|--------------------------------------|-------------------------------|------------------------------|------------------------------------|---------------------------------------------------------------------------|
| <b>Inmate-on-inmate sexual abuse</b> | 2                             | 1                            | 2                                  | 1                                                                         |
| <b>Staff-on-inmate sexual abuse</b>  | 0                             | 0                            | 0                                  | 0                                                                         |
| <b>Total</b>                         | 2                             | 1                            | 2                                  | 1                                                                         |

**93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:**

|                                           | # of sexual harassment allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|-------------------------------------------|------------------------------------|------------------------------|------------------------------------|---------------------------------------------------------------------------|
| <b>Inmate-on-inmate sexual harassment</b> | 1                                  | 0                            | 1                                  | 0                                                                         |
| <b>Staff-on-inmate sexual harassment</b>  | 0                                  | 0                            | 0                                  | 0                                                                         |
| <b>Total</b>                              | 1                                  | 0                            | 1                                  | 0                                                                         |



## Sexual Abuse and Sexual Harassment Investigation Outcomes

### Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

#### 94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

|                               | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|-------------------------------|---------|--------------------------|----------------------------|------------------------|-----------|
| Inmate-on-inmate sexual abuse | 0       | 1                        | 0                          | 0                      | 0         |
| Staff-on-inmate sexual abuse  | 0       | 0                        | 0                          | 0                      | 0         |
| Total                         | 0       | 1                        | 0                          | 0                      | 0         |

#### 95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

|                               | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|-------------------------------|---------|-----------|-----------------|---------------|
| Inmate-on-inmate sexual abuse | 0       | 0         | 2               | 0             |
| Staff-on-inmate sexual abuse  | 0       | 0         | 0               | 0             |
| Total                         | 0       | 0         | 2               | 0             |

### Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

**96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

|                                           | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|-------------------------------------------|---------|--------------------------|----------------------------|------------------------|-----------|
| <b>Inmate-on-inmate sexual harassment</b> | 1       | 0                        | 0                          | 0                      | 0         |
| <b>Staff-on-inmate sexual harassment</b>  | 0       | 0                        | 0                          | 0                      | 0         |
| <b>Total</b>                              | 1       | 0                        | 0                          | 0                      | 0         |

**97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

|                                           | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|-------------------------------------------|---------|-----------|-----------------|---------------|
| <b>Inmate-on-inmate sexual harassment</b> | 0       | 0         | 1               | 0             |
| <b>Staff-on-inmate sexual harassment</b>  | 0       | 0         | 0               | 0             |
| <b>Total</b>                              | 0       | 0         | 1               | 0             |

**Sexual Abuse and Sexual Harassment Investigation Files Selected for Review**

**Sexual Abuse Investigation Files Selected for Review**

**98. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:**

2

|                                                                                                                                                                  |                                                                                                                                                                                      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b> | <input checked="" type="radio"/> Yes<br><input type="radio"/> No<br><input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)                  |
| <b>Inmate-on-inmate sexual abuse investigation files</b>                                                                                                         |                                                                                                                                                                                      |
| <b>100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b>                                                        | 2                                                                                                                                                                                    |
| <b>101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b>                                                | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files) |
| <b>102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b>                                          | <input checked="" type="radio"/> Yes<br><input type="radio"/> No<br><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files) |
| <b>Staff-on-inmate sexual abuse investigation files</b>                                                                                                          |                                                                                                                                                                                      |
| <b>103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b>                                                         | 0                                                                                                                                                                                    |
| <b>104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b>                                                 | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)  |

|                                                                                                                                                                        |                                                                                                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b>                                                 | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)       |
| <b>Sexual Harassment Investigation Files Selected for Review</b>                                                                                                       |                                                                                                                                                                                           |
| <b>106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</b>                                                                          | 1                                                                                                                                                                                         |
| <b>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b> | <input checked="" type="radio"/> Yes<br><input type="radio"/> No<br><input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)                  |
| <b>Inmate-on-inmate sexual harassment investigation files</b>                                                                                                          |                                                                                                                                                                                           |
| <b>108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</b>                                                         | 1                                                                                                                                                                                         |
| <b>109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</b>                                                               | <input checked="" type="radio"/> Yes<br><input type="radio"/> No<br><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files) |
| <b>110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</b>                                           | <input checked="" type="radio"/> Yes<br><input type="radio"/> No<br><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files) |

**Staff-on-inmate sexual harassment investigation files**

**111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:**

0

**112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?**

☐ Yes

☐ No

☒ NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)

**113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?**

☐ Yes

☐ No

☒ NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)

**114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.**

During the previous 12 months, there were three allegations of sexual abuse/sexual harassment made by residents at Parkview Center. All investigative files were examined and found to contain all of the elements required by PREA standards. All three cases had been completed, and notification attempts to residents regarding the outcome were included. All three allegations were ruled unsubstantiated. The standard of proof outlined in all completed cases was based on a preponderance of evidence. There were no residents housed at the facility during the onsite that had reported sexual abuse or sexual harassment.

## SUPPORT STAFF INFORMATION

### DOJ-certified PREA Auditors Support Staff

**115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.**

☐ Yes

☒ No

### Non-certified Support Staff

**116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.**

☐ Yes

☒ No

## AUDITING ARRANGEMENTS AND COMPENSATION

**121. Who paid you to conduct this audit?**

☒ The audited facility or its parent agency

☐ My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

☐ A third-party auditing entity (e.g., accreditation body, consulting firm)

☐ Other

| <b>Standards</b>                                                                                                                                                                                                                                                                                                                                                                                                                                         |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>Auditor Overall Determination Definitions</b>                                                                                                                                                                                                                                                                                                                                                                                                         |  |
| <ul style="list-style-type: none"> <li>Exceeds Standard<br/>(Substantially exceeds requirement of standard)</li> <li>Meets Standard<br/>(substantial compliance; complies in all material ways with the stand for the relevant review period)</li> <li>Does Not Meet Standard<br/>(requires corrective actions)</li> </ul>                                                                                                                               |  |
| <b>Auditor Discussion Instructions</b>                                                                                                                                                                                                                                                                                                                                                                                                                   |  |
| <p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p> |  |

| <b>115.211</b> | <b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                | <b>Auditor Overall Determination:</b> Exceeds Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|                | <p><b>115.211</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li><b>Parkview Center PAQ</b></li> <li><b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/2024)</b></li> <li><b>Parkview Center Local Policy Manual 2019-1 PREA Staffing and Facility Requirements (effective 4/1/2019)</b></li> <li><b>PREA GEO Organizational Chart</b></li> <li><b>Parkview Center Organizational Chart</b></li> <li><b>Interview responses from the PREA Coordinator</b></li> <li><b>Interview responses from PREA Manager</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> |

**115.211(a)****What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has a written policy mandating zero tolerance.
  2. Reported that the agency has a written policy outlining the agency's approach to preventing, detecting, and responding to such conduct.
  3. Reported that the policy includes definitions of prohibited behaviors.
  4. Reported that the policy includes sanctions for those found to have participated in prohibited behaviors.
  5. Reported that the policy includes a description of the agency's strategies and responses to reduce and prevent SA and SH of residents.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 1-2):
  1. Requires that each facility have a current policy mandating zero tolerance towards all forms of SA and SH and outlining GEO's approach to preventing, detecting, and responding to such conduct.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing and Facility Requirements (pp. 1-2):
  1. Outlines the facility's zero tolerance towards SA and SH.
  2. Outlines the facility's approach to preventing, detecting, and responding to such behaviors.
  3. Outlines that disciplinary action, including possible criminal prosecution, may be taken.
  4. Describes the facility's strategies and responses to reduce and prevent SA and SH.

**115.211(b)****What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency employs an upper-level, agency-wide PREA Coordinator.
  2. Reported that the PREA Coordinator has sufficient time and authority to develop, implement, and oversee the agency efforts to comply with the PREA standards.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 2):
  1. Outlines the designation and responsibilities of an agency-wide PREA Coordinator.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing and Facility Requirements (pp. 2-3):
  1. Outlines the designation and responsibilities of an agency-wide PREA



|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | <p>Coordinator.</p> <ol style="list-style-type: none"> <li>2. Outlines the designation and responsibilities of a facility-level PREA Manager.</li> </ol> <ol style="list-style-type: none"> <li>4. GEO Corporate Organizational Chart: <ol style="list-style-type: none"> <li>1. Outlines the PREA Coordinator and PREA Manager positions within the organization.</li> </ol> </li> <li>5. GEO Parkview Center Organizational Chart: <ol style="list-style-type: none"> <li>1. Outlines the position of PREA Compliance Manager as the Facility Director reporting to the Corporate PREA Coordinator.</li> </ol> </li> </ol> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interview with the PREA Coordinator: <ol style="list-style-type: none"> <li>1. PREA Coordinator reported that he has sufficient time and authority to develop, implement, and oversee agency efforts to comply with PREA standards in all community confinement facilities.</li> </ol> </li> <li>2. Interview with PREA Manager: <ol style="list-style-type: none"> <li>1. The PREA Manager (Facility Director) reported that she had sufficient time and resources to oversee the facilities efforts to comply with PREA Standards.</li> </ol> </li> </ol> <p><b>Based on this analysis and the agency's well documented efforts toward zero-tolerance, the facility substantially exceeds with this provision, and corrective action is not required.</b></p> |
|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>115.212</b> | <b>Contracting with other entities for the confinement of residents</b>                                                                                                                                                                                                                                                                                                                                                                                                           |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                              |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <p><b>115.212</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> <ul style="list-style-type: none"> <li>• Interview with the Agency Contract Administrator, confirmed that the agency has not entered into or renewed a contract for the confinement of its residents since the last PREA audit.</li> </ul> <p><b>Based on this analysis, the facility substantially complies with this provision, and corrective action is not required.</b></p> |

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| <b>115.213</b> | <b>Supervision and monitoring</b> |
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**Auditor Overall Determination:** Exceeds Standard

**Auditor Discussion**

**115.213**

**Evidence relied upon in making the compliance determinations:**

- **Parkview Center PAQ**
- **GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/2024)**
- **Parkview Center Local Policy Manual 2019-1 PREA Staffing and Facility Requirements (effective 4/1/2019)**
- **Parkview Center Approved Staffing Plan**
- **Parkview Center security staff schedule**
- **Parkview Center facility floor plans with camera locations**
- **Annual PREA Facility Assessment reports**
- **Interview with Facility Director**
- **Interview with PREA Coordinator**
- **Site review observations**

**Reasoning and analysis (by provision):**

**115.213(a)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the facility develops and documents a staffing plan that provides for adequate levels of staffing.
  2. Reported that the average daily number of residents since the last PREA audit was 99.
  3. Reported that since the last PREA audit, the staffing plan was developed based on 101 residents.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 3-4):
  1. Outlines that each facility must develop and document a staffing plan that provides adequate levels of staffing and, where applicable, video monitoring to protect individuals against SA.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing and Facility Requirements (pp. 3-4):
  1. Outlines responsibilities for developing and documenting a staffing plan that provides adequate levels of staff and, where applicable, video monitoring to protect residents against SA.

**What was heard as part of a systematic review of evidence:**

1. Interview with PREA Coordinator:
  1. PREA Coordinator reported that a staffing plan has been developed for the facility that provides adequate staffing levels and video monitoring. The plan is reviewed each year.
2. Interview with Facility Director:
  1. Confirmed that a staffing plan is in place that is reviewed each year. The staffing plan takes into account the number of residents, as well as the availability of cameras and mirrors throughout the facility.
  2. Stated that she also looks at previous incidents of sexual abuse and sexual harassment to determine if changes in staffing and/or monitoring are needed.

**What was observed as part of a systematic review of evidence:**

1. Approved facility staffing plan.
2. Annual reviews of facility staffing plan for the past three years.
3. Facility layout diagram with camera locations.
4. Site review:
  1. Observation of adequate staffing over several shifts.
  2. Observation of camera and mirror placement to cover all blind spots and entrance/exits to areas where residents were not allowed.
  3. Informal conversations with staff regarding staffing levels.
    1. Supervisory staff confirmed that minimal staffing must be in place for each shift.

**115.213(b)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that there have been no instances where the staffing plan has not been complied with.
  2. Reported that if the staffing plan were deviated from, it would be documented and reasons justified.
2. Parkview Center Local Policy Manual 2019-1 PREA Staffing and Facility Requirements (p. 3):
  1. Requires the Facility Director document and justify any deviations from the staffing plan.

**What was heard as part of a systematic review of evidence:**

1. Interview with Facility Director:
  1. Reported that she is required to document and report any deviations from the staffing plan.
  2. Reported that there have been no deviations from the approved staffing plan. Overtime is utilized to cover any openings from staff

absences.

**115.213(c)**

**What was read, as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the staffing plan is reviewed yearly.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 3-4):
  1. Requires that each facility assess, determine and document no less frequently than once each year whether adjustments are needed to the staffing plan, deployment of video technology or other resources.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing and Facility Requirements (p. 3):
  1. Requires that Parkview Center facility management staff shall assess, determine and document no less frequently than once each year, whether adjustments are needed to:
    1. The staffing plan.
    2. The facility's deployment of video monitoring systems and other monitoring technologies.
    3. The resources the facility has available to commit to ensure adherence to the staffing plan.
    4. The management staff review shall assess, determine, and document prevailing staffing patterns.

**What was heard, as a part of a systematic review of evidence:**

1. Interview with Agency PREA Coordinator:
  1. Reported that each facility is required to conduct an "Annual PREA Facility Assessment," which requires them to review their staffing plan and all components of the physical plant. Completed assessments are forwarded to me which include recommendations for equipment, cameras, additional staffing, etc. I review and consult with the appropriate divisional leadership (i.e., secure services and reentry services) and we assess the request. It is either approved or denied, signed, and returned to the facility.
2. Review of annual staffing plans for past three years:
  1. Revealed that consideration was given to adequate level of staffing.
  2. Revealed that consideration was given to any need for additional cameras or mirrors. Revealed that consideration was given to previous reports of sexual abuse/sexual harassment.

**Based on this analysis, and the detailed documentation provided on a consistent basis in reviewing the staff plan, the facility substantially exceeds the requirements of this standard and corrective action is not required.**

| 115.215 | Limits to cross-gender viewing and searches                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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|         | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|         | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|         | <p><b>115.215</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/2024)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-4 Resident Searches, Viewing, and Contraband (effective 4/1/2019)</b></li> <li>• <b>PREA Staff Training Curriculum</b></li> <li>• <b>PREA Staff Training Acknowledgements</b></li> <li>• <b>Interview responses from random staff</b></li> <li>• <b>Site review observations</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.215(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the facility does not conduct cross-gender strip or cross-gender visual body cavity searches of residents.</li> <li>2. Reported that there has been zero cross-gender strip or cross-gender visual body cavity searches of residents in the past twelve months.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 12-13): <ol style="list-style-type: none"> <li>1. Outlines that cross-gender strip searches are prohibited except in exigent circumstances.</li> <li>2. Outlines that cross-gender visual body cavity searches (meaning a search of the anal or genital opening) are prohibited except in exigent circumstances and shall only be performed by offsite Medical Practitioners.</li> </ol> </li> <li>3. Parkview Center Local Policy Manual 2019-4 Resident Searches, Viewing, and Contraband (pp. 2-4): <ol style="list-style-type: none"> <li>1. Outlines that cross-gender strip searches and cross-gender visual body cavity searches (meaning a search of the anal or genital opening) are prohibited.</li> <li>2. Outlines that cross-gender pat-down searches of male and/or female residents are prohibited.</li> </ol> </li> </ol> <p><b>What was observed, as part of a systematic review of evidence:</b></p> |

1. Site review observations:

1. Informal conversations with staff and residents confirmed that cross-gender strip search and body cavity searches are not performed at the facility.

**115.215(b)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:

1. Reported that the facility does not permit cross-gender pat-down searches of female residents.
2. Reported that the facility is an all-male facility.
3. Reported that zero pat-down searches of female residents have taken place.

**115.215(c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:

1. Reported that the facility requires that all cross-gender strip and visual body cavity searches are documented.
2. Reported that the facility is an all-male facility and does not house female residents.

**115.215(d)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:

1. Reported that the facility has implemented policy and procedures that enable residents to shower, perform bodily functions and change clothing without non- medical staff of the opposite gender viewing their breasts, buttocks or genitalia except in exigent circumstances or when viewing is incidental to routine checks.
2. Reported that policy and procedures require staff of the opposite gender to announce their presence when entering an area where residents are likely to be showering, performing bodily functions or changing clothes.

2. Parkview Center Local Policy Manual 2019-4 Resident Searches, Viewing, and Contraband (p. 3):

1. Outlines that all residents shall be required to change their clothes in the resident bathroom area.
2. Outlines that facility staff is required to loudly announce their entrance into a dorm housing resident of the opposite gender.

3. Outlines that staff members are prohibited from entering the restroom area in opposite-gender dorms without loudly announcing their presence and gaining verbal assurance that occupants in the area are fully clothed.
4. Outlines that residents have the right to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, excluding exigent circumstances.

**What was heard as part of a systematic review of evidence:**

1. Interviews with random residents:
  1. All of the residents interviewed stated that staff of the opposite gender consistently announce their presence when entering an area where they may be showering, using the toilet, or changing their clothes.
  2. All of the residents interviewed stated that there has not been an instance where staff of the opposite gender has seen a resident in an unclothed state.
2. Interviews with random staff:
  1. Staff interviewed stated that they always announce themselves when entering an area where residents of the opposite gender are living.
  2. Staff interviewed stated that residents are able to dress, shower and toilet without being viewed by staff of the opposite gender.

**What was observed as part of a systematic review of evidence:**

1. Site review:
  1. Each resident "apartment" has their own bathroom with a toilet and shower area to provide privacy.
  2. Mirrors and camera placement do not provide views into areas where residents shower, toilet or change clothes. There are no cameras inside the living areas.
  3. A review of camera monitoring areas confirmed that cameras do not show areas where residents may be toileting, showering or changing clothes.
  4. Informal conversations with residents and staff indicated that staff consistently announce their presence to include loud verbal announcements and knocking on doors before entering living areas of residents of the opposite gender.
  5. Observations made during the onsite revealed that female staff consistently knock and announce themselves when entering the male living areas.

**115.215(e)**

**What was read as part of a systematic review of evidence:**

1. Facility PAQ:
  1. Reported that there is a policy prohibiting staff from searching or physically examining a transgender or intersex resident for the sole purpose of determining genital status.
  2. Reported that zero searches as described above have occurred in the past 12- months.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 13):
  1. Outlines that facilities shall not search or physically examine a transgender or intersex individual in a GEO facility solely to determine their genital status. If the genital status is unknown, it may be determined during private conversations with the individual, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner.
3. Parkview Center Local Policy Manual 2019-4 Resident Searches, Viewing, and Contraband (p. 3):
  1. Outlines that the facility shall not search or physically examine a transgender or intersex resident for the sole purpose of determining the resident's genital status. If the resident's genital status is unknown, it may be determined during conversations with the resident, by reviewing medical records, by consulting the referring agency, and/or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner.

**What was heard as part of a systematic review of evidence:**

1. Interviews with random staff:
  1. Staff interviewed reported that they were aware of policy prohibiting the searching or physically examining transgender or intersex residents for the sole purpose of determining genital status.
2. There were no transgender or intersex residents currently housed at the facility to interview.

**115.215(f)**

**What was read as part of a systematic review of evidence:**

1. Facility PAQ:
  1. Reported that 100% of security staff received training on conducting cross-gender pat-down searches and searches of transgender and intersex residents in a professional and respectful manner.



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|  | <p><b>What was heard as a part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>Interviews with random staff: <ol style="list-style-type: none"> <li>All staff interviewed stated that they had received training in conducting cross-gender pat-down searches as well as searching transgender and intersex residents in a professional and respectful manner.</li> <li>Staff interviewed stated that they do not perform any cross-gender pat-down searches.</li> </ol> </li> </ol> <p><b>What was observed as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>Staff PREA training curriculum on conducting cross-gender pat-down searches and searches of transgender and intersex residents in a professional and respectful manner.</li> <li>A review of training files revealed that all staff had received and acknowledged training on conducting cross-gender pat-down searches and searches of transgender and intersex residents in a professional and respectful manner.</li> <li>A random sampling of staff training acknowledgement revealed that staff are required to acknowledge that they understood the training they received.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision, and corrective action is not required.</b></p> |
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| <b>115.216</b> | <b>Residents with disabilities and residents who are limited English proficient</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
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|                | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|                | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|                | <p><b>115.216</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/2024)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-2 PREA Intake &amp; Orientation (effective 4/22/19)</b></li> <li>• <b>PREA Resident Education Manual (English/Spanish)</b></li> <li>• <b>PREA Resident Education Manual - Large Print (English/Spanish)</b></li> <li>• <b>Parkview Center resident reporting options posters (English/</b></li> </ul> |

**Spanish)**

- **Parkview language line staffing education corrective action**
- **Language line contract**
- **Interview with agency head**
- **Interviews with random staff**
- **Site review observations**

**Reasoning and analysis (by provision):**

**115.216(a)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has established procedure to provide disabled resident equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to SA and SH.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 8):
  1. Requires that facilities ensure that individuals with disabilities have an equal opportunity to participate in or benefit from the agency's efforts to prevent, detect, and respond to Sexual Abuse and Sexual Harassment.
  2. Requires that facilities provide written materials to every resident in formats or through methods that ensure effective communication with individuals with disabilities, including those who have intellectual disabilities, limited reading skills or who are blind or have low vision.
3. Parkview Center Local Policy Manual 2019-2 PREA Intake & Orientation (p. 1):
  1. Outlines the facility's policy to ensure that offenders with disabilities have an equal opportunity to participate in or benefit from the agency's efforts to prevent, detect, and respond to Sexual Abuse and Sexual Harassment.
  2. Outlines the facility's policy to provide written materials to every offender in formats or through methods that ensure effective communication with individuals with disabilities, including those who have intellectual disabilities, limited reading skills or who are blind or have low vision.

**What was heard as a part of a systematic review of evidence:**

1. Interview with Agency Head:
  1. Stated that in all of GEO's facilities we have developed PREA education materials in various formats to ensure that those

individuals with disabilities and those who are limited English proficient can equally benefit from the PREA program. We have developed posters, pamphlets, videos, large print materials, etc. as well as provide TTY phones, access to language lines and designated staff interpreters ensure we can effectively communicate the PREA procedures and available services to the individuals we house. Facilities are prohibited from relying on inmates to do this for us. GEO also reaches out to community-based resources (i.e. local colleges or organizations) that might be willing to assist us.

2. Per the facility PREA Manager, there were no residents that were deaf or hard of hearing housed at the facility during the onsite visit.

**What was observed as a part of a systematic review of evidence:**

1. Site review observations:
  1. Telecommunication Device for the Deaf (TDD) were available for staff to use in the event a resident was housed at the facility who was deaf.
  2. Handbooks with PREA educational materials are available in large print in both English and Spanish.
  3. PREA videos are closed captioned for those who are hard of hearing.

**115.216(b)**

**What was read as a part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has established procedures to provide residents with limited English proficiency equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to SA and SH.
2. PREA Resident Education Manual is available in English, Spanish, and Yupik.
3. Parkview Center resident reporting posters are printed in both English and Spanish.
4. Parkview Center has a contract in place with BIG Language Solutions to provide interpretation services.

**What was heard as a part of a systematic review of evidence:**

1. There were no residents with disabilities or limited English proficient housed at the facility during the onsite visit.

**What was observed as a part of a systematic review of evidence:**

1. Site review observations:

1. Signage for reporting and emotional support services were observed in both English and Spanish.
2. Educational material was observed in both English and Spanish.

**115.216(c)**

**What was read as a part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency prohibits the use of resident interpreters except in limited circumstances where an extended delay could compromise the resident's safety, the performance of first responder duties or the investigation of the resident's allegations.
  2. Reported that any use of resident interpreters is documented.
  3. Reported that in the past 12 months there were zero instances where residents were used to interpret.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 8):
  1. Outlines that individuals detained in a GEO facility shall not be relied on as readers, interpreters, or other types of assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the individual's safety, the performance of first-response duties in Section M (2), or the investigation of the individual's allegations. The use of individuals in a GEO program as interpreters shall be justified and fully documented in the written investigative report under these types of circumstances.
3. Parkview Center Local Policy Manual 2019-2 PREA Intake & Orientation (p. 1):
  1. Outlines that offenders may not be relied on as readers or other types of assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the individual's safety, the performance of first-responder's duties in an emergency, or the investigation of the individual's allegations.

**What was heard as a part of a systematic review of evidence:**

1. Interview with random staff:
  1. Staff interviewed stated that it was against policy to use other residents to interpret, and they would not do it.
  2. Most staff interviewed were not aware that a language line was available for them to use.
2. What was observed as part of a systematic review of evidence:
  1. Site review revealed that staff were not aware of a language line that could be used for interpretation services.

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|  | <p><b>NOTE:</b> Prior to the issuance of the final report, documentation was provided demonstrating that all staff were trained on the use of the language line and signed acknowledgements of such. Postings with phone numbers and instructions were placed throughout the facility in key areas with photo documentation provided to the auditor.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision, and corrective action is not required.</b></p> |
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| <b>115.217</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|                | <b>Auditor Overall Determination:</b> Exceeds Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <p><b>115.217</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/2024)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-1 PREA Staffing &amp; Facility Requirements (effective 4/1/19)</b></li> <li>• <b>Review of staff personnel files</b></li> <li>• <b>Interview with administrative staff Reasoning and analysis (by provision):</b></li> </ul> <p><b>115.217(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency policy prohibits hiring or promoting anyone who may have contact with residents and prohibits enlisting the services of any contractor who may have contact with residents who: <ol style="list-style-type: none"> <li>1. Has engages in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution.</li> <li>2. Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse.</li> <li>3. Has been civilly or administratively adjudicated to have engaged in the activity described above.</li> </ol> </li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive</li> </ol> |

Behavior and Intervention Procedure (p. 4):

1. Outlines that GEO Facilities are prohibited from hiring or promoting anyone (who may have contact with residents who has engaged in, been convicted of, or been civilly or administratively adjudicated for engaging in Sexual Abuse in confinement settings or the community.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing & Facility Requirements (p. 4):
  1. Outlines that Parkview Center is prohibited from hiring or promoting anyone (who may have contact with individuals housed in the facility) who has engaged in, been convicted of, or been civilly or administratively adjudicated for engaging in Sexual Abuse in confinement settings or the community.

**What was observed as part of a systematic review of evidence:**

1. Review of random employee files:
  1. Revealed that staff hired or promoted in the past 12 months had criminal record background checks conducted.
  2. Applications require applicant to self disclose any type of prohibited activity.
  3. Employee files of staff who were promoted revealed that additional background checks were conducted as a result of the promotion.

**115.217(b)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency policy requires the consideration of any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with residents.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 4):
  1. Facilities shall consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with Individuals in a GEO facility or program.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing & Facility Requirements (p. 4):
  1. Outlines that Parkview Center shall consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with individuals in a GEO Facility or Program.

**What was heard as part of a systematic review of evidence:**

1. Interview with administrative staff:

1. Confirmed that background checks are completed through a service called Accurate.
2. Confirmed that background clearances must also be obtained from the Alaska Department of Corrections for every employee prior to starting with the agency.

**115.217(c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency policy requires that before it hires any new employee who may have contact with residents, it conducts a criminal background record check, and makes its best efforts to contact all prior institutional employers for information on substantiated allegation of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse.
  2. Reported that in the past 12 months there were four persons hired who may have contact with residents who have had criminal background record checks.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 4):
  1. Each Facility shall conduct criminal background checks and make its best efforts to contact prior institutional employers to obtain information on substantiated allegations of Sexual Abuse or any resignation pending investigation of an allegation of sexual abuse, prior to hiring new employees.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing & Facility Requirements (p. 4):
  1. Outlines that criminal background checks will be conducted for all potential employees and best efforts will be taken to contact prior institutional employers (to obtain information on substantiated allegations of sexual abuse and/or any resignation pending investigation of an allegation of sexual abuse) prior to hiring new employees.

**What was heard as part of a systematic review of evidence:**

1. Interview with administrative staff:
  1. Confirmed that when an employee indicates that they have worked at another institution, part of the background that is completed includes requesting information from that facility regarding any substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse.

**What was observed as part of a systematic review of evidence:**

1. Review of random employee files:
  1. Revealed that applicants are asked about employment at other institutions.
  2. Revealed that information is requested from other institutions as part of the background investigation.

**115.217(d)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency policy requires that a criminal background check be completed before enlisting the services of any contractor who may have contact with residents.
  2. Reported that in the past 12 months, the number of contracts for services where criminal background record checks were conducted on all staff covered in the contract who might have contact with residents was two.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 11-12):
  1. Outlines that each Facility shall conduct criminal background checks and make its best efforts to contact prior institutional employers to obtain information on substantiated allegations of Sexual Abuse or any resignation pending investigation of an allegation of Sexual Abuse, prior to enlisting the services of any Contractor. Background checks shall be repeated for all Contractors at least every five years.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing & Facility Requirements (p. 4):
  1. Outlines that Parkview Center shall conduct criminal background checks and make its best efforts to contact prior institutional employers to obtain information on substantiated allegations of sexual abuse or any resignation pending investigation of an allegation of sexual abuse, prior to enlisting the services of any contractor.

**What was heard as part of a systematic review of evidence:**

1. Interview with administrative staff:
  1. Confirmed that background checks are completed through a contracted agency.

**115.217(e)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:



1. Reported that the agency policy requires that a criminal background check be conducted at least every five years for current employees and contractors who may have contact with residents.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 4 & 12):
  1. Background checks shall be repeated for all Employees at least every five years.
  2. Background checks shall be repeated for all Contractors at least every five years.

**What was heard as part of a systematic review of evidence:**

1. Interview with administrative staff:
  1. Confirmed that background checks are completed through a contracted agency.
  2. Confirmed that background checks are completed at least every five years, or when an employee is promoted.

**What was observed as part of a systematic review of evidence:**

1. Review of random employee files:
  1. Revealed that there were no current employees who had been at the facility for more than five years who were due another background check.
  2. Revealed that staff who were up for promotion have a background completed regardless of the time since the previous check.

**115.217(f/g)**

**What was read as part of a systematic review of evidence:**

1. Parkview Center Local Policy Manual 2019-1 PREA Staffing & Facility Requirements (p. 4):
  1. Outlines that employees are required to provide a continuing affirmative duty to disclose any such conduct and/or allegations to the Facility Administrator.
  2. Outlines that material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination.

**What was heard as part of a systematic review of evidence:**

1. Interview with administrative staff:
  1. Confirmed that staff are required to sign a disclosure form prior to hire and again as part of their yearly performance appraisal.

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|  | <p>2. Confirmed that staff are required to affirmatively disclose any misconduct.</p> <p><b>What was observed as part of a systematic review of evidence:</b></p> <p>1. Review of random staff files:</p> <p>1. Revealed that staff sign a disclosure statement yearly as part of their evaluation.</p> <p><b>115.217(h)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <p>1. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 8, 16):</p> <p>1. Outlines that unless prohibited by law, GEO shall provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work.</p> <p><b>What was heard as part of a systematic review of evidence:</b></p> <p>1. Interview with administrative staff:</p> <p>1. Stated that corporate will provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving request.</p> <p><b>Based on this analysis, the extensive background investigations completed and detailed documentation maintained, the facility substantially exceeds the requirements with this standard and corrective action is not required.</b></p> |
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| <b>115.218</b> | <b>Upgrades to facilities and technology</b>                                                                                                                                                                                                                      |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                              |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                         |
|                | <p><b>115.218(a/b)</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually</b></li> </ul> |

**Abusive Behavior and Intervention Procedure (effective 1/4/2024)**

- **Parkview Center Local Policy Manual 2019-1 PREA Staffing & Facility Requirements (effective 4/1/19)**
- **Interview responses from Agency Head**
- **Review of annual staffing assessments**

**Reasoning and analysis (by provision):**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the facility has not acquired a new facility or made a substantial expansion or modification to existing facilities.
  2. Reported that the facility has updated a video monitoring system, electronic surveillance system, or other monitoring technology since the previous PREA audit.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 4-5):
  1. Requires that GEO Reentry Services Division shall consider the effect any (new or upgrade) design, acquisition, expansion or modification of physical plant or monitoring technology might have on the facility's ability to protect residents from Sexual Abuse.
3. Parkview Center Local Policy Manual 2019-1 PREA Staffing & Facility Requirements (p. 4):
  1. Requires that GEO Reentry Services Division shall consider the effect any (new or upgrade) design, acquisition, expansion or modification of physical plant or monitoring technology might have on the facility's ability to protect residents from Sexual Abuse.

**What was heard as a part of a systematic review of evidence:**

1. Interview responses from the Agency Head:
  1. Reported that GEO routinely uses new technology to assist in better monitoring of the staff and inmates within its facilities. GEO routinely adds or improves camera coverage within its prisons, jails, reentry and youth facilities. New technology is added to screening areas to control contraband and assist in maintaining the safety of our facilities.
  2. Reported that corporate operations' staff routinely meet with vendors to look for more efficient and effective ways to bolster security and safety within our facilities.
  3. Reported that the company monitors events at both the local and national level to identify patterns where improvements can be made. This monitoring has been made possible through software applications and systems implemented nationally where audit results and events can be more easily tracked and compared.

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|  | <p><b>What was observed as a part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>Review of annual facility staffing assessments: <ol style="list-style-type: none"> <li>Revealed that video monitoring technology is regularly discussed, and recommendations are made to enhance the technology to better protect residents.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision, and corrective action is not required.</b></p> |
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| <b>115.221</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|                | <p><b>115.221</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> <ul style="list-style-type: none"> <li>• Parkview Center PAQ</li> <li>• GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (effective 1/1/2024)</li> <li>• Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</li> <li>• Attempted MOU Agreements with local law enforcement</li> <li>• Interview with Facility Director</li> <li>• Interview responses from PREA Coordinator</li> <li>• Interviews with random staff</li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.221(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>The facility PAQ: <ol style="list-style-type: none"> <li>Reported that the agency is responsible for conducting administrative sexual abuse investigations.</li> <li>Reported that the facility is not responsible for conducting criminal sexual abuse investigations.</li> <li>Reported that the Alaska Department of Corrections (Client) is responsible for all criminal sexual abuse investigations.</li> <li>Reported that when conducting sexual abuse investigations, the agency investigators follow a uniform evidence protocol.</li> </ol> </li> </ol> |

2. GEO Corporate Policy and Procedure Manual 5.1.2-E: Oversight (pp. 6-7)
  1. Facilities that are responsible for investigating allegations of Sexual Abuse are required to follow uniform evidence protocols that maximize the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions. The protocol shall be developmentally appropriate for youth where applicable, and as appropriate, shall be adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011.

**What was heard as part of a systematic review of evidence:**

1. Interviews with random sample of staff:
  1. Staff interviewed understood the agency's protocols for preserving and maintaining physical evidence if a resident alleges sexual abuse and gave examples of the steps they would take.

**115.221(b)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that protocol was adapted from the DOJ's Office on Violence Against Women publication: "A National Protocol for Sexual Assault Medical Forensic Examinations".
2. GEO Corporate Policy and Procedure Manual 5.1.2-E - Oversight (effective 2/14/2019) (p. 7):
  1. The protocol shall be developmentally appropriate for youth where applicable, and as appropriate, shall be adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011.

**115.221(c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the facility offers all residents who experience sexual abuse access to forensic medical examinations.
  2. Reported that forensic examinations are offered without financial

cost to the victim.

3. Reported that examinations are conducted by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs).
4. Reported that when SANEs or SAFEs are not available, a qualified medical practitioner performs forensic medical examinations.
5. Reported the facility documents efforts to provide SANEs or SAFE's.
6. Reported that there were zero forensic medical exams conducted during the past 12 months.

**115.221(d/e)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:

1. Reported that the facility attempts to make available to the victim a victim advocate from a rape crisis center either in person or by other means.
2. Reported that all efforts are documented.
3. Reported that if and when a rape crisis center is not available to provide victim advocate services, the facility provides a qualified staff member from a community-based organization or a qualified agency staff member.
4. Reported that if requested by the victim, a victim advocate, qualified agency staff member, or qualified community-based organization staff member accompanies and supports the victim through the forensic medical examination process and investigatory interviews and provides emotional support, crisis intervention, information, and referrals.
5. Reported that Parkview Center had no requests for victim advocacy services during this review period.

**What was heard as a part of a systematic review of evidence:**

1. Interview responses from PREA Coordinator:

1. Stated that GEO policy requires each facility to pursue a Memorandum of Understanding (MOU) with a community-based provider capable of providing victim advocacy services. In the event a MOU cannot be obtained, the facility is required document the attempt to enter into a MOU and/or maintain documentation that no other alternatives are available in the community. If no alternatives are available, an agency staff member is screened for appropriateness to serve as an advocate and trained on the provision of victim advocacy services. Agency staff training is documented and maintained as part of the staff member's official training record.

2. Stated that each facility ensures the rape crisis center provides the following: 24- hour hotline services; accompaniment and advocacy through medical, criminal just and support systems; crisis intervention services to include individual and group support services; information and referrals to assist the victim; community-based services; and the development/distribution of materials related to available services.
2. Interview with Facility Director:
  1. Stated that she is trained as a victim advocate and provided documentation.
  2. Stated that there were no residents in the facility at the time of the onsite who had reported a sexual abuse.

**115.221(f)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has requested that the responsible agency follow the requirements of paragraphs 115.221 (a) through (e).
2. Documentation of attempts to enter into a MOU with Anchorage P.D. was reviewed and found to contain all of the elements outlined in this standard.

**What was heard as part of a systematic review of evidence:**

1. Interview with the Facility Director:
  1. The Facility Director reported that all allegations of sexual abuse are turned over to and investigated by either the Alaska Department of Corrections or the Anchorage P.D.

**115.221(h)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-E - Oversight (pp. 7-8):
  1. GEO facilities may not utilize facility employees as victim advocates unless the following documentation exists community; and documentation exists that validate designated employees have been screened for appropriateness to serve in this role and have received education concerning sexual assault and forensic examination issues in general.
2. Documentation outlining training and qualifications of Facility Director as a victim advocate was examined.

**Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.**

| 115.222 | Policies to ensure referrals of allegations for investigations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
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|         | <p data-bbox="280 185 981 219"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="280 264 564 297"><b>Auditor Discussion</b></p> <p data-bbox="280 338 411 371"><b>115.222</b></p> <p data-bbox="280 412 1295 445"><b>Evidence relied upon in making the compliance determinations:</b></p> <ul data-bbox="352 512 1396 882" style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (effective 1/1/24)</b></li> <li>• <b>GEO Website (Investigations)</b></li> <li>• <b>Review of investigative documentation</b></li> <li>• <b>Interview responses from Agency Head</b></li> <li>• <b>Interview with investigative staff</b></li> </ul> <p data-bbox="280 922 895 956"><b>Reasoning and analysis (by provision):</b></p> <p data-bbox="280 996 453 1030"><b>115.222(a)</b></p> <p data-bbox="280 1070 1212 1104"><b>What was read as part of a systematic review of evidence:</b></p> <ol data-bbox="341 1171 1473 1749" style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment.</li> <li>2. Reported that in the past 12 months there were two allegations of sexual abuse and sexual harassment that were received.</li> <li>3. Reported that in the past 12 months the number of allegations resulting in administrative investigations was two.</li> <li>4. Reported that in the past 12 months the number of allegations referred for criminal investigations were zero.</li> <li>5. Reported that in the past 12 months, one case remains open.</li> </ol> </li> <li>2. A review of investigative documentation for allegations received during this reporting period revealed that administrative or criminal investigations were completed for all allegations of sexual abuse and sexual harassment.</li> </ol> <p data-bbox="280 1789 1232 1823"><b>What was heard as part of a systematic review of evidence:</b></p> <ol data-bbox="341 1890 1452 2047" style="list-style-type: none"> <li>1. Interview responses from Agency Head: <ol style="list-style-type: none"> <li>1. Reported that administrative or criminal investigations are required by corporate and local facility policies. an investigation would be conducted by either the client investigative unit, local law</li> </ol> </li> </ol> |



enforcement (if criminal) or a trained GEO facility investigator (administrative only).

2. Reported that GEO has designated staff at each facility that have received PREA Specialized Investigations training. GEO also utilizes local, state or federal agencies to investigate PREA allegations based on client contract requirements.
3. Regardless of who does the investigation, all PREA allegations are documented and referred to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve criminal behavior.

**115.222 (b/c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has a policy that requires that allegations of sexual abuse or sexual harassment be referred for investigation to an agency with the legal authority to conduct criminal investigations.
  2. Reported that the agency's policy regarding the referral of allegations of sexual abuse or sexual harassment for criminal investigation is published on the agency website.
  3. Reported that the agency documents all referrals of allegations of sexual abuse or sexual harassment for criminal investigation.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 1):
  1. Outlines that each facility shall have a policy in place to ensure all allegations of sexual abuse or sexual harassment are referred for investigation to a law enforcement agency with legal authority to conduct criminal investigations unless the allegation does not involve potentially criminal behavior. Facilities shall document all referrals.
  2. GEO shall publish its corporate investigations policy on its website.
3. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (pp. 1-2):
  1. Outlines that each facility shall have a policy in place to ensure that all allegations of sexual abuse or sexual harassment are referred for investigation to a law enforcement agency with legal authority to conduct criminal investigations unless the allegation does not involve potentially criminal behavior. Facilities shall document all referrals.
  2. Outlines that each GEO operational subsidiary, business unit or program shall have a current policy in place to ensure that:
    1. Allegations of sexually abusive behavior receive prompt intervention upon report.
    2. Perpetrators of sexually abusive behavior are disciplined and,

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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|  | <p>when appropriate, referred for prosecution in accordance with GEO policy and federal, state, or local laws.</p> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interview with investigative staff: <ol style="list-style-type: none"> <li>1. Reported that all the agency documents all referrals of allegations of sexual abuse and/or sexual harassment for criminal investigation.</li> </ol> </li> <li>2. A review of the agency's website: <ol style="list-style-type: none"> <li>1. <a href="https://www.geogroup.com/PREA">https://www.geogroup.com/PREA</a></li> <li>2. Describes investigative responsibilities of both the agency and the separate entity that conducts criminal investigations for the agency.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.231</b> | <b>Employee training</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
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|                | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|                | <p><b>115.231</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/1/24)</b></li> <li>• <b>PREA Staff Training Curriculum</b></li> <li>• <b>PREA Staff Training Acknowledgement Waivers</b></li> <li>• <b>Interviews with random staff</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.231(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency trains all employees who may have contact with residents on the agency's zero-tolerance policy for sexual abuse and sexual harassment.</li> <li>2. Reported that the agency trains all employees who may have contact with residents on how to fulfill their responsibilities under</li> </ol> </li> </ol> |

agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures.

3. Reported that the agency trains all employees who may have contact with residents on the right of residents to be free from sexual abuse and sexual harassment.
  4. Reported that the agency trains all employees who may have contact with residents on the right of residents and employees to be free from retaliation for reporting sexual abuse and sexual harassment.
  5. Reported that the agency trains all employees who may have contact with residents on the dynamics of sexual abuse and sexual harassment in confinement.
  6. Reported that the agency trains all employees who may have contact with residents on the common reactions of sexual abuse and sexual harassment victims.
  7. Reported that the agency trains all employees who may have contact with residents on how to detect and respond to signs of threatened and actual sexual abuse.
  8. Reported that the agency trains all employees who may have contact with residents on how to avoid inappropriate relationships with residents.
  9. Reported that the agency trains all employees who may have contact with residents on how to communicate effectively and professionally with residents, including lesbian, gay, bisexual, transgender, intersex, or gender-nonconforming residents.
  10. Reported that the agency trains all employees who may have contact with residents on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 9):
1. Outlines that each facility shall train all employees who may have contact with individuals in a GEO Facility or Program on:
    1. Its zero-tolerance policy for sexual abuse and sexual harassment.
    2. How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention detection, reporting and response policies and procedures.
    3. An individual in a GEO facility or program right to be free from sexual abuse and sexual harassment.
    4. The right of individuals in a GEO facility or program and employees to be free from retaliation for reporting sexual abuse and sexual harassment.
    5. The dynamics of sexual abuse and sexual harassment in confinement.
    6. The common reactions of sexual abuse and sexual harassment victims.

7. How to detect and respond to signs of threatened and actual sexual abuse.
8. How to avoid inappropriate relationships with Individuals in a GEO facility or program.
9. How to communicate effectively and professionally with individuals in a GEO facility or program, including LGBTI or Gender Non-conforming individuals.
10. How to comply with relevant laws related to mandatory reporting of Sexual Abuse to outside authorities.

**What was heard as part of a systematic review of evidence:**

1. Interviews with random staff:
  1. Staff interviewed acknowledged initial and annual training on all required aspects of this standard.
  2. Staff interviewed were able to provide details regarding various aspects of the training to demonstrate their understanding.

**What was observed as part of a systematic review of evidence:**

1. Random review of employee training files:
  1. All files reviewed contained a signed acknowledgement by staff that training was provided and understood.
  2. Staff are provided with a test that must be completed before advancing through the training. Files were spot checked and found to all contain the material.
2. PREA staff training curriculum:
  1. Outlines training that includes all required aspects of this standard.

**115.231(b)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that training is tailored to the gender of the residents at the facility.
  2. Reported that employees who are reassigned from facilities housing the opposite gender are given additional training.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 9):
  1. Outlines that employee training shall be tailored to the gender of the individuals in the GEO facility or program at the employee's facility, and employees shall receive additional training if transferring between facilities that house individuals of different genders.

**What was observed as part of a systematic review of evidence:**

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|  | <ol style="list-style-type: none"> <li>1. Parkview Center houses only male residents.</li> <li>2. PREA staff training curriculum contains training tailored to both male and female detainees.</li> </ol> <p><b>115.231(c)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that between trainings the agency provides employees who may have contact with residents receive refresher information about current policies regarding sexual abuse and harassment at staff meetings, department head meetings and through PREA postings.</li> <li>2. Reported that additional information is reviewed during staff meetings and when policies are reviewed or updated.</li> <li>3. Reported that employees who may have contact with residents receive annual training on PREA requirements on an annual basis.</li> </ol> </li> </ol> <p><b>What was observed as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. A review of staff training records revealed that all current employees who may have contact with residents received training on PREA requirements. This training is conducted annually.</li> </ol> <p><b>115.231(d)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency documents that employees who may have contact with residents understand the training they have received through employee signature or electronic verification.</li> </ol> </li> </ol> <p><b>What was observed as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Staff files were randomly inspected, and all contained signed acknowledgements by staff that training was provided and understood.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.232</b> | <b>Volunteer and contractor training</b>             |
|                | <b>Auditor Overall Determination:</b> Meets Standard |

## **Auditor Discussion**

**115.232**

### **Evidence relied upon in making the compliance determinations:**

- **Parkview Center PAQ**
- **GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/1/24)**
- **GEO Contractor/Volunteer training curriculum: Sexually Abusive Behavior Prevention and Intervention Program (PREA) Orientation (effective 2017)**
- **Interview with volunteer**

### **Reasoning and analysis (by provision):**

**115.232(a/b/c)**

### **What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that all volunteers and contractors who have contact with residents have been trained on their responsibilities under the agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection, and response.
  2. Reported that there are six volunteers and contractors who have been trained.
  3. Reported that the level and type of training provided to volunteers and contractors is based on the services they provide and level of contact they have with residents.
  4. Reported that all volunteers and contractors who have contact with residents have been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents.
  5. Reported that the agency maintains documentation confirming that volunteers and contractors who have contact with residents understand the training they have received.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 10-11):
  1. Outlines that all contractors and volunteers shall receive training on GEO's Sexually Abusive Behavior Prevention and Intervention Program prior to assignment.
  2. Outlines that each facility shall ensure that all contractors and volunteers who have contact with Individuals in a GEO facility or program are trained on their responsibilities under GEO's sexual abuse and harassment prevention, detection, and response policies and procedures.

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|  | <ol style="list-style-type: none"> <li>3. Contractors and volunteers who have contact with Individuals in a GEO facility or program shall receive annual PREA refresher training.</li> <li>4. Outlines that unless client mandates require electronic verification, contractors and volunteers shall document through signature on the PREA Basic Training Acknowledgement Form that they understand the training they have received. This form shall be used to document Annual PREA refresher Training.</li> <li>3. GEO Contractor/Volunteer training curriculum: Sexually Abusive Behavior Prevention and Intervention Program (PREA) Orientation: <ol style="list-style-type: none"> <li>1. Curriculum outlines the expectations of all contractors and volunteers regarding the agency's zero-tolerance policy and reporting responsibilities.</li> </ol> </li> <li>4. Review of volunteer and contractor training records: <ol style="list-style-type: none"> <li>1. Volunteers and contractors are required to sign off on the agency's zero-tolerance policy as well as acknowledge an understanding of the training provided.</li> </ol> </li> </ol> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interview with volunteer: <ol style="list-style-type: none"> <li>1. Reported that he had received training regarding his responsibilities toward prevention, detection and response to sexual harassment and sexual abuse.</li> <li>2. Gave examples of training topics that were covered.</li> <li>3. Reported that he was informed of the agency's zero tolerance policy and had to sign acknowledgement of such.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.233</b> | <b>Resident education</b>                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                               |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <p><b>115.233</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center Pre-Audit Questionnaire (PAQ)</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/1/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-2 PREA Intake and</b></li> </ul> |

**Orientation (effective 4/22/19)**

- **PREA Education Manual for Residents (English) (effective 2023)**
- **PREA Education Manual for Residents (Spanish) (effective 2023)**
- **Resident Acknowledgement of Receipt of PREA Educational Manual**
- **Resident Acknowledgement of Required Training**
- **Review of random resident intake records**
- **Interviews with intake staff**
- **Interviews with random residents**
- **Site review observations**

**Reasoning and analysis (by provision)**

**115.233(a)**

**What was read a part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that residents receive information at time of intake about the zero- tolerance policy, how to report incidents or suspicions of sexual abuse or harassment, their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and regarding agency policies and procedures for responding to such incidents.
  2. Reported that 327 residents admitted during the past 12 months were given this information.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A: Oversight (pp. 8-9):
  1. Outlines that during the intake process, reentry facilities shall provide each individual in a GEO facility with written information (i.e., handbooks, pamphlets, etc.) on GEO's zero-tolerance policy regarding sexual abuse and sexual harassment, how to report incidents or suspicions of sexual abuse or sexual harassment, their right to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and regarding facility policies and procedures for responding to such incidents.
3. Parkview Center Local Policy Manual 2019-2 PREA Intake and Orientation (pp. 3-4):
  1. Outlines that all current offenders in GEO Reentry Services – Parkview Center will receive comprehensive education upon arrival or not to exceed 7 days from their intake. The comprehensive education shall include information on individual's right to be free from Sexual Abuse and Sexual Harassment and to be free from retaliation for reporting such incidents, and regarding Facility policies and procedures for responding to such incidents. The comprehensive education shall be delivered in person and via video format.

**What was heard as part of a systematic review of evidence:**



1. Interviews with intake staff:
  1. Intake staff reported that residents are provided with information regarding the facilities zero-tolerance for sexual abuse and sexual harassment at the time of their intake.
  2. Intake staff reported that orientation normally takes place the same day that the resident arrives to the facility.
2. Interviews with random residents:
  1. All residents interviewed reported that they received information regarding the facility's zero-tolerance policy, their right to be free from sexual abuse and sexual harassment, their right to be free from retaliation for reporting sexual abuse and sexual harassment.
  2. Residents interviewed reported that they received this information the same day they arrived to the facility, or the following day if they came in during the evening.

**What was observed as part of a systematic review of evidence:**

1. Site review observations:
  1. A resident intake was observed for a resident that had come in that same day.
  2. Orientation included information about the zero-tolerance policy, how to report incidents or suspicions of sexual abuse or harassment, their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and regarding agency policies and procedures for responding to such incidents.
  3. Information was provided in the form of a handbook.
2. Review of intake records:
  1. A random sampling of intake records revealed that residents signed acknowledgement for receipt of PREA information during the intake process.
  2. A random sampling of intake records revealed that residents received orientation the same or following day after arriving to the facility.
3. Review of resident PREA education materials:
  1. PREA education materials cover all relevant materials.
  2. PREA education materials are provided in both English and Spanish.

**115.233(b)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the facility provides residents who are transferred from a different community confinement facility with refresher information referenced in 115.233(a).
  2. Reported that 57 residents transferred from a different community

confinement facility in the past 12 months.

3. Reported that 57 residents transferred from a different community confinement facility during the past 12 months received refresher training.

2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 8):

1. Outlines that individuals in a GEO facility shall receive education each time they are transferred to/from a different facility.

**What was heard as a part of a systematic review of evidence:**

1. Interview with intake staff:

1. Reported that all residents receive the same PREA information at intake and orientation regardless of whether they came from another facility or not.

2. Interviews with random residents:

1. All residents interviewed stated that they received orientation material when arriving to the facility, including those who stated that came from other facilities.

**115.233(c/d)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:

1. Reported that resident PREA education is available in formats accessible to all residents, including those who are limited English proficient.
2. Reported that resident PREA education is available in formats accessible to all residents, including those who are deaf.
3. Reported that resident PREA education is available in formats accessible to all residents, including those who are visually impaired.
4. Reported that resident PREA education is available in formats accessible to all residents, including those who are otherwise disabled.
5. Reported that resident PREA education is available in formats accessible to all residents, including those who are limited in their reading skills.
6. Reported that the agency maintains documentation of resident participating in PREA education sessions.

2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 9):

1. Outlines that in all facilities, education shall be provided in formats accessible to all individuals in a GEO facility or program, including those with disabilities and those who are limited English proficient.
2. Outlines that in all facilities, individuals shall sign for receipt of

written materials and participation in comprehensive education sessions which shall be retained in their individual files.

3. Parkview Center Local Policy Manual 2019-2 PREA Intake and Orientation (p. 4):

1. Outlines that sexual abuse and sexual harassment education shall be provided in formats accessible to all offenders, including those with disabilities and those who are limited English proficient.
2. Requires that each offender shall sign for receipt of written materials and participation in comprehensive education sessions which shall be retained in their individual files and a copy maintained by the PREA Compliance Manager.
3. Outlines that designated staff interpreters or external interpreter services shall be utilized for those residents who are limited English proficient. Staff shall document the use of these interpreter services as appropriate.
4. For residents who are hearing impaired, the facility has a telecommunication device for the deaf (TTD) machine available.
5. Residents with limited vision are assisted by some of the posters and resident sexual abuse manuals and pamphlets having been printed in larger print.
6. For residents with a mental disability, staff should spend extra time to ensure they understand the PREA basics to include definitions and reporting information.
7. For those residents who are blind, staff shall read the information to these individuals. Staff shall be trained on the use of interpreters, interpreter services and other available resources as part of PREA training.

4. PREA Education Manual for Residents:

1. Observed to be available in both English and Spanish.

**What was heard as a part of a systematic review of evidence:**

1. Interview with intake staff:

1. Reported that orientation and education materials were available in both English and Spanish.
2. Reported that they would read materials to residents who were unable to read or had low vision.
3. Reported that if a language barrier existed, they would enlist the services of a staff member who was bilingual.

**NOTE:** At the time of the onsite, staff interviewed stated that they would not use other residents to interpret, however most of the staff were not aware of the existence of a language line. During the site review, there was no information regarding language line services posted in the intake area or other areas where staff might need access. When addressed with facility leadership, efforts were made to educate staff on the existence of the language line, and instructions on how to

access the services. Posters with instructions were prominently posted throughout the facility and all staff signed acknowledgements that they received and understood this additional training.

**What was observed as part of a systematic review of evidence:**

1. Site review observations:
  1. Resident education materials were observed in both English and Spanish.
  2. A TDD machine was observed to be available for residents who were deaf.
2. PREA video acknowledgement receipts were randomly reviewed and found to be complete.
3. Resident Acknowledgement of Receipt of PREA Educational Material receipts were randomly reviewed and found to be complete.

**115.233(e)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency ensures that key information about the agency's PREA policies is continuously and readily available or visible through posters, resident handbooks, or other written formats.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 4):
  1. Outlines that key information shall be provided to individuals in a GEO facility continuously through readily available handbooks, brochures, posters, or other written materials.
3. Parkview Center Local Policy Manual 2019-2 PREA Intake and Orientation (p. 4):
  1. Outlines that key information related to sexual abuse and sexual harassment shall be provided to offenders on a continuous basis through readily available, handbooks, brochures, or other written materials. All residents shall be made aware of local, state, and national hotlines to contact for any allegations. These hotlines are also posted throughout the facility at various locations. The "PREA Educational Manual" for residents contains reporting information and each resident will receive a copy prior to arrival or upon arrival during monitor orientation and sign an acknowledgement form which verifies the resident received the document.

**What was observed as part of a systematic review of evidence:**

1. Site review observations:
  1. Signage was observed throughout the facility providing options for

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|  | <p>reporting sexual abuse and sexual harassment as well as educational materials regarding PREA.</p> <p>2. Handbooks are provided to each resident that they are able to retain.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.234</b> | <b>Specialized training: Investigations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                | <p><b>115.234</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/1/24)</b></li> <li>• <b>PREA Specialized Training Investigating Sexual Abuse in Adult/ Juvenile Correctional Settings (dated October 2019)</b></li> <li>• <b>PREA Investigator Specialized Training Documentation</b></li> <li>• <b>Interview responses from PREA Investigator</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.234(a/b/c/d)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that agency policy requires that investigators are trained in conducting sexual abuse investigations in confinement settings.</li> <li>2. Reported that the agency maintains documentation showing that investigators have completed the required training.</li> <li>3. Reported that the number of investigators currently employed who have completed the required training is zero.</li> <li>4. Reported that the facility currently does not have any local staff trained in PREA Specialized Investigations. The PREA Reentry Services Division Coordinator assigns an investigator for allegations received. All investigations on allegations received at the facility have been performed by staff who have been trained in PREA Specialized Investigations.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive</li> </ol> |

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|  | <p>Behavior and Intervention Procedure (p. 10):</p> <ol style="list-style-type: none"> <li>1. Outlines that investigators shall be trained in conducting investigations of sexual abuse in confinement settings.</li> <li>2. The specialized training shall include techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection and the criteria and evidence required to substantiate a case for administrative action or prosecution referral.</li> <li>3. Investigators shall receive this specialized training in addition to the training mandated for employees in Section F (I).</li> <li>4. Facilities shall maintain documentation of this specialized training. Training documentation shall be kept on file at the facility.</li> </ol> <ol style="list-style-type: none"> <li>3. PREA Specialized Training Investigating Sexual Abuse in Adult/Juvenile Correctional Settings. <ol style="list-style-type: none"> <li>1. Outlines training topics that cover all components required by this standard.</li> </ol> </li> <li>4. PREA Investigator training documentation was reviewed and found to contain the required training for investigators.</li> </ol> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interview with PREA Investigator: <ol style="list-style-type: none"> <li>1. Reported that he has attended specialized DOJ training specific to conducting PREA investigations within confinement facilities.</li> <li>2. Reported that the topics included techniques for interviewing sexual abuse victims.</li> <li>3. The proper use of Miranda and Garrity warnings.</li> <li>4. Evidence collection in confinement settings.</li> <li>5. The criteria and evidence required to substantiate a case for administrative or prosecution referral.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.235</b> | <b>Specialized training: Medical and mental health care</b>                                      |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                             |
|                | <b>Auditor Discussion</b>                                                                        |
|                | <p><b>115.235</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> |

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|  | <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>Statement from Parkview Center Facility Director</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.235(a/b/c/d)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the facility does not employ medical or mental health care staff.</li> </ol> </li> <li>2. Memorandum from Parkview FacilityDirector: <ol style="list-style-type: none"> <li>1. Parkview Facility Director confirmed that the Parkview Center does not have any full or part-time medical/mental health staff. All medical and mental health referrals are made to offsite providers.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.241</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
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|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|                | <p><b>114.241</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/1/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-3 PREA Screening /Admission (effective 4/1/19)</b></li> <li>• <b>PREA Risk Assessments</b></li> <li>• <b>PREA Vulnerability Reassessment Questionnaire</b></li> <li>• <b>Site review observations</b></li> <li>• <b>Interviews with staff responsible for risk screening</b></li> <li>• <b>Interview responses from PREA Coordinator</b></li> <li>• <b>Interviews with random residents</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.241(a/b/c)</b></p> |

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has a policy that requires screening upon admission to a facility or transfer to another facility for risk of sexual abuse victimization or sexual abusiveness toward other residents.
  2. Reported that policy requires that residents be screened for risk of sexual victimization or risk of sexually abusing other residents within 72 hours of their intake.
  3. Reported that 327 residents entered the facility in the past 12 months and that 309 residents were screened within 72 hours.
  4. Reported that risk assessment is conducted using an objective screening instrument.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 5):
  1. Outlines that all individuals shall be assessed in-person, by GEO staff, during intake, and upon transfer, from another confinement facility, for their risk of being sexually abused or harassed by another individual or being sexually abusive toward another individual.
  2. Requires that this screening shall take place within 24 hours of arrival at all facilities utilizing an objective screening instrument. Unless mandated by client contract, facilities shall use the GEO PREA Risk Assessment Tool (see Attachment B) to conduct the initial risk screening assessment.
3. Parkview Center Local Policy Manual 2019-3 PREA Screening /Admission (p. 2):
  1. Outlines that all offenders placed at the Parkview Center shall be assessed during intake (and/or upon transfer) for their risk of being sexually abused by another offender residing at Parkview Center or being sexually abusive towards another offender residing at the Parkview Center.
  2. Requires that this screening shall take place within 24 hours of arrival utilizing the approved "GEO PREA Risk Assessment Tool". In addition to the screening instrument, screening staff shall conduct a thorough review of any available records (i.e. medical files or pre-sentence investigation reports, etc.) which can assist them with the risk assessment.

**What was heard as a part of a systematic review of evidence:**

1. Interviews with staff responsible for risk screening:
  1. Reported that they conduct a risk screening on individuals coming into facility, normally the same day they arrive, but always within 24 hours of their arrival.
  2. Reported that they use a standard GEO PREA Risk Assessment form.
2. Interviews with random residents:



1. Reported that they were asked questions from the risk screening either as soon as they entered the facility, or the next day if they arrived later in the evening.

**What was observed as part of a systematic review of evidence:**

1. Site review observations:
  1. Intake was observed for a resident that had just arrived at the facility.
  2. "GEO PREA Risk Assessment Tool" was used by staff to complete the screening.
  3. Informal conversations with staff and residents revealed that intakes are done upon the resident's arrival unless they arrive later in the evening in which case the screening takes place the next day.

**115.241(d/e)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 5):
  1. Outlines that screenings shall consider:
    1. Mental, physical or developmental disability.
    2. Age.
    3. Physical build
    4. Previous incarceration.
    5. If criminal history is exclusively nonviolent
    6. Prior convictions for sex offenses against an adult or child.
    7. If the individual is or is perceived to be LGBTI or gender nonconforming.
    8. If previously experienced sexual victimization.
    9. The individual's own perception of vulnerability.
  2. Outlines that the risk assessment tool shall also consider prior acts of sexual abuse, prior convictions for violent offenses, and history of prior institutional violence or sexual abuse known to the facility in assessing the risk of being sexually abusive.
2. GEO PREA Risk Assessment Tool:
  1. Considers all criteria as outlined in the standard and policy.

**What was heard as part of a systemic review of evidence:**

1. Interviews with staff responsible for risk screening:
  1. Staff interviewed reported that the GEO PREA Risk Assessment Tool was used during the intake screening process and that it included all the required elements outlined in this standard.

**115.241(f/g)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that policy requires that the facility reassess each resident's risk of victimization or abusiveness with a set time period, not to exceed 30 days after the resident's arrival at the facility, based upon any additional, relevant information received by the facility since the intake screening.
  2. Reported that 320 residents entered the facility in the past 12 months whose length of stay was for 30 days.
  3. Reported that 320 residents whose length of stay was for 30 days, or more were reassessed within 30 days of their arrival.
  4. Reported that policy requires that a resident's risk level be reassessed when warranted due to a referral, request, incident of sexual abuse or receipt of additional information that bears on the resident's risk of sexual victimization or abusiveness.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 5):
  1. Outlines that GEO staff shall conduct an in-person reassessment of individuals within a set period, not to exceed 30 days from arrival at the facility. The staff shall reassess the individual's risk for victimization or abusiveness based upon any additional, relevant information received by the facility since the initial risk assessment. Unless mandated by client contract, facilities shall use the GEO PREA Vulnerability Reassessment Questionnaire (Attachment C) to conduct the reassessment.
3. Parkview Center Local Policy Manual 2019-3 PREA Screening /Admission (p. 2):
  1. Outlines that within a set time period, not to exceed 30 days from arrival at the facility, staff shall reassess the offender's risk for victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening. The facility shall use the approved "GEO PREA Vulnerability Reassessment Questionnaire" to conduct the reassessment.

**What was heard as part of a systematic review of evidence:**

1. Interviews with staff responsible for risk screening:
  1. Staff interviewed stated that a reassessment of residents occurs within 30 days of their initial assessment.
  2. Staff interviewed stated that residents would be reassessed if any additional, relevant information became available.
2. Interviews with residents:
  1. Residents interviewed stated that they had been reassessed again

after their arrival or did not recall since they had been in the facility for so long.

**What was observed as part of a systematic review of evidence:**

1. A random sample of 22 resident files revealed that 20 of the files had received a reassessment screening within 30 days of their arrival.
2. Two resident files had reassessments completed, but not within the 30-day period.
3. A conversation with the Facility Director revealed that two reassessments were missed due to a change in staff. The missing assessments were discovered during a review of resident files and completed at that time

**115.241(h)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that policy prohibits disciplining residents for refusing to answer (or for not disclosing complete information related to) the questions regarding: (a) whether or not the resident has a mental, physical, or developmental disability; (b) whether or not the resident is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender non-conforming; (c) Whether or not the resident has previously experienced sexual victimization; and (d) the resident's own perception of vulnerability.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 5):
  1. Disciplining individuals for refusing to answer or not providing complete information in response to questions d (1), d (7), d (8) and d (9) above is prohibited.
3. Parkview Center Local Policy Manual 2019-3 PREA Screening /Admission (p. 2):
  1. Outlines that disciplining offenders for refusing to answer or not providing complete information in response to certain screening questions is prohibited.

**What was heard as a part of a systematic review of evidence:**

1. Interviews with staff responsible for risk screening:
  1. Staff stated that residents would not and have not been disciplined for refusing to answer any of the questions on the risk screening.
  2. Intake staff reported that they have not experienced a resident refusing to answer any of the risk assessment questions but would follow up with a supervisor if it happened to occur.

**115.241(i)****What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 5):
  1. Outlines that facilities shall implement appropriate controls on disseminating responses to questions about sexual victimization or abusiveness to ensure that employees or other individuals do not exploit sensitive information.
  2. Sensitive information shall be limited to need-to-know employees only for treatment, programming, housing, security, and management decisions.
2. Parkview Center Local Policy Manual 2019-3 PREA Screening /Admission (p. 2):
  1. Outlines that the facility shall implement appropriate controls on dissemination of responses to questions asked related to sexual victimization or abusiveness in order to ensure that sensitive information is not exploited by employees or other offenders.
  2. Requires that sensitive information shall be limited to need-to-know employees only for the purpose of treatment, programming, housing and security and management decisions. Only designated staff identified by the Facility Director shall have access to completed risk screening information which shall be maintained in a secure area of the facility.

**What was heard as a part of a systematic review of evidence:**

1. Interview with PREA Coordinator:
  1. Reported that access to a resident's risk assessment is limited to those requiring the information to make housing, work assignment, and programming/education decisions.
2. Interview with staff responsible for risk screening:
  1. Reported that the completed risk screenings are all turned over to the PREA Manager (Facility Director) who keeps them locked in her office.
3. Interview with PREA Manager:
  1. Reported that when she receives completed risk screenings, they are locked in a cabinet inside her office.
  2. Reported that access to her office and the keys to filing cabinets are strictly controlled and limited to only staff who need to access the information.

**What was observed as part of a systematic review of evidence:**

1. Site observations:

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|  | <ol style="list-style-type: none"> <li>1. File storage areas were observed to be locked with limited access.</li> <li>2. Computers access is strictly controlled, and staff only have access to files that are related to their specific assignments.</li> <li>3. All computers have lock-screens that require staff to enter a password to activate.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>115.242</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|                | <p><b>115.242</b></p> <p><b>Evidence relied upon in making the compliance determination:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/1/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-3 PREA Screening/ Admission (effective 4/1/19)</b></li> <li>• <b>PREA Risk Assessments</b></li> <li>• <b>PREA Vulnerability Reassessment Questionnaire</b></li> <li>• <b>At-Risk Log</b></li> <li>• <b>LGBTI Log</b></li> <li>• <b>Site review observations</b></li> <li>• <b>Interview with PREA Coordinator</b></li> <li>• <b>Interview with PREA Manager</b></li> <li>• <b>Interview with staff responsible for risk screening</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.242(a/b)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the facility uses information from the risk screening guide required by 115.241 to inform housing, bed, work, education, and program assignments with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive.</li> </ol> </li> </ol> |

2. Reported that the facility makes individualized determinations about how to ensure the safety of each resident.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 6):
  1. Requires that screening information from standard Section D (1) shall be used to determine housing, bed, work, education, and programming assignments within the facility to keep potential victims away from potential abusers. The PREA compliance manager will maintain an "at-risk log" of potential victims and potential abusers determined from the initial PREA risk assessment. The "at-risk log" will be kept current and include current housing locations. Note: Following a reported allegation of sexual abuse, the PREA compliance manager will ensure that alleged victims and abusers are placed on the "at risk log" as soon as possible, tracked as a potential victim or a potential abuser, and housed separately pending the outcome of the investigation. Individuals tracked on the "at risk log," due to a reported allegation may be removed from the log if the allegation is determined to be unfounded, or the individual is released from custody. If an allegation is determined to be unsubstantiated, the alleged victim(s) and abuser(s) shall remain on the "at risk log."
3. Parkview Center Local Policy Manual 2019-3 PREA Screening/Admission (p. 3):
  1. Outlines that screening information shall be used to determine housing, bed, work, education, and programming assignments within the facility in order to keep potential victims away from potential abusers. The PREA Compliance Manager will maintain an "at risk log" of potential victims and potential abusers determined from the PREA Intake Risk Screening Assessment. The "at risk log" will be kept current and include current housing locations.

**What was heard as part of a systematic review of evidence:**

1. Interview with PREA Coordinator:
  1. Reported that residents who score "at risk," for victimization or abuse are referred for medical and/or mental health assessments as required and tracked on an "at risk log," which contains, at a minimum, their status as a potential victim/perpetrator and housing location. The facility's designated PCM is responsible for reviewing each assessment to ensure proper completion and maintaining the "at risk log," to ensure potential victims and abusers are housed separately.
2. Interview with PREA Manager:
  1. Reported that the risk screenings are used to determine the most appropriate and safest area to house each individual resident.
3. Interviews with staff responsible for risk screening:

1. Reported that when residents are identified as being at risk, a referral is made for a mental health assessment which they have the option of declining. The mental health referral is documented as well as the resident's acceptance or declination. Risk assessments are used to ensure that residents are housed appropriately to ensure their safety.
2. Reported that rooms/floors within the facility are designated to keep individuals identified as vulnerable are kept separate from those identified as possible aggressors.

**115.242(c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the in deciding whether to assign a transgender or intersex resident to a facility for male or female residents, the agency shall consider on a case-by- case basis whether a placement would ensure the resident's health and safety.
  2. Reported that in making housing and programming assignments, the facility shall consider on a case-by-case basis whether a placement of a transgender or intersex resident would present management or security problems.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 6):
  1. Outlines that in making housing and programming assignments for Transgender or Intersex Individuals in a GEO facility or program, the facility shall consider on a case-by- case basis whether the placement would present management or security problems.
3. Parkview Center Local Policy Manual 2019-3 PREA Screening/Admission (p. 3):
  1. Outlines that in making housing and programming assignments for Transgender or Intersex offenders, the facility shall consider on a case-by-case basis whether the placement would present management or security problems. LGBTI offenders shall not be placed in housing units solely based on their identification as LGBTI, unless such a dedicated unit exists in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting such offenders.

**What was heard as part of a systematic review of evidence:**

1. Interview with PREA Coordinator:
  1. Reported that GEO policy requires each facility to establish a Transgender Care Committee (TCC) consisting of the facility administrator or assistant facility administrator, chief of security,

classification or case management supervisor, medical and/or mental health staff, and the PREA compliance manager. I may also be consulted as necessary. The TCC must meet as soon as possible but no later than 72 hours after the resident's arrival. In the event the TCC cannot meet immediately, transgender residents may be housed in medical during the 72-hour time frame. The TCC is responsible for making all decisions pertaining to housing and program assignments for transgender residents.

2. GEO has developed and implemented a standardized "Transgender Care Committee (TCC) Summary," form used at each facility with the exception of those contractually required to use the client's tool. The TCC considers all information provided from the previous confinement facility and/or client, documentation generated upon intake, and information noted on the TCC summary to advise housing/program decisions and ensure the resident's health and safety.
  3. The TCC considers all information provided from the previous confinement facility and/or client, documentation generated upon intake, and information noted on the TCC Summary to advise housing/program decisions and determine whether placement would present management of security problems.
2. There were no transgender or intersex residents at the facility during the onsite.

**115.242(d)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 7):
  1. Outlines that serious consideration shall be given to the individual's views with concerning his/her safety.
2. Parkview Center Local Policy Manual 2019-3 PREA Screening/Admission (p. 3):
  1. Outlines that serious consideration shall be given to the individual's own views with respect to his/her own safety.

**What was heard as part of a systematic review of evidence:**

1. Interview with PREA Coordinator:
  1. Reported that a transgender or intersex resident's own view are given serious consideration with respect to his or her own safety.
2. Interview with staff responsible for risk screening:
  1. Reported that during the intake risk screening process, residents who identify as transgender or intersex are asked if they feel safe or have any concerns regarding their placement. The opinion of the resident



- is given serious consideration as it relates to their assignments.
3. There were no transgender or intersex residents at the facility during the onsite.

**115.242(e)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 7):
  1. Requires that transgender and Intersex offenders shall be given an opportunity to shower separately from other offenders.
2. Parkview Center Local Policy Manual 2019-3 PREA Screening/Admission (p. 3):
  1. Requires that transgender and Intersex offenders shall be given an opportunity to shower separately from other offenders.

**What was heard as a part of a systematic review of evidence:**

1. Interview with PREA Manager:
  1. Reported that all residents at Parkview Center are given the opportunity to shower separately.
2. Interview with staff responsible for risk screening:
  1. Reported that all residents at Parkview Center are given the opportunity to shower separately.
  2. Reported that restrooms are all equipped with individual shower stalls.
3. There were no transgender or intersex residents at the facility during the onsite.

**What was observed as part of a systematic review of evidence:**

1. Site review observations:
  1. Common bathrooms in each of the housing areas provided individual shower stalls providing privacy for all residents while showering.

**115.242(f)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 7):
  1. Outlines that LGBTI individuals in a GEO facility shall not be placed in housing units solely based on their identification as LGBTI unless such a dedicated unit exists in connection with a consent decree, legal settlement, or legal judgment to protect such individuals.

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|  | <p>2. Parkview Center Local Policy Manual 2019-3 PREA Screening/Admission (p. 3):</p> <ol style="list-style-type: none"> <li>1. Outlines that the PREA Compliance Manager will also maintain a tracking log of those individuals who self-identify as LGBTI with their housing location to ensure LGBTI residents are not placed in housing units solely based on their identification as LGBTI, unless such a dedicated unit exists in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting such individuals.</li> </ol> <p>3. Parkview Center PREA "LGBTI" Tracking Log:</p> <ol style="list-style-type: none"> <li>1. Outlines housing assignments of residents identifying as lesbian, gay, bisexual, transgender, or intersex</li> </ol> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interview with PREA Coordinator: <ol style="list-style-type: none"> <li>1. Reported that there are no GEO facilities that are under any type of consent decree, legal settlement, or legal judgment.</li> <li>2. Reported that lesbian, gay, bisexual, transgender, or intersex residents are not placed in dedicated facilities, units, or wings based solely on the basis of their identification.</li> </ol> </li> <li>2. There were no residents who identified as lesbian, transgender, or intersex at the facility at the time of the onsite.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.251</b> | <b>Resident reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <p><b>115.251</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-2 PREA Intake and Orientation (effective 4/22/19)</b></li> <li>• <b>Employee Reporting Options Poster</b></li> <li>• <b>Resident Reporting Options Poster</b></li> </ul> |

- **PREA Education Manual for Residents (dated 2023)**
- **GEO Website (Staff Reporting Information)**
- **Interview with PREA Coordinator**
- **Interviews with random staff**
- **Interviews with random residents**
- **Site review observations**

**Reasoning and analysis (by provision):**

**115.251(a)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has established procedures allowing for multiple internal ways for residents to report privately to agency officials about sexual abuse or sexual harassment, retaliation by staff or other residents and staff neglect or violation of responsibility that may have contributed to such incidents.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 14):
  1. Outlines that each facility shall provide multiple ways for individuals in a GEO facility or program to privately report sexual abuse and sexual harassment, retaliation by other Individuals in a GEO facility or program or employees for reporting sexual abuse and sexual harassment and staff neglect or violation of responsibilities that may have contributed to such incidents.
3. Parkview Center Local Policy Manual 2019-2 PREA Intake and Orientation (p. 4):
  1. Outlines that key information related to sexual abuse and sexual harassment shall be provided to offenders on a continuous basis through readily available, handbooks, brochures, or other written materials. All residents shall be made aware of local, state, and national hotlines to contact for any allegations. These hotlines are also posted throughout the facility at various locations. The "PREA Educational Manual" for residents contains reporting information and each resident will receive a copy prior to arrival or upon arrival during monitor orientation and sign an acknowledgement form which verifies the resident received the document.
4. PREA Resident Education Manual:
  1. Outlines multiple ways for residents to privately report.

**What was heard as a part of a systematic review of evidence:**

1. Interviews with random staff:
  1. Staff interviewed were all familiar with multiple ways for residents to

privately report sexual abuse, sexual harassment, retaliation or staff neglect that may have contributed to such incidents. When asked, staff were able to articulate different ways available to report.

2. Interviews with random residents:

1. Residents interviewed provided examples of different ways to privately report sexual abuse, sexual harassment, retaliation or staff neglect that may have contributed to such incidents.

**What was observed as a part of a systematic review of evidence:**

1. Site review observations:

1. Signage:

1. Signage regarding reporting was observed throughout the facility.
2. Signage was printed in a font that was easily readable.
3. Signage was provided in both English and Spanish.
4. Signage was consistent throughout the facility.
5. Signage outlined multiple ways for residents to make reports.

2. Telephones:

1. Telephones in common areas were tested and found to be operable.
2. Telephones do not require that a PIN be entered to make a call.

3. Hotline numbers were tested and found to be operable.

1. A PIN was not required to make the call.
2. Individuals answering the calls explained the process used to receive and forward complaints to the facility.

4. Mail procedures:

1. Addresses are provided for making written reports to the Alaska Department of Corrections.
2. Indigent residents are provided with stamped envelopes when they arrive to the facility and again upon request.
3. Residents have the opportunity to mail items through an outside mail drop when they leave the facility on a pass.

**NOTE:** A recommendation was made to clarify on signage which numbers were for reporting and which numbers were specifically for support services. A recommendation was also made to clarify the process for reporting when calling the Anchorage Police Department (i.e. to stay on the line through the initial recording in order to reach the dispatcher). The number provided to report to the Alaska Department of Corrections was a long-distance number that could not be made from the phones located in the common areas of the facility. These calls must be made through the resident courtesy phone located in the lobby. A recommendation was made to clarify this process on the reporting posters so that residents don't attempt to make a call to this number on the resident phone only to have it rejected because it is considered a long-distance call. This was completed prior to the final report

being issued.

**115.251(b)**

**What was read as a part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency provides at least one way for residents to report abuse or harassment to a public or private entity that is not a part of the agency.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 14):
  1. Outlines that facilities shall also provide individuals in a GEO facility contact information on how to report sexual abuse or sexual harassment to a public, or private entity or office that is not part of GEO or the contracting agency, and that can receive and immediately forward reports of sexual abuse and sexual harassment to agency officials, allowing the reporting individual to remain anonymous upon request.
3. Resident Reporting Options Poster and PREA Education Manual for Residents:
  1. Outlines how to privately report incidents to an entity that is not a part of GEO.
  2. Telephone number is provided to the Anchorage Police Department.

**What was heard as part of a systematic review of evidence:**

1. Interview with PREA Coordinator:
  1. Reported that GEO policy requires each facility to provide residents contact information on how to report abuse or harassment to an office that is not part of the agency. Many facilities also provide contact information for local and/or national entities capable of receiving reports of abuse or harassment.
2. Interviews with random residents:
  1. Residents interviewed stated that they were aware of multiple ways to make a report and were able to articulate different methods.
  2. Residents interviewed stated that they believed that the hotline numbers that were posted would allow them to remain anonymous as the telephones did not require any type of PIN to call.

**What was observed as part of a systematic review of evidence:**

1. Site review observations:
  1. A call was made from the resident telephone to the Anchorage Police Department. The dispatcher who answered the call reported that they would take a report from a resident and would dispatch an officer to the facility. The resident could remain anonymous if

requested.

**115.251(c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported the agency has a policy mandating that staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties.
  2. Reported that staff are required to document verbal reports immediately.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 14):
  1. Outlines that employees shall accept reports made verbally, in writing, anonymously and from third parties and shall promptly document any verbal reports.

**What was heard as part of a systematic review of evidence:**

1. Interviews with random staff:
  1. All staff interviewed stated that they would accept both verbal and written reports from residents and that they would immediately report the incident to their supervisor or the Facility Director.
2. Interviews with random residents:
  1. Residents interviewed stated that believed they could make both a verbal and a written report to a staff member if they wanted to.

**115.251(d)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has established procedures for staff to privately report sexual abuse and sexual harassment of residents.
  2. Reported that staff are informed of these ways through policy, company website, published hotline numbers and through training.
2. Employee Reporting Options Poster:
  1. Outlines that GEO employees reporting sexual abuse or sexual harassment may report such information to the chief of security or facility management privately if requested. They may also report sexual abuse or sexual harassment directly to the employee hotline, which is an independent, professional service, available 24 hours per day, 7 days a week on the internet at [www.reportlineweb.com/geogroup](http://www.reportlineweb.com/geogroup) or at the toll-free phone number (866) 568-5425. Employees may also contact the corporate PREA office directly at

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|  | <p>(561) 999-5827.</p> <p>3. GEO Website (Staff Reporting Information)</p> <ol style="list-style-type: none"> <li>1. www.reportlineweb.com/geogroup</li> <li>2. Provides staff with various methods to report sexual abuse and sexual harassment of residents.</li> </ol> <p><b>What was heard as part of a systemic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interviews with random staff: <ol style="list-style-type: none"> <li>1. Staff interviewed provided a variety of examples that were available to them to privately report sexual abuse and sexual harassment of residents. Most staff reported that they would go to their supervisor or the Facility Director to make a report.</li> </ol> </li> </ol> <p><b>What was observed as part of a systemic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Site review observations: <ol style="list-style-type: none"> <li>1. Staff reporting posters were observed throughout the facility to include staff lounges.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.252</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|                | <p>115.252</p> <p><b>Evidence Relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• Parkview Center PAQ</li> <li>• GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</li> <li>• Parkview Center Local Policy Manual 2019-5 Grievance Process (effective 4/22/19)</li> <li>• Parkview Center PREA Education Manual for Residents (dated 2023)</li> <li>• Parkview Center Resident Handbook and PREA Manual (revised 8/19/2024)</li> <li>• Interview with PREA Manager</li> <li>• Site review observations</li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> |

**115.252(a/b/c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has an administrative procedure for dealing with resident grievances regarding sexual abuse.
  2. Reported that agency policy allows a resident to submit a grievance regarding an allegation of sexual abuse at any time, regardless of when the incident is alleged to have occurred.
  3. Reported that agency policy does not require a resident to use an informal grievance process, or otherwise attempt to resolve with staff, an alleged incident of sexual abuse.
  4. Reported that agency policy and procedure allows a resident to submit a grievance alleging sexual abuse without submitting it to the staff member who is the subject of the complaint.
  5. Reported that a resident grievance alleging sexual abuse is not referred to the staff member who is the subject of the complaint
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 14):
  1. Outlines that there is no time limit on when an individual in a GEO facility or program may submit a grievance regarding an allegation of sexual abuse.
  2. Outlines that individuals in a GEO facility or program have a right to submit grievances alleging sexual abuse to someone other than the staff member who is the subject of the complaint. Such grievance is also not referred to a staff member who is the subject of the complaint.
  3. Outlines that individuals in a GEO facility or program are not required to use any informal grievance process or attempt to resolve with employees an alleged incident of sexual abuse.
3. Parkview Center Local Policy Manual 2019-5 Grievance Process (p. 4):
  1. Outlines that there is no time limit for submission of a grievance regarding sexual abuse. The resident has the right to submit the grievance directly to the Facility Director. If the allegation involves the Facility Director, the grievance may be submitted directly to the referring agency's Contract Facility Coordinator, GEO PREA Compliance Manager and/or GEO PREA Director.
  2. Outlines that residents are not required to use any informal grievance process or attempt to resolve this type of grievance prior to submission. A resident who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of a complaint.
  3. Outlines that there will be no attempt to resolve informally any grievances related to sexual abuse or sexual harassment.
4. Parkview Center PREA Education Manual for Residents (p. 9):
  1. States that there is no time limit for submission of a grievance



regarding sexual abuse. The resident has the right to submit the grievance directly to the Facility Director. If the allegation involves the Facility Director, the grievance may be submitted directly to the Alaska Department of Corrections.

2. Residents are not required to use any informal grievance process or attempt to resolve this type of grievance prior to submission.

**NOTE:** The Parkview Center Resident Handbook and PREA Manual given to residents describes the grievance process for residents but did not distinguish between a grievance filed for a PREA related matter and other grievances. A subsequent revision of the manual made a distinction for the resident that grievances related to PREA matters should be filed as outlined in the Parkview Center PREA Education Manual for Residents. This was completed prior to the final report being submitted.

#### **115.252(d)**

##### **What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that agency policy and procedure require that a decision on the merits of any grievance or portion of a grievance alleging sexual abuse be made within 90 days of the filing of the grievance.
  2. Reported that in the past 12 months there were zero grievances filed that alleged sexual abuse.
  3. Reported that the agency always notifies a resident in writing when the agency files for an extension, including notice of the date by which a decision will be made.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 15):
  1. Outlines that a final decision shall be issued on the merits of any portion of the grievance alleging sexual abuse or sexual harassment within 90-days of the initial filing of the grievance. Computation of the 90-day time period shall not include time consumed by individuals in a GEO facility or program in preparing any administrative appeal.
  2. Facilities may claim an extension of time to respond (for good cause), of up to 70 days and shall notify the individual of the extension in writing.
  3. Reports that at any level of the administrative process, including the final level, if the individual does not receive a response within the time allotted for reply, including any properly noticed extension, the individual may consider the absence of a response to be a denial at that level.
3. Parkview Center Local Policy Manual 2019-5 Grievance Process (p. 5):
  1. Outlines that a final decision shall be issued on the merits of any

portion of the grievance alleging sexual abuse within 90 days of the initial filing of the grievance. Computation of the 90-day time period shall not include time consumed by residents at Parkview Center in preparing any administrative appeal. Facilities may claim an extension of time to respond (for good cause), of up to 70 days and shall notify the individual of the extension in writing.

**What was heard as part of a systematic review of evidence:**

1. Interview with PREA Manager:
  1. Confirmed that there have been zero grievances alleging sexual abuse filed in the past 12 months.

**115.252(e)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that agency policy permits third parties, including fellow residents, staff members, family members, attorneys, and outside advocates to assist resident in filing requests for administrative remedies relating to allegations of sexual abuse and to file such requests on behalf of residents.
  2. Reported that policy and requires that if a resident declines to have third-party assistance in filing a grievance alleging sexual abuse, the agency documents the residents decision to decline.
  3. Reported that there were zero grievances alleging sexual abuse filed by residents in the past 12-months.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 14-15):
  1. Outlines that third parties (e.g. fellow individuals in a GEO facility or program, employees, family members, attorneys and outside advocates) may assist individuals in a GEO facility or program in filing requests for administrative remedies relating to allegations of sexual abuse and may file such requests on behalf of individuals in a GEO facility.
  2. Outlines that the alleged victim must agree to have the request filed on his or her behalf; however, he/she is not required to pursue any subsequent steps in the administrative remedy process.
  3. Outlines that if the individual declines to have the request processed on their behalf, the facility shall document the individual's decision.
3. Parkview Center Local Policy Manual 2019-5 Grievance Process (p. 4):
  1. Outlines that residents filing sexual abuse grievances may be assisted by a third party (family, employees, fellow inmates, staff members, outside advocates, attorneys, other residents, etc.). Third parties may also submit this type of grievance on behalf of a resident

however, the resident must agree to have the grievance filed on his/her behalf and is not required to pursue any subsequent steps in the administrative remedy process.

2. Outlines that if the resident does not agree to have the grievance filed on his/her behalf, this will be fully documented in writing by the Facility Director/PREA Compliance Manager and a staff witness if the resident does not put his/her decision in writing. These documents will be forwarded to the assigned investigator and corporate PREA Director.

4. Parkview Center PREA Education Manual for Residents Education (p. 9):

1. States that residents filing sexual abuse grievances may be assisted by a third party (family, employees, attorneys, other residents, etc.). Third parties may also submit this type of grievance on behalf of a resident however, the resident must agree to have the grievance filed on his/her behalf and is not required to pursue any subsequent steps in the administrative remedy process.

**115.252(f):**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has a policy and established procedures for filing an emergency grievance alleging that a resident is subject to a substantial risk of imminent sexual abuse.
  2. Reported that the agency has a policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse requires an initial response within 48 hours.
  3. Reported that there were zero emergency grievances alleging substantial risks of imminent sexual abuse filed in the past 12 months.
  4. Reported that the agency's policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse requires that a final agency decision be issued within 5 days.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 14-15):
  1. Outlines that individuals in a GEO facility or program may file an emergency grievance if he/she is subject to a substantial risk of imminent sexual abuse.
  2. Outlines that after receiving an emergency grievance of this nature, the facility administrator or designee shall ensure that immediate corrective action is taken to protect the alleged victim.
  3. Outlines that an initial response to the emergency grievance to the individual is required within 48 hours and a final decision shall be provided within five calendar days. The initial response and final decision shall document the facility's determination as to whether

the inmate is in substantial risk of imminent sexual abuse and the action taken in response to the emergency grievance.

3. Parkview Center Local Policy Manual 2019-5 Grievance Process (p. 5):

1. Outlines that processing for emergency grievances will begin with the initial determination by the designated grievance coordinator that the issue raised is a life-threatening situation.
2. States that emergency grievances will be given top priority and will be investigated, and an initial response provided within forty-eight (48) hours of the date of receipt. A final decision for emergency grievances will be provided within five (5) calendar days.
3. States that if an emergency grievance indicates a resident is subject to substantial risk of imminent sexual abuse, the Facility Director will take immediate corrective action to protect the potential victim. If staff is the alleged abuser, separation orders requiring "no contact" shall be documented by facility management via email or memorandum within 24 hours of the reported allegation. The email or memorandum shall be printed and maintained as part of the related investigation file.
4. Outlines that a final decision shall be issued on the merits of any portion of the grievance alleging sexual abuse within 90 days of the initial filing of the grievance. Computation of the 90-day time period shall not include time consumed by residents at Parkview Center in preparing any administrative appeal.
5. Outlines that facilities may claim an extension of time to respond (for good cause), of up to 70 days and shall notify the individual of the extension in writing.

4. Parkview Center PREA Education Manual for Residents Education (p. 10):

1. States that processing for emergency grievances will begin with the initial determination by the designated grievance coordinator that the issue raised is a life-threatening situation.
2. States that emergency grievances will be given top priority and will be investigated, and an initial response provided within forty-eight (48) hours of the date of receipt. A final decision for emergency grievances will be provided within five (5) calendar days.
3. States that if an emergency grievance indicates a resident is subject to substantial risk of imminent sexual abuse, the Facility Director will take immediate corrective action to protect the potential victim.

**What was heard as part of a systematic review of evidence:**

1. Interview with PREA Manager:

1. Confirmed that there have been no emergency grievances filed in the past 12 months.

**115.252(g)**

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|  | <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency has a written policy that limits its ability to discipline a resident for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the resident filed the grievance in bad faith.</li> <li>2. Reported that in the past 12-months there have been zero resident grievances alleging sexual abuse that resulted in disciplinary action.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 14-15): <ol style="list-style-type: none"> <li>1. Outlines that individuals in a GEO facility may receive a disciplinary report for filing a grievance relating to alleged sexual abuse or sexual harassment only when the facility can demonstrate the grievance was filed in bad faith.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.253</b> | <b>Resident access to outside confidential support services</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                | <p><b>115.253</b></p> <p><b>Evidence Relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</b></li> <li>• <b>Parkview Center PREA Resident Education Manual (dated 2023)</b></li> <li>• <b>Parkview Center Resident reporting options posters</b></li> <li>• <b>Parkview Center attempted MOU for outside confidential support services</b></li> <li>• <b>Interviews with random residents</b></li> <li>• <b>Site review observations</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.253(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> |

1. The facility PAQ:
  1. Reported that the facility provides residents access to outside victim advocates for emotional support services related to sexual abuse.
  2. Reported that the facility provides residents with access to such services by giving residents mail addresses and telephone numbers for local, state or national victim advocacy or rape crisis organizations.
  3. Reported that the facility provides residents with access to such services by enabling reasonable communication between residents and these organizations in as confidential a manner as possible.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 20-21):
  1. Facilities shall provide individuals in a GEO facility who allege sexual abuse (whether it occurred in custody or the community) with access to outside victim advocates for emotional support services and provide, post, or otherwise make accessible specific contact information for victim advocacy or rape crisis organizations. Contact information shall include mailing addresses, telephone numbers, and toll-free hotline numbers, at a minimum.
  2. Outlines that facilities shall enable reasonable communication between individuals in a GEO facility and these organizations, as well as inform individuals in a GEO facility (before giving them access) of the extent to which GEO policy governs monitoring of their communications and when reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.
3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (p. 11):
  1. States that Parkview Center shall provide residents who allege sexual abuse while in GEO custody with access to outside victim advocates and provide, post, or otherwise make accessible specific contact information for victim advocacy or rape crisis organizations. (This may be done by providing mailing addresses, telephone numbers, toll-free hotline numbers, etc.).
  2. States that Parkview Center shall enable reasonable communication between residents and these organizations. as well as inform Individuals in a GEO Facility or Program (prior to giving them access) of the extent to which GEO policy governs monitoring of their communications and when reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.
4. Parkview Center PREA Resident Education Manual (p. 16):
  1. States that the resident may may call the report line toll free. RAINN National Network, which is confidential, (800) 656-4673. This number is not recorded or monitored at the facility.
  2. States that you don't have to be the victim to use the line. You can report something that is happening to someone else. You don't have to leave your name or number; however, you need to provide

enough information so an investigation can begin.

**What was heard as a part of a systematic review of evidence:**

1. Interviews with random residents:
  1. Most residents interviewed stated that they were not aware of any specific outside support services but commented that they were probably listed on the posters that are posted throughout the facility.
  2. Residents interviewed stated that they believed that these services would be private and confidential.
2. Parkview Center reported that there were no residents who reported a sexual abuse at the facility during the onsite.

**What was observed as part of a systemic review of evidence:**

1. Site review observations:
  1. Signage was observed throughout the facility with hotline numbers for advocacy services.
  2. Hotline numbers were tested from the facility and staff who answered the phones provided information regarding services that would be provided and well as the level of confidentiality that would be afforded.
  3. Telephone calls to the hotlines do not require a PIN, so calls can be made anonymously.

**NOTE:** Signage posted throughout the facility did not specify which numbers were for reporting and which were specifically for advocacy services. This may account for why residents were not aware of specific advocacy services provided when interviewed. Prior to the issuance of the final report, signage was updated to specify which numbers were for reporting and which were for advocacy services. Documentation was provided by the facility.

**115.253(b)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the facility informs residents, prior to giving them access to outside support services, of the extent to which such communications will be monitored.
  2. Reported that the facility informs residents, prior to giving them access to outside support services, of the mandatory reporting rules governing privacy, confidentiality, and/or privilege that apply to disclosures of sexual abuse made to outside victim advocates, including any limits to confidentiality under relevant federal, state or local law.

2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 21):
  1. Outlines that facilities shall enable reasonable communication between individuals in a GEO facility or program and these organizations as well as inform individuals in a GEO facility or program (prior to giving them access) of the extent to which GEO policy governs monitoring of their communications and when reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.
3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (p. 11):
  1. States that the Parkview Center will inform individuals in a GEO facility (prior to giving them access) of the extent to which GEO policy governs monitoring of their communications and when reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.
4. Parkview Center PREA Resident Education Manual (p. 16):
  1. States that the number is not recorded or monitored at the facility.
5. Reporting signage throughout the facility indicates that calls are not monitored for recorded.

**What was heard as a part of a systematic review of evidence:**

1. Interviews with random residents:
  1. Residents interviewed stated that they believed calls to the hotline were private.

**115.253(c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the facility does not maintain memorandum of understanding with community service providers that are able to provide residents with emotional support services related to sexual abuse.
  2. Reported that attempts have been made to enter into agreements with service providers.
  3. Reported that the facility maintains documentation of those attempts.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 21):
  1. Outlines that facilities are required to maintain or attempt to enter into agreements with community service providers to provide individuals in a GEO facility or with confidential emotional support services related to sexual abuse while in custody.



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|  | <p>2. Outlines that facilities shall maintain copies of agreements or documentation showing unsuccessful attempts to enter into such agreements.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.254</b> | <b>Third party reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                | <p><b>115.254</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>GEO website</b></li> <li>• <b>Site review observations</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.254(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency provides a method to receive third-party reports of resident sexual abuse or sexual harassment through the agency hotline or website.</li> <li>2. Reported that agency publicly distributes information on how to report resident sexual abuse or sexual harassment on behalf of residents through the agency website at <a href="http://www.geogroup.com/PREA">www.geogroup.com/PREA</a>.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 15): <ol style="list-style-type: none"> <li>1. Outlines that GEO shall post third-party reporting procedures on its public website showing its method of receiving third-party reports of sexual abuse and sexual harassment on behalf of individuals in a GEO facility. In all facilities, third-party reporting posters shall be posted in all public areas in English and Spanish to include the lobby, visitation areas, and staff break areas within the facility.</li> </ol> </li> </ol> <p><b>What was observed as part of a systematic review of evidence:</b></p> |

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|  | <ol style="list-style-type: none"> <li>1. Site review observations: <ol style="list-style-type: none"> <li>1. Signage was observed to be posted in public areas around the facility in the visiting area and front lobby.</li> <li>2. The GEO hotline was tested and found to be operational.</li> <li>3. The GEO website was visited and found to contain all required information for making third party reports.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.261</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                | <p><b>115.261</b></p> <p><b>Evidence Relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</b></li> <li>• <b>Interviews with random staff</b></li> <li>• <b>Interview responses from PREA Coordinator</b></li> <li>• <b>Interview responses from Facility Director</b></li> <li>• <b>Site review observations</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.261(a/b)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency requires all staff to report immediately any knowledge, suspicion, or information they receive regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not is part of the agency.</li> <li>2. Reported that the agency requires all staff to report immediately and according to agency policy retaliation against residents or staff who reported such an incident.</li> <li>3. Reported that the agency requires all staff to report immediately and</li> </ol> </li> </ol> |

according to policy any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation.

4. Reported that apart from reporting to designated supervisors or officials and designated state or local services agency, agency policy prohibits staff from revealing any information related to a sexual abuse report to anyone other than to the extent necessary to make treatment, investigation, and other security and management decisions.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 16):
  1. Outlines that employees are required to immediately report any of the following:
    1. Knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility whether or not it is a GEO facility.
    2. Retaliation against individuals in a GEO facility or program or Employees who reported such an incident.
    3. Any Employee neglect or violation of responsibilities that may have contributed to an incident or retaliation.
  2. Outlines that apart from reporting to designated supervisors or officials, Employees shall not reveal any information related to a sexual abuse report to anyone.
3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (p. 6):
  1. Outlines that employees are required to immediately report any of the following:
    1. Knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility whether or not it is a GEO facility.
    2. Retaliation against a resident or employee who reported such an incident.
    3. Any employee neglect or violation of responsibilities that may have contributed to an incident or retaliation.
  2. Outlines that apart from reporting to designated supervisors or officials, Employees shall not reveal any information related to a sexual abuse report to anyone.

**What was heard as part of a systematic review of evidence:**

1. Interviews with random staff:
  1. All staff interviewed all stated that they were aware of agency policy that required them to immediately report any knowledge or suspicion of sexual abuse, sexual harassment or retaliation of residents, including any employee neglect or violation of responsibilities that may have contributed to an incident.
  2. Staff interviewed understood policy that required information related

to sexual abuse and sexual harassment remain confidential except to the designated supervisors that they report the incident to.

**What was observed as part of a systematic review of evidence:**

1. Site review observations:
  1. Files related to residents are kept in locked cabinets within locked offices.
  2. Computer stations have automatic screen locks and are controlled by individual passwords.
  3. Staff only have access to files relevant to their position assignment.

**115.261(c)**

**What was heard as part of a systematic review of evidence:**

1. Interview with Facility Director:
  1. Reported that Parkview Center does not have medical/mental health staff onsite.

**115.261(d)**

**What was heard as part of a systematic review of evidence:**

1. Interview with the Facility Director:
  1. The Facility Director stated that the facility does not hold anyone under the age of 18 years old.
  2. The Facility Director stated that any abuse of vulnerable adults would be reported to the appropriate agencies and that they would receive the same services available to all residents.
2. Interview with PREA Coordinator:
  1. Stated that unless precluded by federal, state or local law, medical and mental health practitioners are required to report allegations of sexual abuse for alleged victims under the age of 18 or considered a vulnerable adult to designated state or local services agencies under applicable mandatory reporting laws.

**115.261(e)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 16):
  1. Outlines that facilities shall report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators or outside agency responsible

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|  | <p>for investigating these type incidents.</p> <ol style="list-style-type: none"> <li>2. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (p. 6): <ol style="list-style-type: none"> <li>1. Outlines that the facility shall report all allegations of sexual abuse and sexual harassment to the contracting agency and/or local law enforcement for investigation.</li> </ol> </li> <li>3. A review of sexual abuse and sexual harassment files from the past 12-months revealed that all allegations were referred both to the Alaska Department of Corrections as well as the facility investigator.</li> </ol> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interview with facility director: <ol style="list-style-type: none"> <li>1. The facility director stated that all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports are reported through the GEO reporting system as well as to the Alaska Department of Corrections (client).</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.262</b> | <b>Agency protection duties</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|                | <p><b>115.262</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</b></li> <li>• <b>Interview responses from Agency Head</b></li> <li>• <b>Interview with Facility Director</b></li> <li>• <b>Interviews with random staff</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.262(a)</b></p> <p>What was read as part of a systematic review of evidence:</p> |

1. The facility PAQ:
  1. Reported that what the facility learns that a resident is subject to a substantial risk of imminent sexual abuse, it takes immediate action to protect the resident.
  2. Reported that in the past 12-months there were zero instances where a resident was subject to a substantial risk of sexual abuse.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 16)
  1. Outlines that when a facility learns an individual in a GEO facility is subject to a substantial risk of imminent sexual abuse, it shall take immediate action to protect the alleged victim. Employees shall report and respond to all allegations of sexually abusive behavior and sexual harassment. Employees should assume all reports of sexual victimization, regardless of the source of the report (i.e., "third-party") are credible and respond accordingly.
3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)
  1. Outlines that when a facility learns an individual in a GEO facility is subject to a substantial risk of imminent sexual abuse, it shall take immediate action to protect the alleged victim. Employees shall report and respond to all allegations of sexually abusive behavior and sexual harassment. Employees should assume all reports of sexual victimization, regardless of the source of the report (i.e., "third-party") are credible and respond accordingly.

**What was heard as part of a systematic review of evidence:**

1. Interview with the Agency Head:
  1. Reported that GEO takes immediate action to protect the victim from further harm and refer him or her for necessary services (medical, mental health, etc).
2. Interview with Facility Director:
  1. Reported that the resident would immediately be separated from other residents and the Alaska Department of Corrections (client) would be notified to determine the most appropriate next steps.
  2. Reported that during this reporting period, there have been no instances of residents who were deemed to be in imminent danger of being sexually abused.
3. Interviews with random staff:
  1. Staff interviewed all stated that if they became aware that a resident was in imminent danger of sexual abuse that they would immediately separate the resident and report to their supervisor or the facility director to determine next steps.

**Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.**

| 115.263 | Reporting to other confinement facilities                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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|         | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|         | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|         | <p><b>115.263</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</b></li> <li>• <b>Interview with Agency Head</b></li> <li>• <b>Interview with Facility Director</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.263(a/b/c/d)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency has a policy requiring that, upon receiving an allegation that a resident was sexually abused while confined at another facility, the head of the facility must notify the head of the facility or appropriate office of the agency or facility where sexual abuse is alleged to have occurred.</li> <li>2. Reported that in the past 12 months there were zero allegations the facility received that a resident was abused while confined in another facility.</li> <li>3. Reported that agency policy requires the facility head to provide such notification as soon as possible but no later than 72 hours after receiving the allegation.</li> <li>4. Reported that the agency or facility documents that it has provided such notification with 72 hours of receiving the allegation.</li> <li>5. Reported that the agency or facility policy requires that allegations received from other facilities and agencies are investigated in accordance with the PREA standards.</li> <li>6. Reported that in the past 12 months there have been zero allegations of sexual abuse the facility received from other facilities.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A: Oversight (pp. 19-20): <ol style="list-style-type: none"> <li>1. If an individual in a GEO facility alleges sexual abuse or sexual harassment occurred while confined at another facility, the facility shall document those allegations, and the facility administrator or in his/her absence, the assistant facility administrator where the</li> </ol> </li> </ol> |

- allegation was made, shall contact the facility administrator or designee where the abuse is alleged to have occurred as soon as possible, but no later than 72 hours after receiving the notification.
2. The facility shall maintain documentation that it has provided such notification in writing and all actions taken regarding the incident. Copies of this documentation shall be forwarded to the PREA compliance manager and corporate PREA manager with oversight of the facility.
  3. Any facility that receives the notification of alleged abuse or harassment is required to ensure the allegation is investigated in accordance with PREA standards.
3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (p. 10):
1. In the event that a resident alleges that Sexual Abuse occurred while confined at another Facility, the Facility shall document those allegations and the Facility Director or in his/her absence, the Assistant Facility Administrator where the allegation was made shall contact the Facility Administrator or designee where the abuse is alleged to have occurred as soon as possible, but no later than 72 hours after receiving the notification.
  2. The facility shall maintain documentation that it has provided such notification and all actions taken regarding the incident. Copies of this documentation shall be forwarded to Corporate PREA Coordinator.
  3. If the facility receives notification of alleged abuse, the Facility Director shall ensure that the allegation is referred for investigation in accordance with PREA standards.

**What was heard as a part of a systematic review of evidence:**

1. Interview responses from Agency Head:
  1. Reported that if another facility or agency refers allegations of sexual abuse or sexual harassment that occurred within one of our facilities, the PREA allegations would be reported to the director of the facility where the allegation is alleged to have occurred.
  2. Reported that regardless of how one of our facilities receives a PREA allegation that abuse occurred in one of our facilities, the allegation will be referred to designated investigators (internal or external) for investigation. The PREA coordinator is also informed of all allegations of this type via email.
2. Interview with Facility Director:
  1. The Facility Director confirmed that if information was received that a resident had been sexually abused at another facility, she would immediately notify the Director of that facility as well as the Alaska Department of Corrections (client). All allegations of sexual abuse and sexual harassment would be entered into the GEO reporting portal.



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|  | <p>2. The Facility Director stated that if she received notification from another facility that a resident had been abused while at her facility, she would ensure that an investigation was conducted according to PREA protocols.</p> <p>3. The Facility Director confirmed that there were no allegations by residents of sexual abuse occurring in another facility, nor were any reports received from other facilities regarding residents alleging sexual abuse at her facility.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.264</b> | <b>Staff first responder duties</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                | <p><b>115.264</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</b></li> <li>• <b>Parkview Center First Responder Responsibilities reference card</b></li> <li>• <b>Interviews with random staff</b></li> <li>• <b>Interview with PREA Manager</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.264(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <p>1. The facility PAQ:</p> <ol style="list-style-type: none"> <li>1. Reported that the agency has a first responder policy for allegations of sexual abuse.</li> <li>2. Reported that the policy requires that upon learning that a resident was sexually abused, the first security staff member to respond to the report shall be required to separate the alleged victim and abuser.</li> <li>3. Reported that policy requires that upon learning of an allegation that</li> </ol> |

a resident was sexually abused, the first security staff member to respond to the report shall be required to preserve and protect any crime scene until appropriate steps can be taken to collect any evidence.

4. Reported that policy requires that upon learning of an allegation that a resident was sexually abused and the abuse occurred within a time period that still allows for the collection of physical evidence, the first security staff member to respond to the report shall be required to request that the alleged victim not take any actions that could destroy physical evidence, including as appropriate, washing, brushing teeth, changing clothes, smoking, drinking or eating.
5. Reported that the policy requires that, upon learning of an allegation that a resident was sexually abused and the abuse occurred within a time period that still allows for the collection of physical evidence, the first security staff member to respond to the report shall be required to ensure that the alleged abuser not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.
6. Reported in the past 12 months, there were zero allegations that a resident was sexually abused.
  1. Since completion of the PAQ, there was one allegation of sexual abuse in the facility.
7. Reported that of these allegations, the number of times the first security staff member to respond to the report separated the alleged victim and abuser was zero.
8. Reported that in the past 12-months, the number of allegations where staff were notified within a time period that still allowed for the collection of physical evidence was zero
9. Reported that of these allegations in the past 12 months where staff were notified within a time period that still allowed for the collection of physical evidence, the number of times the first security staff member to respond to the report preserved and protected any crime scene until appropriate steps could be taken to collect any evidence:
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 16-17):
  1. Outlines that upon receipt of a report that an individual in a GEO facility or program was sexually abused, or if the employee sees abuse, the first security staff member to respond to the report shall:
    1. Separate the alleged victim and abuser.
    2. Immediately notify the on-duty or on-call supervisor and remain on the scene until relieved by responding personnel.
    3. Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence.
  2. Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing,

brushing teeth, changing clothes, urinating, defecating, smoking, drinking or eating.

3. Do not let the alleged abuser take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking or eating.

3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)

1. Outlines that upon receipt of a report that resident was sexually abused, or if the employee sees abuse, the first security staff member to respond to the report shall:
  1. Separate the alleged victim and abuser.
  2. Immediately notify the on-duty or on-call supervisor and remain on the scene until relieved by responding personnel.
  3. Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence.
2. Do not let the alleged victim or abuser take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking or eating.

4. Parkview Center First Responder Duties Reference Card:

1. Reference card carried by all staff outline actions to take in the event of an incident to include:
  1. Separate the alleged victim and abuser.
  2. Immediately notify the on-duty or on-call supervisor and remain on the scene until relieved by responding personnel.
  3. Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence.
2. Do not let the alleged victim or abuser take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking or eating.
3. Outlines that if the first responder is not a security staff member; the responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence; remain with the victim and notify security staff.

**What was heard as part of a systematic review of evidence:**

1. Interviews with staff who act as first responders:
  1. Staff interviewed understood their responsibilities as it relates to reporting to the scene of an alleged sexual abuse and were able to articulate the steps they would take.
  2. All staff interviewed stated that they received the same training as it relates to responding to sexual abuse allegations in the facility, regardless of if they are considered security staff or non-security

staff.

3. Staff all had reference cards that outlined the necessary steps that needed to be taken in the event an allegation of sexual abuse was received.

2. Interview with PREA Manager:

1. Confirmed that Parkview had no incidents requiring activation of staff first responder duties during the reporting period.

**115.264(b)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:

1. Reported that agency policy requires that if a staff first responder is not a security staff member, that responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence.
2. Reported that agency policy requires that if the first staff responder is not a security staff member, that responder shall be required to notify security staff.
3. Reported that of the allegations that a resident was sexually abused in the past 12 months, the number of times a non-security staff member was the first responder was zero.

2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 16-17):

1. Outlines that if the first responder is not a security staff member; the responder shall be required to request the alleged victim not take any actions that could destroy physical evidence; remain with the alleged victim and notify security staff.

3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)

1. Outlines that if the first responder is not a security staff member, the responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence; remain with the alleged victim and notify security staff.

**What was heard as part of a systematic review of evidence:**

1. Interviews with staff who act as first responders:

1. Non-security staff understood their responsibilities to immediately notify someone from security.
2. Non-security staff understood protocols to preserved physical evidence.

**Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.**

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| <b>115.265</b> | <b>Coordinated response</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                | <p><b>115.265</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center PREA Coordinated Response Plan (dated 3/6/2024)</b></li> <li>• <b>Interview with Facility Director</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.265(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the facility has a written institutional plan to coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators and facility leadership.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 2): <ol style="list-style-type: none"> <li>1. Outlines that each facility shall develop written facility plans to coordinate the actions taken in response to incidents of sexual abuse.</li> <li>2. Outlines that the plans shall coordinate actions of staff first responders, medical and mental health practitioners, investigators, and facility leadership.</li> <li>3. Outlines that the local PREA Compliance Manager shall be a required participant and the Corporate PREA Coordinator may be consulted as part of this coordinated response.</li> </ol> </li> <li>3. Parkview Center PREA Coordinated Response Plan: <ol style="list-style-type: none"> <li>1. Directs staff regarding actions to be taken in response to an incident of sexual abuse. Reviewed and signed by the Facility Director 3/26/ 2024.</li> </ol> </li> </ol> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interview with Facility Director: <ol style="list-style-type: none"> <li>1. The Facility Director confirmed that a coordinated plan had been</li> </ol> </li> </ol> |

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|  | <p>developed and gave examples of actions that would be taken in response to an incident of sexual abuse.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.266</b> | <b>Preservation of ability to protect residents from contact with abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|                | <p><b>115.266</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (effective 1/1/24)</b></li> <li>• <b>Interview responses from Agency Head</b></li> <li>• <b>Interview with PREA Manager</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.266(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency, facility, or any other governmental entity responsible for collective bargaining on the agency’s behalf has not entered into or renewed any collective bargaining agreement or other agreement since the last PREA audit.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 2): <ol style="list-style-type: none"> <li>1. States that GEO shall not enter into or renew any collective bargaining agreement or other agreement that limits a facility’s ability to remove alleged subject employees from contact with any individual in a GEO facility pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted.</li> </ol> </li> <li>3. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation</li> </ol> |

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|  | <p>Procedure (non-ICE) - (p. 2):</p> <ol style="list-style-type: none"> <li>1. States that in every case where the alleged abuser is an employee, contractor or volunteer, there shall be no contact between the alleged abuser and the alleged victim pending the outcome of an investigation. Separation orders requiring “no contact” shall be documented by facility management using the GEO PREA Staff Separation Memorandum within 24 hours of the reported allegation. If the alleged abuser is not present to sign the memorandum, “no contact,” orders may be issued via email and the memorandum shall be signed upon the alleged abuser’s return to duty. The email and/or memorandum shall be printed and maintained as part of the related investigation file.</li> <li>2. States that GEO shall not enter into or renew any collective bargaining agreement or other agreement that limits a facility’s ability to remove alleged employee sexual abusers from contact with any individual in a GEO facility pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted.</li> </ol> <p><b>What was heard as a part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Interview with Agency Head: <ol style="list-style-type: none"> <li>1. Reported that none of the agency’s collective bargaining agreements prohibit GEO from removing staff from contact with inmates pending the outcome of an investigation for alleged sexual abuse or harassment.</li> </ol> </li> <li>2. Interview with PREA Manager: <ol style="list-style-type: none"> <li>1. PREA Manager confirmed that the Parkview Center does not have a collective bargaining agreement in place.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.267</b> | <b>Agency protection against retaliation</b>                                                                                                                                     |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                             |
|                | <b>Auditor Discussion</b>                                                                                                                                                        |
|                | <p><b>115.267</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> </ul> |

- **GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)**
- **Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)**
- **Review of retaliation monitoring logs**
- **Interview responses from Agency Head**
- **Interview with Facility Director (responsible for retaliation monitoring)**

**Reasoning and analysis (by provision):**

**115.267(a)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency has a policy to protect all resident and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other residents or staff.
  2. Reported that the agency designates staff members with monitoring for possible retaliation.
  3. Reported that the PREA Compliance Manager is responsible for retaliation monitoring.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 21-22):
  1. Outlines that facilities shall implement procedures to protect individuals in a GEO facility and employees who report sexual abuse or sexual harassment or cooperate with investigations from retaliation by other individuals in a GEO facility or employees. monitoring retaliation against individuals in a GEO facility. Facilities shall have multiple protection measures, such as housing changes or transfers for victims or abusers, removal of alleged staff or abusers from contact with victims who fear retaliation for reporting sexual abuse or harassment, or for cooperating with investigations.
3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (p. 12):
  1. Outlines that facilities shall implement procedures to protect Residents and Employees who report Sexual Abuse or Sexual Harassment or cooperate with investigations, from retaliation by other Residents or Employees.
  2. The Facility PREA Compliance Manager or Office Support Specialist shall be responsible for monitoring retaliation of residents.
4. A review of facility retaliation logs revealed:
  1. There were three allegations of sexual abuse/sexual harassment made during this reporting period.



2. In two of the cases, residents were immediately transferred back to the client (Alaska Department of Corrections), so the facility was unable to initiate retaliation logs.
3. After action review of the third case identified a lack of retaliation log initiation as a staff failure.

**115.267(b/c/d/e)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency monitors the conduct or treatment of resident or staff who reported sexual abuse and of residents who reported to have suffered sexual abuse to see if there are any changes that may suggest possible retaliation by residents or staff.
  2. Reported that the agency monitors the conduct or treatment for a period of 90 days.
  3. Reported that the agency acts promptly to remedy any such retaliation.
  4. Reported that the agency continues such monitoring beyond the 90 days if the initial monitoring indicates a continuing need.
  5. Reported that there have been zero incidents of retaliation in the past 12 months.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 22):
  1. States that for at least 90 days following a report of sexual abuse or sexual harassment, the facility shall monitor the conduct and treatment of individuals in a GEO facility who reported the sexual abuse or sexual harassment to see if there are changes that may suggest possible retaliation by individuals in a GEO facility or staff and shall act promptly to remedy such retaliation. Monitoring shall terminate before the 90-day monitoring period only if the allegation is determined unfounded or the individual is released from custody. When monitoring is terminated within the 90-day timeframe, the reason shall be documented on the Protection from Retaliation Log.
  2. Requires that the designated staff member shall meet weekly (beginning the week following report of the incident) with the alleged victim in private to ensure that sensitive information is not exploited by staff or others and see if any issues exist. Staff shall also review disciplinary reports, housing or program changes, request slips, etc. when monitoring for retaliation.
3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (pp. 12-13):
  1. Outlines that facilities shall have multiple protection measures, such as housing changes or transfers for victims or abusers, removal of alleged staff or abusers from contact with victims, who fear

retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations.

2. Outlines that for at least 90 days following a report of sexual abuse, the facility shall monitor the conduct and treatment of residents who reported the sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff and shall act promptly to remedy such retaliation. Monitoring shall terminate if the allegation is determined unfounded.
3. Outlines that the PREA Compliance Manager or Office Support Specialist shall meet weekly (beginning the week following the incident) with the alleged victim in private to ensure that sensitive information is not exploited by staff or others and to see if any issues exist.
4. Outlines that if any other individual expresses a fear of retaliation, the Facility shall take appropriate measures to protect that individual as well.

**What was heard as part of a systematic review of evidence:**

1. Interview responses from Agency Head:
  1. Agency Head reported that when a PREA incident is reported, management staff consider the best options for the victim. Things like housing changes or transfers from the facility, removal of alleged abusers (staff or inmate) and emotional support services are considered on a case-by-case basis.
  2. Reported that designated staff at each facility are assigned to monitor inmates who reported the allegation for possible retaliation. They meet with the individual in private once weekly for at least 90 days and if any issues are discovered, they are required to ensure immediate corrective action is taken to correct this issue. These meetings and any corrective actions taken are documented. Designated staff also monitor employees who report staff sexual misconduct for possible retaliation. Employees are monitored once a month for at least 90 days.
2. Interview with the Facility Director (responsible for retaliation monitoring):
  1. Reported that protective measures may include housing changes or even a change in facility for either the victim or abuser based on input from the Alaska Department of Corrections (client).
  2. Reported that she would meet weekly with individuals following an incident and document those encounters on the retaliation log.
  3. Reported that the monitoring would continue beyond the 90 days if necessary.

**Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.**

| 115.271 | Criminal and administrative agency investigations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
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|         | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|         | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|         | <p data-bbox="284 338 408 371"><b>115.271</b></p> <p data-bbox="284 412 1295 445"><b>Evidence relied upon in making the compliance determinations:</b></p> <ul data-bbox="354 512 1310 842" style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (effective 1/4/24)</b></li> <li>• <b>Interview with Investigative Staff</b></li> <li>• <b>Site review observations</b></li> <li>• <b>Investigative file review</b></li> <li>• <b>Investigator training curriculum</b></li> <li>• <b>Investigator training completion certificates</b></li> </ul> <p data-bbox="284 882 895 916"><b>Reasoning and analysis (by provision):</b></p> <p data-bbox="284 956 485 990"><b>115.271(a/b)</b></p> <p data-bbox="284 1030 1211 1064"><b>What was read as part of a systematic review of evidence:</b></p> <ol data-bbox="341 1131 1477 2085" style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency has a policy related to criminal and administrative agency investigations.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 3): <ol style="list-style-type: none"> <li>1. Outlines that when the facility conducts its own investigations into allegations of sexual abuse and sexual harassment, it shall do so promptly, thoroughly, and objectively for all allegations, including third-party and anonymous reports.</li> <li>2. Outlines that GEO shall use investigators who have received specialized training in sexual abuse investigations. The specialized training shall include techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection and the criteria and evidence required to substantiate a case for administrative action or prosecution referral. The specialized training shall also include techniques for interviewing juvenile sexual abuse victims.</li> </ol> </li> <li>3. Documentation of previous investigations was reviewed, and investigations appeared to have been prompt, thorough and object. <ol style="list-style-type: none"> <li>1. One of the three cases was investigated by the Anchorage Police department and referred to the District Attorney who declined to pursue criminal charges.</li> </ol> </li> <li>4. Completion certificates and training curriculum for investigative training</li> </ol> |

completed by investigative staff was reviewed and found to contain all appropriate topics.

**What was heard as part of a systematic review of evidence:**

1. Interview with Investigative Staff:
  1. Reported that cases of sexual abuse that appear could be criminal in nature are referred to the Anchorage Police Department who will investigate and refer to the District Attorney to determine if criminal charges will be filed.
  2. Reported that he has completed the DOJ investigative training course as well as additional investigative training he has initiated on his own,
  3. Reported that this training included topics specific to the PREA standards, including the appropriate application of Garrity and Miranda warnings.

**115.271(c)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 4):
  1. Requires that investigators shall gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data; shall interview alleged victims, suspected perpetrators, and witnesses; and shall review prior complaints and reports of sexual abuse involving the suspected perpetrator.
2. A review of investigative files revealed that where appropriate, evidence was gathered, interviews of alleged victims, suspected perpetrators and witness was conducted, and prior complaints were reviewed.

**115.271(d)**

**What was heard as part of a systematic review of evidence:**

1. Interview with investigative staff:
  1. Reported that administrative investigations are not started until after a determination has been made as to whether criminal charges will be pursued.

**115.271(e)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 3):
  1. Outlines that the credibility of an alleged victim, suspect, or witness shall be assessed on an individual basis and shall not be determined by the person's status as individual in a GEO facility or staff.
  2. Outlines that no agency shall require an individual in a GEO facility who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding with the investigation of such an allegation.

**What was heard as part of a systematic review of evidence:**

1. Interview with investigative staff:
  1. Reported that the credibility of everyone involved in an administrative investigation is determined on a case-by-case basis.
  2. Reported that the alleged victim in an investigation would never be subjected to a polygraph or other truth telling device.
2. There were no residents who reported a sexual abuse housed at the facility during the onsite.

**115.271(f)**

**What was read as part of a systematic review of evidence:**

1. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 4):
  1. Outlines that administrative investigations:
    1. Shall include an effort to determine whether staff actions or failures to act contributed to the abuse.
    2. Shall be documented in a written report format that includes at a minimum, a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings.
  2. A review of administrative investigative files revealed that staff actions/inaction as well as physical and testimonial evidence is documented.

**What was heard as part of a systematic review of evidence:**

1. Interview with investigative staff:
  1. Reported that part of his investigation includes comparing how staff responded in relation to the policy and procedures to determine if any of their actions or inactions may have contributed to the abuse.
  2. Reported that he uploads all of his investigative files to the corporate portal.

**115.271(g/h)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that substantiated allegations of conduct that appear to be criminal are referred for prosecution.
  2. Reported the the number of substantiated allegations that appear to be criminal that were referred for prosecution since the last PREA audit was zero.
    1. **NOTE:** Since completion of the PAQ, a sexual abuse investigation was completed by the Anchorage P.D. who referred the case to the District Attorney. The District Attorney declined to pursue criminal charges.
2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 4):
  1. Outlines that substantiated allegations of conduct that appear to be criminal shall be referred for prosecution and reported to any relevant licensing bodies.

**What was heard as part of a systematic review of evidence:**

1. Interview with investigative staff:
  1. Reported that all criminal investigations are completed and documented by the Anchorage Police Department or Alaska State Police.
  2. Reported that criminal investigations are referred to the District Attorney who determines whether or not to pursue criminal charges

**115.271(i/j/l)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency retains all written reports pertaining to the administrative or criminal investigation of alleged sexual abuse or sexual harassment for as long as the alleged abuser is incarcerated or employed by the agency, plus five years.
2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 3):
  1. Outlines that GEO shall retain all written reports referenced this section for as long as the alleged abuser is incarcerated or employed by the agency, plus five years; however, for any circumstance, files shall be retained no less than ten years.
  2. Outlines that the departure of the alleged abuser or victim from the employment or control of the facility or agency shall not provide a basis for terminating an investigation.
  3. Requires that when outside agencies investigate sexual abuse or

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|  | <p>sexual harassment, the facility shall cooperate with outside investigators and shall endeavor to remain informed about the progress of the investigation at least once monthly.</p> <p>3. A review of investigative files revealed that investigations continue even when the alleged abuser and alleged victim had been transferred to another facility.</p> <p><b>What was heard as part of a systematic review of evidence:</b></p> <p>1. Interview with investigative staff:</p> <ol style="list-style-type: none"> <li>1. Reported that according to policy, an investigation would continue even if the alleged abuser or victim were transferred or terminated from employment.</li> <li>2. Reported that when an outside agency is conducting the investigation, facility staff will assist the agency in providing any requested information.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.272</b> | <b>Evidentiary standard for administrative investigations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                | <p><b>115.272</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (effective 1/1/24)</b></li> <li>• <b>Interview with investigative staff</b></li> <li>• <b>Review of investigative reports</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.272(a)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <p>1. The facility PAQ:</p> <ol style="list-style-type: none"> <li>1. Reported that the agency imposes a standard of preponderance of evidence or a lower standard of proof when determining whether</li> </ol> |

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|  | <p>allegations of sexual abuse or sexual harassment can be substantiated.</p> <ol style="list-style-type: none"> <li>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 4): <ol style="list-style-type: none"> <li>Outlines that facilities shall impose no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or harassment are substantiated.</li> </ol> </li> <li>A review of investigative files revealed that the burden of proof used is a preponderance of evidence.</li> </ol> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>Interview with investigative staff: <ol style="list-style-type: none"> <li>Reported that the agency imposes a standard of preponderance of evidence when determining whether allegations of sexual abuse or sexual harassment can be substantiated.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.273</b> | <b>Reporting to residents</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
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|                | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|                | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <p><b>115.273</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (effective 1/1/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</b></li> <li>• <b>Examples of resident notification forms</b></li> <li>• <b>Interview with Facility Director</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.273(a/b)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>The facility PAQ:</li> </ol> |



1. Reported that the agency has a policy requiring that any resident who makes an allegation that he or she suffered sexual abuse in an agency is informed, verbally or in writing as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded following an investigation by the agency.
2. Reported that there was one criminal and/or administrative investigation of alleged resident sexual abuse that was completed in the past 12 months.
3. Reported that of the alleged sexual abuse investigations completed in the past 12 months, that zero residents were notified of the results of the investigation because the residents had been released prior to the conclusion of the investigation.
4. Reported that if an outside entity conducts such investigations, the agency requests the relevant information from the investigative entity in order to inform the resident of the outcome of the investigation.
5. Reported that there were zero investigations of alleged resident sexual abuse in the facility that were completed by an outside agency in the past 12 months.

1. Since the completion of the PAQ, Parkview had one investigation completed by an outside agency.

2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 9):

1. Outlines that at the conclusion of an investigation, the facility investigator or staff member designated by the Facility Administrator shall inform the victim of sexual abuse in writing, whether the allegation has been substantiated, unsubstantiated or unfounded.

3. A review of investigative files revealed that notification of outcome forms were completed at the conclusion of the investigation, however the individuals had been released from custody.

**What was heard as part of a systematic review of evidence:**

1. Interview with the Facility Director:

1. Reported that facility residents are notified of the outcome of all allegations of sexual abuse and whether they were unfounded, unsubstantiated or substantiated.

2. Interview with investigative staff:

1. Reported that residents are notified of the outcome of investigations using the standard GEO reporting form.

**115.273(c/d/e)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that following a resident's allegation that a staff member has committed sexual abuse against the resident, the agency/facility subsequently informs the resident (unless the agency has determined that the allegation is unfounded) whenever:
    1. The staff member is no longer posted within the resident's unit.
    2. The staff member is no longer employed at the facility.
    3. The agency learns that the staff member has been indicted on a charge related to sexual abuse within the facility.
    4. The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility.
  2. Reported that there have been zero substantiated or unsubstantiated complaints of sexual abuse committed by a staff member against a resident in the past 12 months.
  3. Reported that following a resident's allegation that he or she has been sexually abused by another resident in an agency facility, the agency subsequently informs the alleged victim whenever:
    1. The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility.
    2. The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.
  4. Reported that the agency has a policy that all notifications to residents under this standard are documented.
  5. Reported that in the past 12 months there has been two notifications to residents that were all documented.
2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 4):
  1. Outlines that if the alleged abuser was an employee, the victim shall also be informed whenever:
    1. The employee is no longer posted within the victim's housing unit/area. See section of this procedure for requirements on reporting staff separation to an alleged victim.
    2. The employee is no longer employed at the facility.
    3. The facility learns that the employee has been indicted on a charge related to the sexual abuse within the facility.
    4. The facility learns that the employee has been convicted on a charge related to sexual abuse within the facility.
  2. Outlines that if the alleged abuser was another individual in a GEO facility, the victim shall also be informed whenever:
    1. The facility learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility.
    2. The facility learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.
  3. Examples of resident notification forms were reviewed and found to

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|  | <p>be compliant with the provisions of this standard.</p> <p><b>What was heard as part of a systematic review of evidence:</b></p> <p>1. Interview with the Facility Director:</p> <p>1. Reported that at the time of the onsite, there were no residents being housed who had reported an incident of sexual abuse.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.276</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <p><b>115.276</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (effective 1/1/24)</b></li> <li>• <b>GEO Employee Handbook</b></li> <li>• <b>Interview with PREA Manager</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.276(a/b/c/d)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <p>1. The facility PAQ:</p> <p>1. Reported that staff is subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies.</p> <p>2. Reported that in the past 12 months the number of staff from the facility who have violated agency sexual abuse or sexual harassment policies is zero.</p> <p>3. Reported that the disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment are commensurate with the nature and circumstances of the acts committed, the staff members' disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories.</p> |

4. Reported that in the past 12 months, the number of staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies is zero.
  5. Reported that all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies and to any relevant licensing bodies.
  6. Reported that in the past 12 months the number of staff from the facility that have been reported to law enforcement or licensing boards following their termination for violating agency sexual abuse or sexual harassment policies is zero.
2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (pp. 9-10):
1. Outlines that employees may be subject to significant disciplinary sanctions for substantiated violations of sexual abuse and harassment policies, up to and including termination for any employee found guilty of sexual abuse.
  2. Termination shall be the presumptive disciplinary sanction for staff who have engaged in sexual abuse.
  3. Disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) shall be commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories.
  4. All terminations and resignation for such conduct shall be reported to law enforcement and licensing agencies, unless the activity was clearly not criminal.
3. GEO Employee Handbook (p. 27):
1. States that GEO has a zero tolerance for sexual abuse or sexual harassment of any individual lawfully housed in a GEO facility or otherwise served by GEO. Therefore, sexual abuse or sexual harassment of any individual lawfully housed in a GEO facility or program or otherwise served by GEO is strictly prohibited and will not be tolerated. Unwelcome sexual advances, request for sexual favors, and other verbal or physical contact of a sexual nature with any individual lawfully housed in a GEO facility or otherwise served by GEO will subject you to immediate disciplinary action up to and including termination.

**What was heard as part of a systematic review of evidence:**

1. Interview with the PREA Manager confirmed that there has been no disciplinary action taken toward an employee during this reporting period as a result of sexual abuse or sexual harassment.

**Based on this analysis the facility is substantially compliant with this**

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|  | <b>provision and corrective action is not required.</b> |
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| <b>115.277</b> | <b>Corrective action for contractors and volunteers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                | <p><b>115.277</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (effective 1/1/24)</b></li> <li>• <b>Interview with Facility Director Reasoning and analysis (by provision):</b></li> </ul> <p><b>115.277(a/b)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that agency policy requires that any contractor or volunteer who engages in sexual abuse be reported to law enforcement agencies and to relevant licensing bodies.</li> <li>2. Reported that agency policy requires that any contractor or volunteer who engages in sexual abuse be prohibited from contact with residents.</li> <li>3. Reported that in the past 12 months zero contractors or volunteers have been reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of residents.</li> <li>4. Reported that in the past 12 months, the number of contractors or volunteers reported to law enforcement for engaging in sexual abuse of residents is zero.</li> <li>5. Reported that the facility takes appropriate remedial measures and considers whether to prohibit further contact with residents in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 12): <ol style="list-style-type: none"> <li>1. Outlines that any contractor or volunteer who engages in sexual abuse or sexual harassment shall be prohibited from contact with</li> </ol> </li> </ol> |

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|  | <p>individuals in a GEO facility or program and shall be reported to law enforcement and relevant licensing bodies, unless the activity was clearly not criminal GEO is committed to investigating and referring any contractor or volunteer that engages in such behavior for prosecution.</p> <p>2. In the case of any other violation of GEO sexual abuse or sexual harassment policies by the contractor or volunteer, the facility shall notify the applicable GEO contracting authority who will take appropriate remedial measures and shall consider whether to prohibit further contact with individuals in a GEO facility or program.</p> <p>3. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 10):</p> <p>1. Outlines that any contractor or volunteer who engages in sexual abuse or sexual harassment shall be prohibited from contact with individuals in a GEO facility and shall be reported to law enforcement and relevant licensing bodies unless the activity was clearly not criminal.</p> <p>2. In the case of any other violation of GEO sexual abuse or sexual harassment policies by the contractor or volunteer, the facility shall notify the applicable GEO contracting authority who will take remedial measures and shall consider whether to prohibit further contact with individuals in a GEO facility.</p> <p><b>What was heard as part of a systematic review of evidence:</b></p> <p>1. Interview with the Facility Director:</p> <p>1. Reported that Parkview Center had no contractors or volunteers involved in PREA related allegations during this review period.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.278</b> | <b>Disciplinary sanctions for residents</b>                                                                                                                                                                                                               |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                      |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                 |
|                | <p><b>115.278</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-E PREA</b></li> </ul> |

**Investigation Procedure (non-ICE) - (effective 1/1/24)**

- **Parkview Center Resident Handbook**
- **Interview with Facility Director**

**Reasoning and analysis (by provision):**

**115.278(a/b/c)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that residents are subject to disciplinary sanctions only pursuant to a formal disciplinary process following an administrative finding that a resident engaged in resident-on-resident sexual abuse.
  2. Reported that residents are subject to disciplinary sanctions only pursuant to a formal disciplinary process following a criminal finding of guilt for resident-on- resident sexual abuse.
  3. Reported that in the past 12 months zero administrative findings of resident-on- resident sexual abuse have occurred at the facility.
  4. Reported that in the past 12 months, the number of criminal findings of guilt for resident-on-resident sexual abuse that have occurred at the facility is zero.
2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 10):
  1. Individuals in a GEO facility who are found guilty of engaging in sexual abuse involving other individuals in a GEO facility (either through administrative or criminal investigations) shall be subject to formal disciplinary sanctions.
  2. Sanctions shall be commensurate with the nature and circumstances of the abuse committed, the individual's disciplinary history, and the sanctions imposed for comparable offenses by other individuals with similar histories.
  3. The disciplinary process shall consider whether an individual's mental disabilities or mental illness contributed to his or her behavior when determining what type of sanction, if any should be imposed.

**What was heard as part of a systematic review of evidence:**

1. Interview with the Facility Director:
  1. The facility Director confirmed various levels and types of discipline that may be used with residents. In all cases, any disability that the resident may have will be taken into consideration.
  2. Reported that Parkview Center had no residents disciplined as a result of a PREA incident during this reporting period.

**115.278(d)****What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the facility does not offer therapy, counseling or other interventions designed to address and correct the underlying reasons or motivations for abuse.

**115.278(e)****What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency disciplines residents for sexual conduct with staff only upon finding that the staff member did not consent to such contact.
2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 10):
  1. Outlines that disciplining an individual in a GEO facility for sexual contact with an employee is prohibited unless it is found that the employee did not consent to the contact.

**115.278(f)****What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency prohibits disciplinary action for a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred, even if an investigation does not establish evidence sufficient to substantiate the allegations.
2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 10):
  1. Outlines that a report of sexual abuse made in good faith by an individual in a GEO facility, based upon a reasonable belief that the alleged conduct occurred, will not constitute false reporting or lying.

**115.278(g)****What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency prohibits all sexual activity between residents.
  2. Reported that sexual activity between residents is deemed to constitute sexual abuse only if it determines that the activity is



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|  | <p>coerced.</p> <ol style="list-style-type: none"> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-E PREA Investigation Procedure (non-ICE) - (p. 10): <ol style="list-style-type: none"> <li>1. Outlines that facilities may not deem that sexual activity between individuals in a GEO facility or program is sexual abuse unless it is determined that the activity was coerced.</li> </ol> </li> <li>3. Parkview Center Resident Handbook (p. 40): <ol style="list-style-type: none"> <li>1. State of Alaska prohibited acts include engaging in sexual acts with others or making sexual proposals or threats.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.282</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
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|                | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                | <p><b>115.282</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</b></li> <li>• <b>Interviews with staff who may be first responders</b></li> <li>• <b>Interview with Facility Director</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.282(a/b/c/d)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that Resident victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services.</li> <li>2. Reported that the nature and scope of such services are determined by medical and mental health practitioners according to their professional judgement.</li> <li>3. Reported that medical and mental staff do not maintain secondary</li> </ol> </li> </ol> |

materials because the facility does not employ medical or mental health staff.

4. Reported that resident victims of sexual abuse while incarcerated are offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate.
5. Reported that treatment services are provided to every victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 20):

1. States that victims of sexual abuse in custody shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services as directed by medical and mental health practitioners.
2. Reentry facilities shall utilize local community facilities to provide emergency medical treatment and crisis intervention if onsite medical and mental health providers are not available. Following a reported PREA allegation, a Medical-Mental Health Referral Form will be utilized to document the offer of onsite or offsite mental health services was made to the victim. The form will also document the acceptance or refusal of these services.
3. This access includes offering timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, where medically appropriate. All services shall be provided without financial cost to the victim and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

3. Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (pp. 11-12):

1. Victims of Sexual Abuse in custody shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services.
2. This access includes offering timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, where medically appropriate. All services shall be provided without financial cost to the victim and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.
3. These services shall be provided in a manner that is consistent with the level of care the individual would receive in the community and include pregnancy tests and all lawful pregnancy-related medical services where applicable.
4. Victims shall also be offered tests for sexually transmitted infections

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|  | <p>as medically appropriate. All services shall be provided without financial cost to the victim.</p> <p><b>What was heard as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>Interviews with staff who may be first responders: <ol style="list-style-type: none"> <li>Interviews indicated that staff understood their responsibilities protect the victim when responding to an incident and that access to medical treatment would be provided.</li> </ol> </li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.283</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <p><b>115.283</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)</b></li> <li>• <b>Interview with Facility Director</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.283(a/b/c)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>The facility PAQ: <ol style="list-style-type: none"> <li>Reported that the facility offers medical and mental health evaluation and, as appropriate, treatment to all residents who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility.</li> </ol> </li> <li>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 21): <ol style="list-style-type: none"> <li>Outlines that each facility shall offer medical and mental health</li> </ol> </li> </ol> |

evaluations (and treatment where appropriate) to all victims of Sexual Abuse that occurs in any prison, jail, lockup, or juvenile facility.

2. The evaluation and treatment should include follow-up services, treatment plans, and (when necessary) referrals for continued care following a transfer or release.
  3. These services shall be provided in a manner that is consistent with the level of care the individual would receive in the community and include pregnancy tests and all lawful pregnancy-related medical services where applicable.
3. Parkview Center Local Policy Manual 2019-6: Abuse, Neglect and Exploitation (p. 12):
1. Outlines that Parkview Center shall offer medical and mental health evaluations (and treatment where appropriate) to all victims of sexual abuse that occurs in any prison, jail, lockup, or juvenile facility.
  2. The evaluation and treatment should include follow-up services, treatment plans, and (when necessary) referrals for continued care following a transfer or release.
  3. These services shall be provided in a manner that is consistent with the level of care the individual would receive in the community and include pregnancy tests and all lawful pregnancy-related medical services where applicable.
4. PREA risk screening referrals:
1. A random selection of PREA risk screenings were examined and found to contain referrals for mental health evaluations where appropriate and included a signature by the resident if services were declined.

**What was heard as part of a systematic review of evidence:**

1. Interview with the Facility Director:
  1. Reported that there have been no residents placed on treatment plans relating to sexual abuse during this reporting period.

**115.283(d/e/f/g)**

What was read as part of a systematic review of evidence:

1. The facility PAQ:
  1. Reported that the Parkview Center is an all-male facility.
  2. Reported that victims of sexual abuse while incarcerated are offered tests for sexually transmitted infections as medically appropriate.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 21):
  1. Outlines that victims shall also be offered tests for sexually

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|  | <p>transmitted infections as medically appropriate. All services shall be provided without financial cost to the victim.</p> <p>3. Parkview Center Local Policy Manual 2019-6: Abuse, Neglect and Exploitation (p. 12):</p> <p>1. Outlines that victims shall also be offered tests for sexually transmitted infections as medically appropriate. All services shall be provided without financial cost to the victim.</p> <p><b>115.283(h)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <p>1. The facility PAQ:</p> <p>1. Reported that the facility attempts to conduct a mental health evaluation of all known resident-on-resident abusers within 60 days of learning of such abuse history and offers treatment when deemed appropriate by mental health practitioners.</p> <p>2. GEO Corporate Policy and Procedure Manual 5.1.2-A: Oversight (p. 21):</p> <p>1. Outlines that the facility shall attempt to conduct a mental health evaluation on all known inmate on inmate or resident on resident abusers within 60 days of learning of such abuse history and offer treatment deemed appropriate by Mental Health Practitioners.</p> <p>3. Parkview Center Local Policy Manual 2019-6: Abuse, Neglect and Exploitation (p. 12):</p> <p>1. Outlines that the Parkview Center shall attempt to refer the resident for a mental health evaluation of all known resident-on-resident sexual abusers within 60 days of learning of such abuse history and offer treatment deemed appropriate by mental health practitioners.</p> <p><b>What was heard as part of a systematic review of evidence:</b></p> <p>1. Interview with the Facility Director:</p> <p>1. Reported that the Parkview Center had no residents placed on a treatment plan related to sexual abuse during the review period.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.286</b> | <b>Sexual abuse incident reviews</b>                 |
|                | <b>Auditor Overall Determination:</b> Meets Standard |
|                | <b>Auditor Discussion</b>                            |
|                | <b>115.286</b>                                       |

**Evidence relied upon in making the compliance determinations:**

- **Parkview Center PAQ**
- **GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)**
- **Parkview Center Local Policy Manual 2019-6 Abuse, Neglect and Exploitation (effective 4/1/19)**
- **Interview with Facility Director**
- **Interview interview responses from PREA Coordinator**

**Reasoning and analysis (by provision):**

**115.286(a/b/c/d/e)**

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the facility conducts a sexual abuse incident review at the conclusion of every criminal or administrative sexual abuse investigation, unless the allegation has been determined to be unfounded.
  2. Reported that in the past 12 months, the number of criminal and/or administrative investigations of alleged sexual abuse completed at the facility excluding only unfounded” incidents were one.
  3. Reported that the facility ordinarily conducts a sexual abuse incident review within 30 days of the conclusion of the criminal or administrative sexual abuse investigation.
  4. Reported that in the past 12 months there was one criminal and/or administrative investigations of alleged sexual abuse completed at the facility that were followed by a sexual abuse incident review within 30 days.
  5. Reported that the sexual abuse incident review team includes upper-level management officials and allows for input from line supervisors, investigators, and medical or mental health practitioners.
  6. Reported that the facility prepares a report of its findings from sexual abuse incident review, including but not necessarily limited to determinations made pursuant to paragraphs (d)(1) -(d)(5) of this section and any recommendations for improvement and submits such report to the facility head and PREA Coordinator.
  7. Reported that the facility implements the recommendations for improvement or documents ins reasons for not doing so.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 23):
  1. Outlines that facilities are required to conduct a sexual abuse incident review after every sexual abuse and sexual harassment investigation in which the allegation has been determined substantiated or unsubstantiated.

2. Such review shall occur within 30 days of the conclusion of the investigation.
  3. The review team shall consist of upper-level management officials and the local PREA compliance manager, with input from line supervisors, investigators, and medical or mental health practitioners. The corporate PREA coordinator may also be consulted as part of this review.
  4. Unless mandated by client contract, a "PREA After Action Review Report," of the team's findings shall be completed and submitted to the corporate PREA coordinator no later than 30 working days after the review via the GEO PREA Portal in the corresponding PREA survey. The facility shall implement the recommendations for improvement or document its reasons for not doing so.
  5. The facility PREA compliance manager shall maintain copies of all completed PREA After Action Review Reports, and a copy shall also be maintained in the corresponding investigative file.
3. Parkview Center Local Policy Manual 2019-6: Abuse, Neglect and Exploitation (pp. 13-14):
1. Facilities are required to conduct a Sexual Abuse incident review at the conclusion of every sexual abuse investigation in which the allegation has been determine substantiated or unsubstantiated.
  2. Such review shall occur within 30 days of the conclusion of the investigation. The review team shall consist of upper-level management officials and the local PREA Compliance Manager, with input from line supervisors, investigators and Medical or Mental Health Practitioners. The Corporate PREA Coordinator may be consulted as part of this review.
  3. A "PREA After Action Review Report" of the team's findings shall be completed and submitted to the Corporate PREA Coordinator no later than 10 working days after the review.
  4. The Facility shall implement the recommendations for improvement or document its reasons for not doing so.
4. Sexual Abuse Incident reviews:
1. There was one sexual abuse incident in the reporting period that required a review.
  2. The review contained all necessary elements, including attendance by upper management personnel.
  3. The review was not completed within the 30-day time period.

**What was heard as part of a systematic review of evidence:**

1. Interview with the Facility Director:
  1. Reported that the incident review team normally consists of herself and the two Assistant Facility Directors.
  2. Reported that various factors are looked at including what could have been done better, if the video monitoring was sufficient and if

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|  | <p>any gender or race issues may have contributed to the incident.</p> <ol style="list-style-type: none"> <li>3. Reported that the incident review that occurred during this reporting period was not completed timely due to a change in facility leadership.</li> </ol> <p>2. Interview responses from PREA Coordinator:</p> <ol style="list-style-type: none"> <li>1. Reported that PREA compliance managers at each facility are required to upload the "PREA After Action Review Report," to the agency's secure PREA Portal within 30 days of case closure. The corporate PREA compliance team monitor these reports and work with the facility to address issues as necessary. If an after- action report necessitates corrective action at the corporate level, the PREA coordinator works with the applicable department heads to implement appropriate measures. To date, no systemic trends have been identified.</li> <li>2. Reported that the corporate PREA compliance team reviews this data annually to determine the improvements needed to enhance our PREA program. The recommended improvements are reviewed, and a recommendation is submitted to the divisional authority for Reentry for review and approval.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.287</b> | <b>Data collection</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|                | <p><b>115.287</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>PREA Annual Incident Tracking Log</b></li> <li>• <b>GEO Annual Data Report</b></li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.287(a/b/c/d/e/f)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> |



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|  | <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions.</li> <li>2. Reported that the agency aggregates the incident-based sexual abuse data at least annually.</li> <li>3. Reported that the standardized instrument includes, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence (SSV) conducted by the Department of Justice.</li> <li>4. Reported that the agency maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews.</li> <li>5. Reported that the the Department of Justice (DOJ) has not requested agency data.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 23): <ol style="list-style-type: none"> <li>1. Each Facility shall collect and retain data related to sexual abuse as directed by the corporate PREA coordinator.</li> <li>2. This data shall be aggregated at least annually and is required to include, at a minimum, the data necessary to answer all questions on the most recent version of the Survey of Sexual Violence conducted by the Bureau of Justice Statistics (BJS).</li> <li>3. Upon request, GEO shall provide such data from the previous calendar year to the Department of Justice no later than June 30.</li> <li>4. Facility PREA compliance managers shall be responsible for compiling data collected on sexual activity, sexual harassment, and sexual abuse incidents.</li> <li>5. Facility PREA compliance managers will ensure that a PREA survey is created in accordance with GEO OPR and divisional incident reporting policies.</li> </ol> </li> <li>3. Parkview Center annual tracking log was reviewed from previous years.</li> <li>4. GEO Annual Data Report was reviewed and found to contain all relevant data.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.288</b> | <b>Data review for corrective action</b>             |
|                | <b>Auditor Overall Determination:</b> Meets Standard |
|                | <b>Auditor Discussion</b>                            |
|                | <b>115.288</b>                                       |

**Evidence relied upon in making the compliance determinations:**

- **Parkview Center PAQ**
- **GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)**
- **GEO Annual Data Reports**
- **Interview with Agency Head**
- **Interview with Agency PREA Coordinator Reasoning and analysis (by provision):**

115.288(a/b/c/d)

**What was read as part of a systematic review of evidence:**

1. The facility PAQ:
  1. Reported that the agency reviews data collected and aggregated pursuant to 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, response policies, and training, including: (a) identifying problem areas; (b) taking corrective action on an ongoing basis; and (c) preparing an annual report of its findings from its data review and any corrective actions for each facility, as well as the agency as a whole.
  2. Reported that the annual report includes a comparison of the current year's data and corrective actions with those from prior years.
  3. Reported that the report provides an assessment of the agency's progress in addressing sexual abuse.
  4. Reported that the agency makes its annual report readily available to the public at least annually through its website.  
<https://www.geogroup.com/prea>
  5. Reported that the annual reports are approved by the agency head.
  6. Reported that when the agency redacts material from an annual report for publication the redactions are limited to specific materials where publication would represent a clear and specific threat to the safety and security of the facility.
  7. Reported that the agency indicates the nature of material redacted.
2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (pp. 23-24):
  1. Outlines that GEO shall review all data collected in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by:
    1. Identifying problem areas.
    2. Taking corrective action on an ongoing basis.
    3. Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole.
  2. Such report shall include a comparison of the current year's data and

corrective actions with those from prior years and shall provide an assessment of GEO's progress in addressing sexual abuse.

3. The annual report shall be approved by the appropriate divisional authority and made readily available to the public upon approval, at least annually through GEO's website or the client's website as required by contract.
4. GEO may redact specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility but must indicate the nature of the material redacted.
3. GEO PREA Annual Reports were examined and found to comply with all aspects of the standards.

**What was heard as part of a systematic review of evidence:**

1. Interview with Agency Head:
  1. Reported that facilities conduct sexual abuse incident reviews after each substantiated or unsubstantiated case. Any recommendations for improvement, problem areas identified, or corrective actions needed are documented and forwarded to the corporate PREA Coordinator to review. In 2015, GEO designed a secure PREA Portal with restricted access to retain all of the PREA data. Every incident is entered into the portal by the PREA managers at each facility and annually, our corporate PREA team reviews this data to determine what improvements are needed to enhance the PREA program. These recommended improvements are submitted to the appropriate divisional authority for Secure Services, Reentry and Youth Services annually for review and approval.
  2. Reported that annual PREA reports are approved by the appropriate divisional authority for Secure Services, Reentry and Youth Services and our CEO.
2. Interview with PREA Coordinator:
  1. Reported that each facility is required to complete the GEO "PREA After-Action Review Report," after each substantiated or unsubstantiated case. Any recommendations for improvement, problem areas identified, or corrective actions needed are documented and captured in the facility's "Annual PREA Facility Assessment.
  2. Reported that GEO maintains a secure PREA Portal with restricted access to retain all PREA related data. Every sexual abuse incident and documentation pertaining to said incident is entered into the portal by the PREA compliance manager at each facility. The corporate PREA compliance team, to include myself, have access to this portal. The corporate PREA team reviews this data annually to determine the improvements needed to enhance the overall PREA program. These recommended improvements are submitted to the divisional authority for reentry annually for review and approval.

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|  | <p>3. GEO publishes a PREA report annually. Currently, annual PREA data reports from 2017-2022 are available on GEO’s website.</p> <p>4. Reported that GEO only reports statistical data and incident types. Personally identifiable information is excluded for confidentiality purposes.</p> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.289</b> | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <p><b>115.289</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <b>Parkview Center PAQ</b></li> <li>• <b>GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</b></li> <li>• <b>GEO Annual Data Reports</b></li> <li>• <b>Interview responses from PREA Coordinator</b></li> </ul> <p><b>Reasoning and analysis (by provision)</b></p> <p><b>115.289(a/b/c/d)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The facility PAQ: <ol style="list-style-type: none"> <li>1. Reported that the agency ensures that incident-based and aggregate are securely retained.</li> <li>2. Reported that agency policy requires that aggregated sexual abuse data from facilities under its direct control and private facilities with which it contracts be made readily available to the public at least annually through its website.</li> <li>3. Reported that before making aggregated sexual abuse data publicly available, the agency removes all personal identifiers.</li> <li>4. Reported that the agency maintains sexual abuse data collected pursuant to 115.287 for at least 10 years after the date of initial collection, unless federal, state, or local law requires otherwise.</li> </ol> </li> <li>2. GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (p. 4))</li> </ol> |

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|  | <ol style="list-style-type: none"> <li>1. Data collected pursuant to this procedure shall be securely retained for at least 10 years or longer if required by state statute. Before making aggregated sexual abuse data publicly available, all personal identifiers shall be removed.</li> <li>3. Interview with PREA Coordinator: <ol style="list-style-type: none"> <li>1. Reported that GEO maintains a secure PREA Portal with restricted access to retain all PREA related data. Every sexual abuse incident and documentation pertaining to said incident is entered into the portal by the PREA compliance manager at each facility. The corporate PREA compliance team, to include myself, have access to this portal.</li> </ol> </li> <li>4. Review of GEO Annual Data Reports revealed that data is collected, reviewed and published annually. Reports outline trends and action taken to improve sexual safety within the facilities.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.401</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
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|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|                | <p><b>115.401</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• GEO Corporate Policy and Procedure Manual 5.1.2-A Sexually Abusive Behavior and Intervention Procedure (effective 1/4/24)</li> <li>• GEO website - <a href="https://www.geogroup.com/PREA_Certification_Information">https://www.geogroup.com/PREA_Certification_Information</a></li> <li>• GEO Annual Data Reports Reasoning and analysis (by provision):</li> </ul> <p><b>115.401(a/b)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. GEO Corporate Policy and Procedure Manual 5.1.2-A: Oversight (p. 29): <ol style="list-style-type: none"> <li>1. Outlines that during the three-year period starting on August 20, 2013, and each three-year period thereafter, GEO's Contract Compliance Department shall ensure that each facility is audited at least once by a PREA Auditor who has been certified through the Department of Justice.</li> </ol> </li> <li>2. GEO agency website review shows that the agency has met the obligation to have a third of their facilities undergo a PREA audit in the prior year.</li> </ol> |

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|  | <p>3. Prior Parkview Center PREA Audit was completed in August 2021.</p> <p><b>115.401(h/i/m/n)</b></p> <p><b>What was observed as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. The auditor had access to and observed all areas of the Parkview Center.</li> <li>2. The auditor was permitted to request and was provided with copies of all relevant documents.</li> <li>3. The auditor was given a private room to conduct interviews with both residents and staff.</li> <li>4. Audit notices were posted in English and Spanish six weeks prior to the onsite and residents were permitted to send confidential information or correspondence to the auditor in the same manner as with legal counsel.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this provision and corrective action is not required.</b></p> |
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| <b>115.403</b> | <b>Audit contents and findings</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
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|                | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|                | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                | <p><b>115.403</b></p> <p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• GEO website - <a href="https://www.geogroup.com/PREA_Certification_Information">https://www.geogroup.com/PREA_Certification_Information</a></li> <li>• GEO Annual Data Reports</li> </ul> <p><b>Reasoning and analysis (by provision):</b></p> <p><b>115.403(f)</b></p> <p><b>What was read as part of a systematic review of evidence:</b></p> <ol style="list-style-type: none"> <li>1. Per agency policy and standard requirements, GEO ensures me that this final report will be published on their website at (<a href="https://www.geogroup.com/prea">https://www.geogroup.com/prea</a>) to be available to the public.</li> <li>2. A review of the GEO website demonstrates compliance with the posting of final reports for other GEO facilities.</li> </ol> <p><b>Based on this analysis the facility is substantially compliant with this</b></p> |

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|  | <b>provision and corrective action is not required.</b> |
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| <b>Appendix: Provision Findings</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
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| <b>115.211 (a)</b>                  | <b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>                                                                                                                                                                                                                                                                                                                                                                        |     |
|                                     | Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?                                                                                                                                                                                                                                                                                                                               | yes |
|                                     | Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?                                                                                                                                                                                                                                                                                                                | yes |
| <b>115.211 (b)</b>                  | <b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>                                                                                                                                                                                                                                                                                                                                                                        |     |
|                                     | Has the agency employed or designated an agency-wide PREA Coordinator?                                                                                                                                                                                                                                                                                                                                                                               | yes |
|                                     | Is the PREA Coordinator position in the upper-level of the agency hierarchy?                                                                                                                                                                                                                                                                                                                                                                         | yes |
|                                     | Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its community confinement facilities?                                                                                                                                                                                                                                                     | yes |
| <b>115.212 (a)</b>                  | <b>Contracting with other entities for the confinement of residents</b>                                                                                                                                                                                                                                                                                                                                                                              |     |
|                                     | If this agency is public and it contracts for the confinement of its residents with private agencies or other entities, including other government agencies, has the agency included the entity's obligation to adopt and comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of residents.) | na  |
| <b>115.212 (b)</b>                  | <b>Contracting with other entities for the confinement of residents</b>                                                                                                                                                                                                                                                                                                                                                                              |     |
|                                     | Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of residents.)                                                                                                                                              | na  |
| <b>115.212 (c)</b>                  | <b>Contracting with other entities for the confinement of residents</b>                                                                                                                                                                                                                                                                                                                                                                              |     |
|                                     | If the agency has entered into a contract with an entity that fails to comply with the PREA standards, did the agency do so only in                                                                                                                                                                                                                                                                                                                  | na  |



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|                        | emergency circumstances after making all reasonable attempts to find a PREA compliant private agency or other entity to confine residents? (N/A if the agency has not entered into a contract with an entity that fails to comply with the PREA standards.) |     |
|                        | In such a case, does the agency document its unsuccessful attempts to find an entity in compliance with the standards? (N/A if the agency has not entered into a contract with an entity that fails to comply with the PREA standards.)                     | na  |
| <b>115.213<br/>(a)</b> | <b>Supervision and monitoring</b>                                                                                                                                                                                                                           |     |
|                        | Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring to protect residents against sexual abuse?                                                                          | yes |
|                        | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The physical layout of each facility?                                                                                | yes |
|                        | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the resident population?                                                                          | yes |
|                        | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?                                       | yes |
|                        | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?                                                                                          | yes |
| <b>115.213<br/>(b)</b> | <b>Supervision and monitoring</b>                                                                                                                                                                                                                           |     |
|                        | In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (NA if no deviations from staffing plan.)                                                                               | na  |
| <b>115.213<br/>(c)</b> | <b>Supervision and monitoring</b>                                                                                                                                                                                                                           |     |
|                        | In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the staffing plan established pursuant to paragraph (a) of this section?                                                                     | yes |
|                        | In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to prevailing                                                                                                                                   | yes |

|                    |                                                                                                                                                                                                                                                                                                           |     |
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|                    | staffing patterns?                                                                                                                                                                                                                                                                                        |     |
|                    | In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the facility's deployment of video monitoring systems and other monitoring technologies?                                                                                                   | yes |
|                    | In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the resources the facility has available to commit to ensure adequate staffing levels?                                                                                                     | yes |
| <b>115.215 (a)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                        |     |
|                    | Does the facility always refrain from conducting any cross-gender strip searches or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?                                                                                                                | yes |
| <b>115.215 (b)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                        |     |
|                    | Does the facility always refrain from conducting cross-gender pat-down searches of female residents, except in exigent circumstances? (N/A if the facility does not have female inmates.)                                                                                                                 | na  |
|                    | Does the facility always refrain from restricting female residents' access to regularly available programming or other outside opportunities in order to comply with this provision? (N/A if the facility does not have female inmates.)                                                                  | na  |
| <b>115.215 (c)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                        |     |
|                    | Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?                                                                                                                                                                                                  | yes |
|                    | Does the facility document all cross-gender pat-down searches of female residents?                                                                                                                                                                                                                        | yes |
| <b>115.215 (d)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                        |     |
|                    | Does the facility have policies that enable residents to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? | yes |
|                    | Does the facility have procedures that enable residents to shower,                                                                                                                                                                                                                                        | yes |

|                    |                                                                                                                                                                                                                                                                                                                 |     |
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|                    | perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?                                                                        |     |
|                    | Does the facility require staff of the opposite gender to announce their presence when entering an area where residents are likely to be showering, performing bodily functions, or changing clothing?                                                                                                          | yes |
| <b>115.215 (e)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                              |     |
|                    | Does the facility always refrain from searching or physically examining transgender or intersex residents for the sole purpose of determining the resident's genital status?                                                                                                                                    | yes |
|                    | If the resident's genital status is unknown, does the facility determine genital status during conversations with the resident, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?           | yes |
| <b>115.215 (f)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                              |     |
|                    | Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?                                                                                             | yes |
|                    | Does the facility/agency train security staff in how to conduct searches of transgender and intersex residents in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?                                                                             | yes |
| <b>115.216 (a)</b> | <b>Residents with disabilities and residents who are limited English proficient</b>                                                                                                                                                                                                                             |     |
|                    | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who are deaf or hard of hearing?  | yes |
|                    | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who are blind or have low vision? | yes |

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|                        | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have intellectual disabilities?                      | yes |
|                        | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have psychiatric disabilities?                       | yes |
|                        | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have speech disabilities?                            | yes |
|                        | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.) | yes |
|                        | Do such steps include, when necessary, ensuring effective communication with residents who are deaf or hard of hearing?                                                                                                                                                                                                                | yes |
|                        | Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?                                                                                                                     | yes |
|                        | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Have intellectual disabilities?                                                                                                                 | yes |
|                        | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Have limited reading skills?                                                                                                                    | yes |
|                        | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Who are blind or have low vision?                                                                                                               | yes |
| <b>115.216<br/>(b)</b> | <b>Residents with disabilities and residents who are limited English proficient</b>                                                                                                                                                                                                                                                    |     |

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|                        | Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to residents who are limited English proficient?                                                                                                                                                  | yes |
|                        | Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?                                                                                                                                                                                         | yes |
| <b>115.216<br/>(c)</b> | <b>Residents with disabilities and residents who are limited English proficient</b>                                                                                                                                                                                                                                                                                              |     |
|                        | Does the agency always refrain from relying on resident interpreters, resident readers, or other types of resident assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the resident's safety, the performance of first-response duties under §115.264, or the investigation of the resident's allegations? | yes |
| <b>115.217<br/>(a)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                            |     |
|                        | Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?                                                                                                               | yes |
|                        | Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?                                        | yes |
|                        | Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has been civilly or administratively adjudicated to have engaged in the activity described in the two questions immediately above ?                                                                                                                                          | yes |
|                        | Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?                                                                                                | yes |
|                        | Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of                                                                                                                  | yes |

|                    |                                                                                                                                                                                                                                                                                                                                                      |     |
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|                    | force, or coercion, or if the victim did not consent or was unable to consent or refuse?                                                                                                                                                                                                                                                             |     |
|                    | Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has been civilly or administratively adjudicated to have engaged in the activity described in the two questions immediately above ?                                                                                               | yes |
| <b>115.217 (b)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                |     |
|                    | Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with residents?                                                                                                                                                                                                    | yes |
|                    | Does the agency consider any incidents of sexual harassment in determining to enlist the services of any contractor who may have contact with residents?                                                                                                                                                                                             | yes |
| <b>115.217 (c)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                |     |
|                    | Before hiring new employees who may have contact with residents, does the agency: Perform a criminal background records check?                                                                                                                                                                                                                       | yes |
|                    | Before hiring new employees who may have contact with residents, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse? | yes |
| <b>115.217 (d)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                |     |
|                    | Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with residents?                                                                                                                                                                                                     | yes |
| <b>115.217 (e)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                |     |
|                    | Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with residents or have in place a system for otherwise capturing such information for current employees?                                                                                       | yes |
| <b>115.217</b>     | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                |     |

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| <b>(f)</b>         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
|                    | Does the agency ask all applicants and employees who may have contact with residents directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?                                                                                                                                                                                                                                                   | yes |
|                    | Does the agency ask all applicants and employees who may have contact with residents directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?                                                                                                                                                                                                                  | yes |
|                    | Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?                                                                                                                                                                                                                                                                                                                                                                                 | yes |
| <b>115.217 (g)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                |     |
|                    | Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?                                                                                                                                                                                                                                                                                                                                    | yes |
| <b>115.217 (h)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                |     |
|                    | Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)                                                                                             | yes |
| <b>115.218 (a)</b> | <b>Upgrades to facilities and technology</b>                                                                                                                                                                                                                                                                                                                                                                                                                                         |     |
|                    | If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect residents from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012 or since the last PREA audit, whichever is later.) | na  |
| <b>115.218 (b)</b> | <b>Upgrades to facilities and technology</b>                                                                                                                                                                                                                                                                                                                                                                                                                                         |     |
|                    | If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the                                                                                                                                                                                                                                                                                            | yes |

|                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     |
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|                    | agency's ability to protect residents from sexual abuse? (N/A if agency/facility has not installed or updated any video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012 or since the last PREA audit, whichever is later.)                                                                                                                                                                                                        |     |
| <b>115.221 (a)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                |     |
|                    | If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)                                                                                           | yes |
| <b>115.221 (b)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                |     |
|                    | Is this protocol developmentally appropriate for youth where applicable? (NA if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)                                                                                                                                                                                                                                                                                | yes |
|                    | Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (NA if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.) | yes |
| <b>115.221 (c)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                |     |
|                    | Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?                                                                                                                                                                                                                                                                               | yes |
|                    | Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?                                                                                                                                                                                                                                                                                                                                                    | yes |
|                    | If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?                                                                                                                                                                                                                                                                                    | yes |



|                    |                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
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|                    | Has the agency documented its efforts to provide SAFEs or SANEs?                                                                                                                                                                                                                                                                                                                                                                 | yes |
| <b>115.221 (d)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                       |     |
|                    | Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?                                                                                                                                                                                                                                                                                                                             | yes |
|                    | If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member?                                                                                                                                                                                         | yes |
|                    | Has the agency documented its efforts to secure services from rape crisis centers?                                                                                                                                                                                                                                                                                                                                               | yes |
| <b>115.221 (e)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                       |     |
|                    | As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?                                                                                                                                                                      | yes |
|                    | As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?                                                                                                                                                                                                                                                                                                         | yes |
| <b>115.221 (f)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                       |     |
|                    | If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)                                                                                         | yes |
| <b>115.221 (h)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                       |     |
|                    | If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency attempts to make a victim advocate from a rape crisis center available to victims per 115.221(d) above). | na  |

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| <b>115.222<br/>(a)</b> | <b>Policies to ensure referrals of allegations for investigations</b>                                                                                                                                                                                                                 |     |
|                        | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?                                                                                                                                                                  | yes |
|                        | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?                                                                                                                                                             | yes |
| <b>115.222<br/>(b)</b> | <b>Policies to ensure referrals of allegations for investigations</b>                                                                                                                                                                                                                 |     |
|                        | Does the agency have a policy in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior? | yes |
|                        | Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?                                                                                                                                                       | yes |
|                        | Does the agency document all such referrals?                                                                                                                                                                                                                                          | yes |
| <b>115.222<br/>(c)</b> | <b>Policies to ensure referrals of allegations for investigations</b>                                                                                                                                                                                                                 |     |
|                        | If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for conducting criminal investigations. See 115.221(a).)        | yes |
| <b>115.231<br/>(a)</b> | <b>Employee training</b>                                                                                                                                                                                                                                                              |     |
|                        | Does the agency train all employees who may have contact with residents on: Its zero-tolerance policy for sexual abuse and sexual harassment?                                                                                                                                         | yes |
|                        | Does the agency train all employees who may have contact with residents on: How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?                                             | yes |
|                        | Does the agency train all employees who may have contact with residents on: Residents' right to be free from sexual abuse and sexual harassment?                                                                                                                                      | yes |
|                        | Does the agency train all employees who may have contact with                                                                                                                                                                                                                         | yes |

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|                    | residents on: The right of residents and employees to be free from retaliation for reporting sexual abuse and sexual harassment?                                                                                                          |     |
|                    | Does the agency train all employees who may have contact with residents on: The dynamics of sexual abuse and sexual harassment in confinement?                                                                                            | yes |
|                    | Does the agency train all employees who may have contact with residents on: The common reactions of sexual abuse and sexual harassment victims?                                                                                           | yes |
|                    | Does the agency train all employees who may have contact with residents on: How to detect and respond to signs of threatened and actual sexual abuse?                                                                                     | yes |
|                    | Does the agency train all employees who may have contact with residents on: How to avoid inappropriate relationships with residents?                                                                                                      | yes |
|                    | Does the agency train all employees who may have contact with residents on: How to communicate effectively and professionally with residents, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming residents? | yes |
|                    | Does the agency train all employees who may have contact with residents on: How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?                                                       | yes |
| <b>115.231 (b)</b> | <b>Employee training</b>                                                                                                                                                                                                                  |     |
|                    | Is such training tailored to the gender of the residents at the employee's facility?                                                                                                                                                      | yes |
|                    | Have employees received additional training if reassigned from a facility that houses only male residents to a facility that houses only female residents, or vice versa?                                                                 | yes |
| <b>115.231 (c)</b> | <b>Employee training</b>                                                                                                                                                                                                                  |     |
|                    | Have all current employees who may have contact with residents received such training?                                                                                                                                                    | yes |
|                    | Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?                                          | yes |
|                    | In years in which an employee does not receive refresher training,                                                                                                                                                                        | yes |

|                    |                                                                                                                                                                                                                                                                                                                                                                                   |     |
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|                    | does the agency provide refresher information on current sexual abuse and sexual harassment policies?                                                                                                                                                                                                                                                                             |     |
| <b>115.231 (d)</b> | <b>Employee training</b>                                                                                                                                                                                                                                                                                                                                                          |     |
|                    | Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?                                                                                                                                                                                                                                       | yes |
| <b>115.232 (a)</b> | <b>Volunteer and contractor training</b>                                                                                                                                                                                                                                                                                                                                          |     |
|                    | Has the agency ensured that all volunteers and contractors who have contact with residents have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?                                                                                                                         | yes |
| <b>115.232 (b)</b> | <b>Volunteer and contractor training</b>                                                                                                                                                                                                                                                                                                                                          |     |
|                    | Have all volunteers and contractors who have contact with residents been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with residents)? | yes |
| <b>115.232 (c)</b> | <b>Volunteer and contractor training</b>                                                                                                                                                                                                                                                                                                                                          |     |
|                    | Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?                                                                                                                                                                                                                                                     | yes |
| <b>115.233 (a)</b> | <b>Resident education</b>                                                                                                                                                                                                                                                                                                                                                         |     |
|                    | During intake, do residents receive information explaining: The agency's zero-tolerance policy regarding sexual abuse and sexual harassment?                                                                                                                                                                                                                                      | yes |
|                    | During intake, do residents receive information explaining: How to report incidents or suspicions of sexual abuse or sexual harassment?                                                                                                                                                                                                                                           | yes |
|                    | During intake, do residents receive information explaining: Their rights to be free from sexual abuse and sexual harassment?                                                                                                                                                                                                                                                      | yes |

|                    |                                                                                                                                                                                                                   |     |
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|                    | During intake, do residents receive information explaining: Their rights to be free from retaliation for reporting such incidents?                                                                                | yes |
|                    | During intake, do residents receive information regarding agency policies and procedures for responding to such incidents?                                                                                        | yes |
| <b>115.233 (b)</b> | <b>Resident education</b>                                                                                                                                                                                         |     |
|                    | Does the agency provide refresher information whenever a resident is transferred to a different facility?                                                                                                         | yes |
| <b>115.233 (c)</b> | <b>Resident education</b>                                                                                                                                                                                         |     |
|                    | Does the agency provide resident education in formats accessible to all residents, including those who: Are limited English proficient?                                                                           | yes |
|                    | Does the agency provide resident education in formats accessible to all residents, including those who: Are deaf?                                                                                                 | yes |
|                    | Does the agency provide resident education in formats accessible to all residents, including those who: Are visually impaired?                                                                                    | yes |
|                    | Does the agency provide resident education in formats accessible to all residents, including those who: Are otherwise disabled?                                                                                   | yes |
|                    | Does the agency provide resident education in formats accessible to all residents, including those who: Have limited reading skills?                                                                              | yes |
| <b>115.233 (d)</b> | <b>Resident education</b>                                                                                                                                                                                         |     |
|                    | Does the agency maintain documentation of resident participation in these education sessions?                                                                                                                     | yes |
| <b>115.233 (e)</b> | <b>Resident education</b>                                                                                                                                                                                         |     |
|                    | In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to residents through posters, resident handbooks, or other written formats? | yes |
| <b>115.234 (a)</b> | <b>Specialized training: Investigations</b>                                                                                                                                                                       |     |
|                    | In addition to the general training provided to all employees pursuant to §115.231, does the agency ensure that, to the extent                                                                                    | yes |

|                    |                                                                                                                                                                                                                                                                                                                                                                              |     |
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|                    | the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).                                                                                               |     |
| <b>115.234 (b)</b> | <b>Specialized training: Investigations</b>                                                                                                                                                                                                                                                                                                                                  |     |
|                    | Does this specialized training include: Techniques for interviewing sexual abuse victims?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).                                                                                                                                                            | yes |
|                    | Does this specialized training include: Proper use of Miranda and Garrity warnings?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).                                                                                                                                                                  | yes |
|                    | Does this specialized training include: Sexual abuse evidence collection in confinement settings?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).                                                                                                                                                    | yes |
|                    | Does this specialized training include: The criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).                                                                                                | yes |
| <b>115.234 (c)</b> | <b>Specialized training: Investigations</b>                                                                                                                                                                                                                                                                                                                                  |     |
|                    | Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a).)                                                                                        | yes |
| <b>115.235 (a)</b> | <b>Specialized training: Medical and mental health care</b>                                                                                                                                                                                                                                                                                                                  |     |
|                    | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | na  |

|                    |                                                                                                                                                                                                                                                                                                                                                                                                        |    |
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|                    | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)                                              | na |
|                    | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | na |
|                    | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)      | na |
| <b>115.235 (b)</b> | <b>Specialized training: Medical and mental health care</b>                                                                                                                                                                                                                                                                                                                                            |    |
|                    | If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency does not employ medical staff or the medical staff employed by the agency do not conduct forensic exams.)                                                                                                                       | na |
| <b>115.235 (c)</b> | <b>Specialized training: Medical and mental health care</b>                                                                                                                                                                                                                                                                                                                                            |    |
|                    | Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)                                                                             | na |
| <b>115.235 (d)</b> | <b>Specialized training: Medical and mental health care</b>                                                                                                                                                                                                                                                                                                                                            |    |
|                    | Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.231? (N/A for circumstances in which a particular status (employee or contractor/volunteer) does not apply.)                                                                                                                                                               | na |
|                    | Do medical and mental health care practitioners contracted by                                                                                                                                                                                                                                                                                                                                          | na |

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|                    | and volunteering for the agency also receive training mandated for contractors and volunteers by §115.232? (N/A for circumstances in which a particular status (employee or contractor/volunteer) does not apply.) |     |
| <b>115.241 (a)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                         |     |
|                    | Are all residents assessed during an intake screening for their risk of being sexually abused by other residents or sexually abusive toward other residents?                                                       | yes |
|                    | Are all residents assessed upon transfer to another facility for their risk of being sexually abused by other residents or sexually abusive toward other residents?                                                | yes |
| <b>115.241 (b)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                         |     |
|                    | Do intake screenings ordinarily take place within 72 hours of arrival at the facility?                                                                                                                             | yes |
| <b>115.241 (c)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                         |     |
|                    | Are all PREA screening assessments conducted using an objective screening instrument?                                                                                                                              | yes |
| <b>115.241 (d)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                         |     |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has a mental, physical, or developmental disability?           | yes |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The age of the resident?                                                            | yes |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The physical build of the resident?                                                 | yes |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has previously been incarcerated?                              | yes |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization:                                                                                     | yes |



|                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |     |
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|                    | Whether the resident's criminal history is exclusively nonviolent?                                                                                                                                                                                                                                                                                                                                                                                                                                                          |     |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization:<br>Whether the resident has prior convictions for sex offenses against an adult or child?                                                                                                                                                                                                                                                                                                    | yes |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization:<br>Whether the resident is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the resident about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the resident is gender non-conforming or otherwise may be perceived to be LGBTI)? | yes |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization:<br>Whether the resident has previously experienced sexual victimization?                                                                                                                                                                                                                                                                                                                     | yes |
|                    | Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The resident's own perception of vulnerability?                                                                                                                                                                                                                                                                                                                                              | yes |
| <b>115.241 (e)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                    | In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior acts of sexual abuse?                                                                                                                                                                                                                                                                                                                                                             | yes |
|                    | In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior convictions for violent offenses?                                                                                                                                                                                                                                                                                                                                                 | yes |
|                    | In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: history of prior institutional violence or sexual abuse?                                                                                                                                                                                                                                                                                                                                | yes |
| <b>115.241 (f)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                    | Within a set time period not more than 30 days from the resident's arrival at the facility, does the facility reassess the resident's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?                                                                                                                                                                                                                                             | yes |

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| <b>115.241<br/>(g)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                 |     |
|                        | Does the facility reassess a resident's risk level when warranted due to a: Referral?                                                                                                                                                                                      | yes |
|                        | Does the facility reassess a resident's risk level when warranted due to a: Request?                                                                                                                                                                                       | yes |
|                        | Does the facility reassess a resident's risk level when warranted due to a: Incident of sexual abuse?                                                                                                                                                                      | yes |
|                        | Does the facility reassess a resident's risk level when warranted due to a: Receipt of additional information that bears on the resident's risk of sexual victimization or abusiveness?                                                                                    | yes |
| <b>115.241<br/>(h)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                 |     |
|                        | Is it the case that residents are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?                                        | yes |
| <b>115.241<br/>(i)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                 |     |
|                        | Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the resident's detriment by staff or other residents? | yes |
| <b>115.242<br/>(a)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                        |     |
|                        | Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?              | yes |
|                        | Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?                  | yes |
|                        | Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?                 | yes |

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|                    | Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?                                                                                                                                                                                                                        | yes |
|                    | Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?                                                                                                                                                                                                                          | yes |
| <b>115.242 (b)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                    | Does the agency make individualized determinations about how to ensure the safety of each resident?                                                                                                                                                                                                                                                                                                                                                                                    | yes |
| <b>115.242 (c)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                    | When deciding whether to assign a transgender or intersex resident to a facility for male or female residents, does the agency consider on a case-by-case basis whether a placement would ensure the resident's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns residents to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)? | yes |
|                    | When making housing or other program assignments for transgender or intersex residents, does the agency consider on a case-by-case basis whether a placement would ensure the resident's health and safety, and whether a placement would present management or security problems?                                                                                                                                                                                                     | yes |
| <b>115.242 (d)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                    | Are each transgender or intersex resident's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?                                                                                                                                                                                                                                                                              | yes |
| <b>115.242 (e)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                    | Are transgender and intersex residents given the opportunity to shower separately from other residents?                                                                                                                                                                                                                                                                                                                                                                                | yes |
| <b>115.242</b>     | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                    |     |

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| <b>(f)</b>             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |     |
|                        | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: lesbian, gay, and bisexual residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.) | yes |
|                        | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: transgender residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.)                | yes |
|                        | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: intersex residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.)                   | yes |
| <b>115.251<br/>(a)</b> | <b>Resident reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                        | Does the agency provide multiple internal ways for residents to privately report: Sexual abuse and sexual harassment?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | yes |
|                        | Does the agency provide multiple internal ways for residents to privately report: Retaliation by other residents or staff for reporting sexual abuse and sexual harassment?                                                                                                                                                                                                                                                                                                                                                                                                                                | yes |
|                        | Does the agency provide multiple internal ways for residents to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?                                                                                                                                                                                                                                                                                                                                                                                                                              | yes |
| <b>115.251<br/>(b)</b> | <b>Resident reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |

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|                        | Does the agency also provide at least one way for residents to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?                                                                                                                                                                                                                                                                                                      | yes |
|                        | Is that private entity or office able to receive and immediately forward resident reports of sexual abuse and sexual harassment to agency officials?                                                                                                                                                                                                                                                                                                                                 | yes |
|                        | Does that private entity or office allow the resident to remain anonymous upon request?                                                                                                                                                                                                                                                                                                                                                                                              | yes |
| <b>115.251<br/>(c)</b> | <b>Resident reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                            |     |
|                        | Do staff members accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?                                                                                                                                                                                                                                                                                                                                                | yes |
|                        | Do staff members promptly document any verbal reports of sexual abuse and sexual harassment?                                                                                                                                                                                                                                                                                                                                                                                         | yes |
| <b>115.251<br/>(d)</b> | <b>Resident reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                            |     |
|                        | Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of residents?                                                                                                                                                                                                                                                                                                                                                                      | yes |
| <b>115.252<br/>(a)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                         |     |
|                        | Is the agency exempt from this standard?<br>NOTE: The agency is exempt ONLY if it does not have administrative procedures to address resident grievances regarding sexual abuse. This does not mean the agency is exempt simply because a resident does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse. | no  |
| <b>115.252<br/>(b)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                         |     |
|                        | Does the agency permit residents to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)                                                                                                                                                                | yes |
|                        | Does the agency always refrain from requiring a resident to use any informal grievance process, or to otherwise attempt to resolve                                                                                                                                                                                                                                                                                                                                                   | yes |

|                    |                                                                                                                                                                                                                                                                                                                                                                            |     |
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|                    | with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                                                                             |     |
| <b>115.252 (c)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                               |     |
|                    | Does the agency ensure that: a resident who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)                                                                                                                                                         | yes |
|                    | Does the agency ensure that: such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                           | yes |
| <b>115.252 (d)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                               |     |
|                    | Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by residents in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)                           | yes |
|                    | If the agency determines that the 90-day timeframe is insufficient to make an appropriate decision and claims an extension of time (the maximum allowable extension is 70 days per 115.252(d)(3)), does the agency notify the resident in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.) | yes |
|                    | At any level of the administrative process, including the final level, if the resident does not receive a response within the time allotted for reply, including any properly noticed extension, may a resident consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)                                             | yes |
| <b>115.252 (e)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                               |     |
|                    | Are third parties, including fellow residents, staff members, family members, attorneys, and outside advocates, permitted to assist residents in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)                                                                                        | yes |
|                    | Are those third parties also permitted to file such requests on behalf of residents? (If a third party files such a request on behalf                                                                                                                                                                                                                                      | yes |

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|                        | of a resident, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)                                             |     |
|                        | If the resident declines to have the request processed on his or her behalf, does the agency document the resident's decision? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                      | yes |
| <b>115.252<br/>(f)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                      |     |
|                        | Has the agency established procedures for the filing of an emergency grievance alleging that a resident is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)                                                                                                                                                                  | yes |
|                        | After receiving an emergency grievance alleging a resident is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.) | yes |
|                        | After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                | yes |
|                        | After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                       | yes |
|                        | Does the initial response and final agency decision document the agency's determination whether the resident is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)                                                                                                                                                                       | yes |
|                        | Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                             | yes |
|                        | Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                      | yes |
| <b>115.252<br/>(g)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                      |     |
|                        | If the agency disciplines a resident for filing a grievance related to                                                                                                                                                                                                                                                                                                            | yes |

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|                    | alleged sexual abuse, does it do so ONLY where the agency demonstrates that the resident filed the grievance in bad faith? (N/A if agency is exempt from this standard.)                                                                                                                                                        |     |
| <b>115.253 (a)</b> | <b>Resident access to outside confidential support services</b>                                                                                                                                                                                                                                                                 |     |
|                    | Does the facility provide residents with access to outside victim advocates for emotional support services related to sexual abuse by giving residents mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations? | yes |
|                    | Does the facility enable reasonable communication between residents and these organizations, in as confidential a manner as possible?                                                                                                                                                                                           | yes |
| <b>115.253 (b)</b> | <b>Resident access to outside confidential support services</b>                                                                                                                                                                                                                                                                 |     |
|                    | Does the facility inform residents, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?                                                                            | yes |
| <b>115.253 (c)</b> | <b>Resident access to outside confidential support services</b>                                                                                                                                                                                                                                                                 |     |
|                    | Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide residents with confidential emotional support services related to sexual abuse?                                                                                      | yes |
|                    | Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?                                                                                                                                                                                                                  | yes |
| <b>115.254 (a)</b> | <b>Third party reporting</b>                                                                                                                                                                                                                                                                                                    |     |
|                    | Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?                                                                                                                                                                                                                       | yes |
|                    | Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of a resident?                                                                                                                                                                                                    | yes |
| <b>115.261 (a)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                                        |     |
|                    | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or                                                                                                                                                                                                             | yes |



|                    |                                                                                                                                                                                                                                                                                                                  |     |
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|                    | information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?                                                                                                                                                                     |     |
|                    | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against residents or staff who reported an incident of sexual abuse or sexual harassment?                                                                  | yes |
|                    | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?                       | yes |
| <b>115.261 (b)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                         |     |
|                    | Apart from reporting to designated supervisors or officials, do staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions? | yes |
| <b>115.261 (c)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                         |     |
|                    | Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?                                                                                                                               | yes |
|                    | Are medical and mental health practitioners required to inform residents of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?                                                                                                                            | yes |
| <b>115.261 (d)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                         |     |
|                    | If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?                                               | yes |
| <b>115.261 (e)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                         |     |
|                    | Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?                                                                                                                                         | yes |

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| <b>115.262<br/>(a)</b> | <b>Agency protection duties</b>                                                                                                                                                                                                                                       |     |
|                        | When the agency learns that a resident is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the resident?                                                                                                              | yes |
| <b>115.263<br/>(a)</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                      |     |
|                        | Upon receiving an allegation that a resident was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred? | yes |
| <b>115.263<br/>(b)</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                      |     |
|                        | Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?                                                                                                                                                         | yes |
| <b>115.263<br/>(c)</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                      |     |
|                        | Does the agency document that it has provided such notification?                                                                                                                                                                                                      | yes |
| <b>115.263<br/>(d)</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                      |     |
|                        | Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?                                                                                                                | yes |
| <b>115.264<br/>(a)</b> | <b>Staff first responder duties</b>                                                                                                                                                                                                                                   |     |
|                        | Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?                                                                                  | yes |
|                        | Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?                       | yes |
|                        | Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate,    | yes |

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |     |
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|                        | washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?                                                                                                                                                                                                                                                                        |     |
|                        | Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? | yes |
| <b>115.264<br/>(b)</b> | <b>Staff first responder duties</b>                                                                                                                                                                                                                                                                                                                                                                                                                                          |     |
|                        | If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?                                                                                                                                                                                                                                                         | yes |
| <b>115.265<br/>(a)</b> | <b>Coordinated response</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                        | Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?                                                                                                                                                                                                                                | yes |
| <b>115.266<br/>(a)</b> | <b>Preservation of ability to protect residents from contact with abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                |     |
|                        | Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limits the agency's ability to remove alleged staff sexual abusers from contact with any residents pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?                           | yes |
| <b>115.267<br/>(a)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                                                                                                                                                                                 |     |
|                        | Has the agency established a policy to protect all residents and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other residents or staff?                                                                                                                                                                                                                                         | yes |

|                    |                                                                                                                                                                                                                                                                                                                                                                       |     |
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|                    | Has the agency designated which staff members or departments are charged with monitoring retaliation?                                                                                                                                                                                                                                                                 | yes |
| <b>115.267 (b)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                                                                          |     |
|                    | Does the agency employ multiple protection measures, such as housing changes or transfers for resident victims or abusers, removal of alleged staff or resident abusers from contact with victims, and emotional support services for residents or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations? | yes |
| <b>115.267 (c)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                                                                          |     |
|                    | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff?                  | yes |
|                    | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff?         | yes |
|                    | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?                                                                                                                                                    | yes |
|                    | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any resident disciplinary reports?                                                                                                                                                      | yes |
|                    | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency:4. Monitor resident housing changes?                                                                                                                                                             | yes |
|                    | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor resident program changes?                                                                                                                                                               | yes |

|                    |                                                                                                                                                                                                                                                                                                                  |     |
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|                    | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?                                                                                             | yes |
|                    | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignment of staff?                                                                                                             | yes |
|                    | Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?                                                                                                                                                                                                   | yes |
| <b>115.267 (d)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                     |     |
|                    | In the case of residents, does such monitoring also include periodic status checks?                                                                                                                                                                                                                              | yes |
| <b>115.267 (e)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                     |     |
|                    | If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?                                                                                                                          | yes |
| <b>115.271 (a)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                                         |     |
|                    | When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.221(a). ) | yes |
|                    | Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.221(a). )                                                | yes |
| <b>115.271 (b)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                                         |     |
|                    | Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.234?                                                                                                                                                   | yes |
| <b>115.271 (c)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                                         |     |
|                    | Do investigators gather and preserve direct and circumstantial                                                                                                                                                                                                                                                   | yes |

|                    |                                                                                                                                                                                                                                                      |     |
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|                    | evidence, including any available physical and DNA evidence and any available electronic monitoring data?                                                                                                                                            |     |
|                    | Do investigators interview alleged victims, suspected perpetrators, and witnesses?                                                                                                                                                                   | yes |
|                    | Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?                                                                                                                                            | yes |
| <b>115.271 (d)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                             |     |
|                    | When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution? | yes |
| <b>115.271 (e)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                             |     |
|                    | Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as resident or staff?                                                               | yes |
|                    | Does the agency investigate allegations of sexual abuse without requiring a resident who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?                                      | yes |
| <b>115.271 (f)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                             |     |
|                    | Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?                                                                                                                   | yes |
|                    | Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?                    | yes |
| <b>115.271 (g)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                             |     |
|                    | Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?                               | yes |
| <b>115.271</b>     | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                             |     |

|                    |                                                                                                                                                                                                                                                                                                                   |     |
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| <b>(h)</b>         |                                                                                                                                                                                                                                                                                                                   |     |
|                    | Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?                                                                                                                                                                                                                | yes |
| <b>115.271 (i)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                                          |     |
|                    | Does the agency retain all written reports referenced in 115.271(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?                                                                                                                                         | yes |
| <b>115.271 (j)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                                          |     |
|                    | Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the facility or agency does not provide a basis for terminating an investigation?                                                                                                                      | yes |
| <b>115.271 (l)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                                          |     |
|                    | When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.221(a).) | yes |
| <b>115.272 (a)</b> | <b>Evidentiary standard for administrative investigations</b>                                                                                                                                                                                                                                                     |     |
|                    | Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?                                                                                                                      | yes |
| <b>115.273 (a)</b> | <b>Reporting to residents</b>                                                                                                                                                                                                                                                                                     |     |
|                    | Following an investigation into a resident's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the resident as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?                                                      | yes |
| <b>115.273 (b)</b> | <b>Reporting to residents</b>                                                                                                                                                                                                                                                                                     |     |
|                    | If the agency did not conduct the investigation into a resident's allegation of sexual abuse in an agency facility, does the agency                                                                                                                                                                               | yes |

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|                    | request the relevant information from the investigative agency in order to inform the resident? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)                                                                                                                                                                                                          |     |
| <b>115.273 (c)</b> | <b>Reporting to residents</b>                                                                                                                                                                                                                                                                                                                                                                                   |     |
|                    | Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the resident's unit?                                                    | yes |
|                    | Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?                                                             | yes |
|                    | Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?      | yes |
|                    | Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility? | yes |
| <b>115.273 (d)</b> | <b>Reporting to residents</b>                                                                                                                                                                                                                                                                                                                                                                                   |     |
|                    | Following a resident's allegation that he or she has been sexually abused by another resident, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?                                                                                                                            | yes |
|                    | Following a resident's allegation that he or she has been sexually abused by another resident, does the agency subsequently inform                                                                                                                                                                                                                                                                              | yes |



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|                    | the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?                                                                                                                                                                                                                |     |
| <b>115.273 (e)</b> | <b>Reporting to residents</b>                                                                                                                                                                                                                                                                                                                                     |     |
|                    | Does the agency document all such notifications or attempted notifications?                                                                                                                                                                                                                                                                                       | yes |
| <b>115.276 (a)</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                           |     |
|                    | Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?                                                                                                                                                                                                                      | yes |
| <b>115.276 (b)</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                           |     |
|                    | Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?                                                                                                                                                                                                                                                                  | yes |
| <b>115.276 (c)</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                           |     |
|                    | Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories? | yes |
| <b>115.276 (d)</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                           |     |
|                    | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies, unless the activity was clearly not criminal?                                                                                              | yes |
|                    | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?                                                                                                                                           | yes |
| <b>115.277 (a)</b> | <b>Corrective action for contractors and volunteers</b>                                                                                                                                                                                                                                                                                                           |     |

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|                        | Is any contractor or volunteer who engages in sexual abuse prohibited from contact with residents?                                                                                                                                                                           | yes |
|                        | Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?                                                                                                                             | yes |
|                        | Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?                                                                                                                                                                           | yes |
| <b>115.277<br/>(b)</b> | <b>Corrective action for contractors and volunteers</b>                                                                                                                                                                                                                      |     |
|                        | In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with residents?                                 | yes |
| <b>115.278<br/>(a)</b> | <b>Disciplinary sanctions for residents</b>                                                                                                                                                                                                                                  |     |
|                        | Following an administrative finding that a resident engaged in resident-on-resident sexual abuse, or following a criminal finding of guilt for resident-on-resident sexual abuse, are residents subject to disciplinary sanctions pursuant to a formal disciplinary process? | yes |
| <b>115.278<br/>(b)</b> | <b>Disciplinary sanctions for residents</b>                                                                                                                                                                                                                                  |     |
|                        | Are sanctions commensurate with the nature and circumstances of the abuse committed, the resident's disciplinary history, and the sanctions imposed for comparable offenses by other residents with similar histories?                                                       | yes |
| <b>115.278<br/>(c)</b> | <b>Disciplinary sanctions for residents</b>                                                                                                                                                                                                                                  |     |
|                        | When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether a resident's mental disabilities or mental illness contributed to his or her behavior?                                                                    | yes |
| <b>115.278<br/>(d)</b> | <b>Disciplinary sanctions for residents</b>                                                                                                                                                                                                                                  |     |
|                        | If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending resident to participate in such interventions as a           | yes |

|                    |                                                                                                                                                                                                                                                                                                                 |     |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                    | condition of access to programming and other benefits?                                                                                                                                                                                                                                                          |     |
| <b>115.278 (e)</b> | <b>Disciplinary sanctions for residents</b>                                                                                                                                                                                                                                                                     |     |
|                    | Does the agency discipline a resident for sexual contact with staff only upon a finding that the staff member did not consent to such contact?                                                                                                                                                                  | yes |
| <b>115.278 (f)</b> | <b>Disciplinary sanctions for residents</b>                                                                                                                                                                                                                                                                     |     |
|                    | For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation? | yes |
| <b>115.278 (g)</b> | <b>Disciplinary sanctions for residents</b>                                                                                                                                                                                                                                                                     |     |
|                    | Does the agency always refrain from considering non-coercive sexual activity between residents to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between residents.)                                                                                                                 | yes |
| <b>115.282 (a)</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                                                                   |     |
|                    | Do resident victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?                                         | yes |
| <b>115.282 (b)</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                                                                   |     |
|                    | If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.262?                                                                                  | yes |
|                    | Do security staff first responders immediately notify the appropriate medical and mental health practitioners?                                                                                                                                                                                                  | yes |
| <b>115.282 (c)</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                                                                   |     |
|                    | Are resident victims of sexual abuse offered timely information                                                                                                                                                                                                                                                 | yes |

|                    |                                                                                                                                                                                                                                                                                                                                                                                                                |     |
|--------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                    | about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?                                                                                                                                                                                                                 |     |
| <b>115.282 (d)</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                                                                                                                                                                  |     |
|                    | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?                                                                                                                                                                                                                   | yes |
| <b>115.283 (a)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                             |     |
|                    | Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all residents who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?                                                                                                                                                                                                       | yes |
| <b>115.283 (b)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                             |     |
|                    | Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?                                                                                                                                               | yes |
| <b>115.283 (c)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                             |     |
|                    | Does the facility provide such victims with medical and mental health services consistent with the community level of care?                                                                                                                                                                                                                                                                                    | yes |
| <b>115.283 (d)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                             |     |
|                    | Are resident victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if “all-male” facility. Note: in “all-male” facilities, there may be residents who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.) | na  |
| <b>115.283 (e)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                             |     |
|                    | If pregnancy results from the conduct described in paragraph § 115.283(d), do such victims receive timely and comprehensive                                                                                                                                                                                                                                                                                    | na  |

|                    |                                                                                                                                                                                                                                                                                                                                                                                             |     |
|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                    | information about and timely access to all lawful pregnancy-related medical services? (N/A if “all-male” facility. Note: in “all-male” facilities, there may be residents who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.) |     |
| <b>115.283 (f)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                          |     |
|                    | Are resident victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?                                                                                                                                                                                                                                                         | yes |
| <b>115.283 (g)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                          |     |
|                    | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?                                                                                                                                                                                                | yes |
| <b>115.283 (h)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                          |     |
|                    | Does the facility attempt to conduct a mental health evaluation of all known resident-on-resident abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners?                                                                                                                                                      | yes |
| <b>115.286 (a)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                                                                                                                                        |     |
|                    | Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?                                                                                                                                                       | yes |
| <b>115.286 (b)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                                                                                                                                        |     |
|                    | Does such review ordinarily occur within 30 days of the conclusion of the investigation?                                                                                                                                                                                                                                                                                                    | yes |
| <b>115.286 (c)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                                                                                                                                        |     |
|                    | Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?                                                                                                                                                                                                                                 | yes |

|                        |                                                                                                                                                                                                                                                                               |     |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <b>115.286<br/>(d)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                          |     |
|                        | Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?                                                                                                   | yes |
|                        | Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility? | yes |
|                        | Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?                                                                                                                | yes |
|                        | Does the review team: Assess the adequacy of staffing levels in that area during different shifts?                                                                                                                                                                            | yes |
|                        | Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?                                                                                                                                                | yes |
|                        | Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.286(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?    | yes |
| <b>115.286<br/>(e)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                          |     |
|                        | Does the facility implement the recommendations for improvement, or document its reasons for not doing so?                                                                                                                                                                    | yes |
| <b>115.287<br/>(a)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                        |     |
|                        | Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?                                                                                            | yes |
| <b>115.287<br/>(b)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                        |     |
|                        | Does the agency aggregate the incident-based sexual abuse data at least annually?                                                                                                                                                                                             | yes |
| <b>115.287</b>         | <b>Data collection</b>                                                                                                                                                                                                                                                        |     |

|                        |                                                                                                                                                                                                                                                                                                                                                             |     |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <b>(c)</b>             |                                                                                                                                                                                                                                                                                                                                                             |     |
|                        | Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?                                                                                                                                                        | yes |
| <b>115.287<br/>(d)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                                                                                                      |     |
|                        | Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?                                                                                                                                                                        | yes |
| <b>115.287<br/>(e)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                                                                                                      |     |
|                        | Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its residents? (N/A if agency does not contract for the confinement of its residents.)                                                                                                                            | na  |
| <b>115.287<br/>(f)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                                                                                                      |     |
|                        | Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)                                                                                                                                                                        | na  |
| <b>115.288<br/>(a)</b> | <b>Data review for corrective action</b>                                                                                                                                                                                                                                                                                                                    |     |
|                        | Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?                                                                                             | yes |
|                        | Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?                                                                          | yes |
|                        | Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole? | yes |

|                        |                                                                                                                                                                                                                                                                         |     |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <b>115.288<br/>(b)</b> | <b>Data review for corrective action</b>                                                                                                                                                                                                                                |     |
|                        | Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?                                                       | yes |
| <b>115.288<br/>(c)</b> | <b>Data review for corrective action</b>                                                                                                                                                                                                                                |     |
|                        | Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?                                                                                                | yes |
| <b>115.288<br/>(d)</b> | <b>Data review for corrective action</b>                                                                                                                                                                                                                                |     |
|                        | Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?                                                   | yes |
| <b>115.289<br/>(a)</b> | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                       |     |
|                        | Does the agency ensure that data collected pursuant to § 115.287 are securely retained?                                                                                                                                                                                 | yes |
| <b>115.289<br/>(b)</b> | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                       |     |
|                        | Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means? | yes |
| <b>115.289<br/>(c)</b> | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                       |     |
|                        | Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?                                                                                                                                                          | yes |
| <b>115.289<br/>(d)</b> | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                       |     |
|                        | Does the agency maintain sexual abuse data collected pursuant to § 115.287 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?                                                                      | yes |



|                        |                                                                                                                                                                                                                                                                                                                                              |     |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <b>115.401<br/>(a)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                        | During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)                        | yes |
| <b>115.401<br/>(b)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                        | Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)                                                                                                                                                                                                            | no  |
|                        | If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)      | na  |
|                        | If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.) | yes |
| <b>115.401<br/>(h)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                        | Did the auditor have access to, and the ability to observe, all areas of the audited facility?                                                                                                                                                                                                                                               | yes |
| <b>115.401<br/>(i)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                        | Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?                                                                                                                                                                                                             | yes |
| <b>115.401<br/>(m)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                        | Was the auditor permitted to conduct private interviews with residents?                                                                                                                                                                                                                                                                      | yes |
| <b>115.401<br/>(n)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                        | Were inmates, residents, and detainees permitted to send confidential information or correspondence to the auditor in the                                                                                                                                                                                                                    | yes |

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |     |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                        | same manner as if they were communicating with legal counsel?                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     |
| <b>115.403<br/>(f)</b> | <b>Audit contents and findings</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
|                        | The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.) | yes |